

KNOWLEDGE DISCOVERY
USING
NEURAL NETWORKS

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Sandesh Doddameti, B.Arch

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To

My Parents

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ABSTRACT

A vital type of knowledge that can be acquired from vast amounts of data generated in today's world are the hidden trends. These hidden trends highlight the generality that exist in the data and can be expressed as rules or correlations. These trends, which are specific to the application, represent a type of knowledge discovery. The acquired knowledge is extremely helpful in understanding the domain, which the data describes.

In this thesis, a process for discovering trends in datasets using neural networks is presented. The process consists of five phases – Data preparation, Training, Pruning and re-training, Clustering, and Extraction.

In phase one, the data is encoded into binary vectors in the data preparation phase. In the training phase, a supervised learning method is used to train the neural network. The network learns the correlations that exist in the dataset. During training, inconsistent patterns are removed via a filtering process. In the pruning and re-training phase, the unnecessary connections and neurons are pruned and the network is re-trained. The clustering phase superimposes a layer of adaptive clustering neural network on the hidden layer of the network. The purpose of the superimposed layer is to create generalized regions of activation for hidden layer neuron activation values and to identify a representative value for each region. The extraction phase of uses the trained network with the superimposed layer, to discover the trends in the dataset.

The process provides several control parameters such as frequency, radius, and activation level to achieve flexibility and stringency for the extracted trends. Predicted trends are discovered during this phase using all combinations of the input patterns.

Finally, the applicability and robustness of the process is demonstrated by applying the process to real world datasets: demographic and crime, dietary factors and Plasma Retinol and Beta-Carotene concentrations, system measurements and CPU usage, body measurements and body

fat percentage, pollution and mortality. The process was used to predict trends from acquired knowledge in the demographics-crime dataset.

Keywords Adaptive Clustering, Data Analysis, Data Mining, Hidden Layer Neuron Activation Values, Knowledge Discovery, Neural Networks, Prediction, Supervised Learning

CHAPTER 1. INTRODUCTION

1.0 Explanation of the Problem

Enormous amounts of data are being generated and recorded for almost any kind of event or transaction that we perform. Advances in data storage and database technology have enabled us to store this vast amount of data. A small piece of data may be quite insignificant. However, taken as a whole, data encompasses a vast amount of knowledge. We can perform data analyses from different perspectives to obtain meaningful results. A vital type of knowledge that we could acquire is the hidden trends in the data. These hidden trends highlight the generality that exists in the data and can be expressed as rules and correlations. These trends, which are specific to the application, represent a type of knowledge discovery. The acquired knowledge is helpful in understanding the domain, which the data describes.

The need to devise methods to discover and extract these hidden trends is obvious. Several researchers in the field of Knowledge Discovery and Data Mining have proposed methods for finding the trends in data with varied degree of success.

In this thesis, we define a process to extract rules and correlations in datasets, which we call Patterns. In addition, we define a process to predict extended rules and correlations based on available datasets (patterns), thus providing some form of rule generalization.

1.1 Patterns

Patterns are defined as tuples of data. A Pattern is a collection of attributes. Each attribute has a defined domain, which describes some characteristic of a real world entity. The attribute values can be discrete or continuous. For example, a pattern may describe the characteristics (personal

data) of a credit card holder and a different pattern may describe the frequencies and types of his transactions

The following are three types of patterns

a {set of events} \rightarrow {set of consequences}

data set of events A, set of related consequences B

eg $\{a_1, a_2, a_3, a_4, a_5\} \rightarrow \{b_1, b_2, b_3\}$

b {set of left hand attributes} \rightarrow {set of right hand attributes}

data The pattern split up as LHS and RHS

eg $\{a_1, a_2, a_3\} \rightarrow \{a_4, a_5\}$

c {set of characteristics} \rightarrow {class to which it belongs OR result / consequence}

1.2 Trends and Rules in Patterns

A dataset is a collection of several patterns. There are hidden trends in large datasets based on the associativity of patterns. These trends describe the commonality that exists in data. The trends can be expressed as rules.

The rules can be expressed using the following syntax

$$IF[(x_1 \leq a_1 \leq x_2) \wedge (y_1 \leq a_2 \leq y_2) \wedge L] \quad THEN[(z_1 \leq a_3 \leq z_2) \wedge L]$$

Or

$$IF[(x_1 \leq a_1 \leq x_2) \wedge (y_1 \leq a_2 \leq y_2) \wedge L] \quad THEN Outcome$$

For example, in a financial institution environment, where information about customers characteristics and activities are maintained, the following rule may exist

Persons who are between 25-30 yrs old, having at least a bachelor s degree and earning greater than 50K have greater than 6 entertainment activities and greater than 10 restaurant activities in each cycle

1.3 Data Mining and Associative Rules

Association Analysis is a type of data mining, which deals with the discovery of association relationships or correlation among sets of items [Zhang 2002] Associations are often expressed in rule form showing attribute values that occur frequently in a given set of data An association rule of the form

$$X \rightarrow Y$$

is interpreted as IF X occurs THEN Y is likely to occur [Han2001]

Associative rule mining can be formally defined as

Let $I = \{i_1, i_2, i_3, \dots, i_n\}$ be the set of items in a transaction or tuple in a database Or a relation like $R(a_1, a_2, a_3, \dots, a_n)$ in a relational database

Then X is an itemset if it is a subset of I

Let $D = \{t_1, t_{i+1}, t_{i+2}, \dots, t_n\}$ be a set of transactions or tuples in a database where each t has an unique identifier tid

Then Itemset T = (tid, k-itemset)

T is a transaction tid which contains k items and these items are a subset of I

A transaction t contains the itemset X iff all the items in X are in that transaction

Each association rule has 2 quality measurements, Support and Confidence

Support is defined as

$$Supp(X) = \frac{|X(t)|}{|D|}$$

where $X(t)$ is $p\{t \in D \mid t \text{ contains } X\}$

If an itemset has a support greater than or equal to a defined minimum support then the itemset is said to be a *frequent itemset*

Confidence is defined as

$$\text{Confidence } (X \rightarrow Y) = \frac{|(X \cup Y)|}{|X|}$$

Support Confidence Framework for an associative rule can be defined on 2 itemsets as

X, Y having a rule $X \rightarrow Y$, such that $X \cap Y = \emptyset$ and

- a) $\text{supp}(X \cup Y) > \text{minimum support}$
- b) $\text{conf}(X \rightarrow Y) = \frac{\text{supp}(X \cup Y)}{\text{supp}(X)} \geq \text{minimum confidence}$

The following are three types of data on which Association Analysis can be performed

1. *Item based* · Transactional data (each input containing a subset of items)
2. *Quantitative* · Based on relational data tuple where each attribute has its own domain.
3. *Causality* · Association between occurrence of words with respect to other words

1.4 Neural Networks

Neural Network is a highly parallel connectionistic model of computation. Neural Networks consist of a number of units, which perform simple operations, connected via adaptable links. The major difference between the Von Neumann model of computing and the neural network model is that neural networks are adaptable and they learn by examples. Neural networks perform well in non-linear problem spaces and problems involving high dimensional data. Neural networks possess a generalization property and are tolerant to noise in datasets.

Neural networks are used in classification, clustering, modeling functions (approximation), pattern association, forecasting and control applications. Some of the applications where neural networks are used include credit card fraud detection, pattern recognition, financial forecasting, medical diagnosis and data visualization. Neural networks are used in various applications where the Von Neumann model of computation is not feasible or inefficient to use.

1.5 Supervised Learning

In supervised learning, the desired outcome of the neural network is available to measure the degree of error in network's performance [Mehrotra 1997]. With supervised learning, the network learns to map input patterns to output patterns and generates a mapping model based on the training dataset. This is accomplished by measuring the discrepancy between the network's output and the desired output and by using it to adjust the free parameters of the network. The occurrence of similar input-output patterns and their frequency strengthen the mapping between such patterns and allows the network to develop high tolerance to noisy patterns. Therefore, given a particular dataset the neural network attempts to approximate the overall model of the dataset. Hence the rules, which describe the mapping in the dataset are said to be stored in the network.

Neural networks are eminent at mapping non-linear problems and problems involving high dimensionality. These problems are quite difficult to solve using other methods. The downside of using neural networks is their comprehensibility, since they are not able to explain how the mapping process is performed.

1.6 Neural Networks and Associative Rule Mining

There has not been much research done to explore the use of association rule mining using neural networks. The objective is to define a process for finding associations in data using neural networks. To accomplish this task we need to consider the following:

- How to capture the Support-Confidence framework, which are frequency and probability counts?
- How to define and measure parameters of Correlation and Interestingness ?
- How to extract the rules from the neural networks and how to represent them?

Neural networks are able to solve highly complex problems due to the non-linear processing capabilities of their neurons. In addition, the inherent modularity of the neural network structure makes it adaptable to a wide range of applications. The neural network adjusts its parameters to

accurately model the distribution of a provided dataset [Rogers 1997] Therefore, exploring the use of neural networks for finding association and correlation between data should produce interesting results

Several methods have been developed to extract rules from neural networks However, none of the methods so far is superior to others We will describe the existing methods in Chapter 2

1.7 Our Approach

We have developed a method for discovering hidden rules and trends in patterns utilizing neural networks We provide parameters to control the quality and quantity of rules We can also predict rules based on existing patterns The advantage of using neural networks is that they can learn non-linear mappings within the dataset without the need for a complicated or application specific algorithm

The significance of our approach lies in using neural networks for discovering rules from data, with control parameters In our approach, we can control the accuracy of the rule and probability of its occurrence, which are similar to support and confidence framework of associative data mining

We have also developed a method for predicting and extracting rules based on the model generated by the neural network

The following steps describe our approach in more detail

Step 1 Encoding the data into appropriate Binary Patterns

The data may be real values or discrete values A proper encoding scheme has to be designed to discretize data into binary patterns without losing the meaning or the accuracy of the data The real values are discretized into intervals and groups of intervals are defined

Goal To map the data into a binary vector which can be used as input and output to the neural network

Step 2 Neural Network Training

A supervised learning method will be used to train the neural network. The neural network learns the existing associations in the dataset. During training, the corrupted patterns will be filtered via a filtering process, to remove any inconsistencies in data.

Goal: To train the neural network in order to capture the inherent relationships in the data.

Step 3 Pruning the neural network and Re-Training

Prune unnecessary connections and units from the neural network without losing significant performance and re-train the neural network.

Goal: To reduce the complexity of the network and to remove unnecessary connections.

Step 4 Clustering the Hidden Unit Activation Values

Cluster the Hidden unit activation values of the network using an adaptable clustering technique.

Goal: To group similar activation values and to define a representative for each group as the centroid of the group.

Step 5 Extraction of Rules

Using the centroid of the clusters of hidden unit activation values, extract the existing hidden and predicted rules from the neural network.

Goal: To find the trends in data.

CHAPTER 2. RELATED WORK

2.0 Overview

This chapter briefly explains the related research in using neural networks for data mining. Section 2.1 introduces the research in data mining. Section 2.2 sheds light on a few applications which demonstrate how neural networks are used for data analysis tasks. Section 2.3 describes related work with respect to finer aspects of our process such as pruning and rule extraction. Section 2.4 gives a brief explanation about our approach and how it compares to the related work.

2.1 Research in Data Mining

The techniques that are used in the data mining process are generally drawn from diverse areas of research [Deogun 1998]. The last few years have seen an increasing use of techniques in data mining that draw upon or are based on statistics. In many data analysis problems, statistical methods are not suitable either because of strong statistical assumption, such as adherence to a particular probability distribution model, or due to fundamental limitations of the statistical approach. The primary limitation is the inability to recognize and generalize relationships, such as the set inclusion, that capture structural aspects of a dataset as a result of being entirely confined to arithmetic manipulations of probability measures [Deogun 1998].

Machine learning has been used for data mining problems such as learning from examples, formation of concepts from instances, discovering regular patterns, noisy and incomplete data, etc [Deogun 1998].

Neural networks are inherent data mining engines. Although neural networks learning algorithms have been successfully applied to a wide range of supervised and unsupervised learning problems, they have not often been successfully applied in data mining settings, in which two fundamental considerations are comprehensibility of learned models and the time required to induce models from large datasets [Craven 1998]. One such example is Semantic integration of heterogeneous databases using Neural Networks, which is an application of using neural networks to semantically match attributes from different databases. In this approach, clustering is used to recognize and make groups of attributes. It uses the different attributes learned to train the neural network and uses that to classify the unknown data into a particular attribute [Li 1994].

2.2 Neural Network Applications for Data Mining

In the article Using Neural Networks for Data Mining, Shlavik discusses the suitability of neural networks for data mining tasks. He states that neural networks provide a more suitable inductive bias for learning the hypothesis than competing algorithms. In other cases, neural networks are the preferred learning method not because of the class of hypotheses that they are able to represent, but simply because they induce hypotheses that generalize better than those of competing algorithms.

Neural networks are difficult to comprehend because of the sheer number of parameters in a typical network and the non-linear non-monotonic relationships between the input and output which are not possible to determine in isolation. Understanding hidden units is often difficult because they learn distributed representations. In a distributed representation, the individual hidden units do not correspond to well understood features of the problem domain. Instead features, which are meaningful in the context of the problem domain, are often encoded by patterns of activation across many hidden units.

Two different directions of using neural networks for data mining have been surveyed. The first approach uses methods to extract the hypothesis learned by a fully trained network. The second

approach to data mining using neural networks uses learning methods that directly learn comprehensible hypotheses by producing simple neural network [Craven 1998] We develop our methods in accordance to the first approach to extract the rules from a fully trained neural network

Some of the researchers have demonstrated applications and usage of neural networks for data mining problems A few of the approaches are briefly described in the remaining part of the section

In the article Effective Data Mining Using Neural Networks, Setiono applies feedforward multilayer neural networks to data mining classification problem The overall process is as follows

Train a neural network for a classification problem using the dataset The network will be trained to the desired accuracy

The network is then pruned to obtain a minimal architecture to improve generalization and to decrease the complexity

The knowledge learned is then extracted in the form of rules

An example, which classifies persons based on their age and income, has been demonstrated in the article The rules extracted are of the if—then form

The overall process is defined for three layer networks (1 input, 1 hidden and 1 output layer) and for classification problems There are no significant control parameters for data analysis We have used a similar pruning technique, which we will explain in detail in Chapter 4

The extraction phase relies on the complexity of the hidden layer and the number of activation values for each hidden neuron Based on the average number of clusters of the hidden unit activation values, the outputs are calculated for each combination of the cluster center Then the

inputs, which generate those cluster center combinations, are found and mapped to the output value. This input-output combination is expressed as a rule.

Our overall process provides a framework for mapping m dimensional input vectors to n dimensional output vectors. We have developed the extraction procedure which provides control and flexibility. In addition, in our approach the complexity of the hidden layer does not pose a burden on the extraction process.

In some demonstrated applications a feedforward neural network is used for summarizing text articles [Chuang 2000]. A neural network is trained with inputs, which are features sentences found in an article like location, length, occurrence of thematic words, number of title words. The respective outputs are their relative rankings with respect to the article. Based on categorization learnt by the neural network, sentences from a test article can be ranked. The highest ranked sentences will become the summary of the article. This is an example of text data mining. The network may also be pruned and the rules are extracted to determine what the network uses as a factor to rank the sentences. Pedagogical, black box methods are used to extract the rules in some of the approaches.

Wang, Ma, Shasha and Wu present an example of biological data mining using neural networks in the article *Application of Neural Networks to Biological Data Mining: A Case Study in Protein Sequence Classification*. In this case study, a bayesian neural network is trained with protein sequences to classify them as belonging to a particular super family. The input to the network is the protein sequence encoded in a special form and the output is a single neuron, which is activated if the protein sequence is in a particular class. In this work, no rule extraction is performed and the process is a simple classification on the test data. The relevance of this article to our work lies in applicability of neural network to learn the hypothesis of the dataset.

In the article *A Novel Neural Network for Data Mining*, Chan, Tan and Haralalka use feedforward neural networks to analyze financial data and develop an efficient market hypothesis. The network proposed here utilizes the backpropagation learning algorithm with modifications to include the temporal factor and the concept of Bollinger Band Crossover. This network is known as the Bollinger and Crossover Supervised Network (BBCSN) [Kai 2001].

The input to the network consists of parameters of a stock price ticker and the output refers to the outcome of the stock ticker at the next time instant. This is predictive data mining based on time series data.

In the article *Mining Sales Data using a Neural Network Model of Market Response*, Gruca, Klemz and Petersen use neural networks to predict the market share for a brand based on the sales data. This network is similar to the one discussed in *A Novel Neural Network for Data Mining*. In this work a feedforward neural network with backpropagation learning is used.

2.3 Neural Networks and Rule Extraction

In *A Survey And Critique Of Techniques For Extracting Rules From Trained Artificial Neural Networks*, Andrews, Diederich and Tickle discuss the difficulty in comprehending the internal process of how a neural network learns a hypothesis. Knowledge acquired during the training phase is encoded as (a) the network architecture (i.e. the number of hidden units), (b) an activation function associated with each (hidden and output) unit of the neural network, and (c) a set of (real-valued) numerical parameters (called weights) [Andrews 1995]. Several methods have been proposed to understand this acquired knowledge under the terminology of Rule Extraction. Without the capability to extract rules from the neural networks, the role of neural networks in the field of data mining will be minimal.

According to the survey [Andrews 1995], rule extraction methods have been categorized into decomposition and pedagogical techniques. This article discusses various techniques including

2.4 Comparison Between Our Approach and the Related Work

Our approach provides an overall process for finding correlations and rules within a dataset with m dimensional input space and n dimensional output space. Our process is not confined to classification. Like most neural network applications, our process is independent of the application. However our process is not applicable to data analysis which is dependent on sequential nature of some data for example, temporal sequences, DNA sequences or finite state machine sequences.

We define a framework for associative data mining, by providing control parameters for data analysis. These parameters give control over the probabilities of occurrences and accuracy which are similar to Support and Confidence framework of the associative data mining.

Our rule extraction procedure is both decompositional and pedagogical. It is decompositional in nature, since we examine the weights for pruning and clustering the hidden unit activation values. It is pedagogical, since we use the neural network as a black-box to extract the rules.

Our approach is neither limited by the complexity of the hidden layer nor by the number of hidden layers. Therefore our approach can be extended to networks with several hidden layers.

Another aspect of our approach is the predictive capability for generalized rules. This predictive capability is dependent on the accuracy of training and the generalization achieved by the network.

CHAPTER 3. NEURAL NETWORK TRAINING

3.0 Overview

This chapter explains in detail the first step of the process — training the neural network. To learn the hypothesis of the dataset, we need to first train the neural network. Section 3.1 describes pre-processing and encoding of the data. Section 3.2 explains the architecture of the neural network. Section 3.3 discusses the learning algorithm. Section 3.4 discusses other details of the training process. Section 3.5 discusses filtering the data patterns.

3.1 Pre-Processing of Data

Datasets are collections of input-output patterns. The characteristics of patterns are described in chapter 1.

Each pattern in the dataset consists of attributes. Each attribute has an associated semantics and a value which describes its strength. Certain attributes of the data can be removed from the pattern, if it is determined that they are not relevant to the analysis. For example, in a dataset consisting of credit card transactions, a unique identifier for each transaction does not provide any relevant information about the nature of the transactions. Therefore, the transaction ID attribute can be removed, without any loss of vital information.

Our goal is to find the correlations that exist between m input attributes and n output attributes in the entire dataset. For example, in a credit card transaction application, we are interested in the correlations that exist between the type of customers and the characteristics of their transactions.

Encoding of input and output attributes to make it suitable for training a neural network is a very important part of the process. In this process we train the network only on binary inputs and expect binary outputs. Thus we need to choose an appropriate encoding scheme for each domain we wish to use.

In our approach, the neural network is trained with binary input/output patterns. Therefore, the raw data must be discretized and encoded into binary patterns. This can be done by grouping the attribute values into intervals and assigning a binary value to each interval.

For example, in the credit card transaction application, an attribute may represent a person's age which may be a value greater than 21. Based on our needs and reasoning, we can discretize these into 4 different intervals: [21-30], [31-45], [45-65] and 65+. Therefore [0 1 0 0] would represent a customer between the ages of 31 and 45. For some attributes, several intervals may be chosen to represent the attribute. The size of the interval depends on the attribute it is representing. It can be as small as one unit to several units.

3.2 Multilayer Feedforward Neural Networks

Single layer networks are capable of solving only linearly separable problems — problems where the solution space can be divided by a single hyperplane. To solve non-linear problems, we need to use multilayer network architecture. Multilayer networks have a single input and output layer and several hidden layers in between them. Multilayer networks are capable of generating a model where the solution space is divided by more than one hyperplane.

Feedforward networks are acyclic networks where the connection between neurons in layer i is allowed only to neurons in layer $i+1$. All connections have an associated weight value.

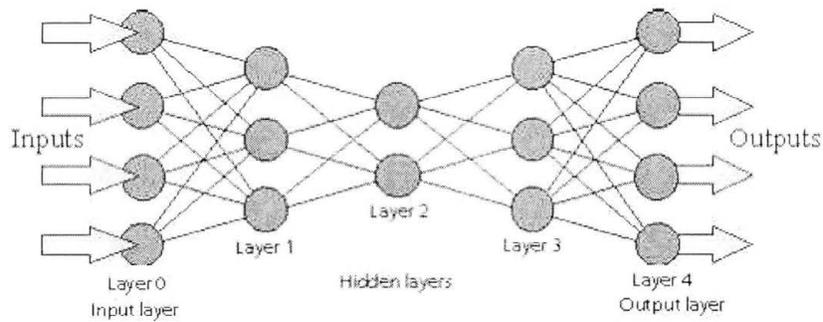


Figure 3.1 Multilayer Feedforward Neural Architecture

Feedforward neural networks have been used for a wide range of applications, such as classification, pattern recognition, control and financial forecasting. The flow of information in a feedforward network is from the input to the output layer. This type of architecture is required for the supervised backpropagation algorithm.

The input and output layers correspond to the dimensionality of the problem space. The number of neurons in the input layer represents the dimension of the input patterns and the number of neurons in the output layer represents the dimension of the output patterns.

Theoretically any number of hidden layers can be used in a Multilayer feedforward network. For most applications, however, one or two hidden layers have been used.

In our approach, we use a feedforward architecture with one hidden layer. The number of neurons in the input layer is the total number of intervals for all input attributes and the number of neurons in the output layer is the total number of intervals for all output attributes.

3.3 Supervised Backpropagation Learning Algorithm

In supervised learning, the desired output pattern for a given input pattern is known. Therefore, the neural network is trained to learn the associations among input/output patterns.

Backpropagation is a feedback-based weight adaptation approach, which is widely used with multilayer networks for a wide range of supervised learning applications [Mehrotra 1997].

Learning in neural networks corresponds to changing its connection strengths (weights) until the desired performance has been achieved. There are several methods for changing the connection strengths. One such method which is used in backpropagation is the method of gradient descent. This method uses the mean square error (MSE) of the network at the output layer and performs an intelligent search on the MSE surface to find its global minima.

3.3.1 Definitions

The dataset consists of input-output pairs,

$$\{a^p, d^p\}, p = 1, \dots, P\}$$

where a^p is the p^{th} input pattern (vector)

d^p is the p^{th} output pattern (vector)

The actual outputs of the network are,

$$\{o^p : p = 1, \dots, P\}$$

The error of the network for the p^{th} pattern is

$$\text{where } Err(o^p, d^p) = |o^p - d^p|^2$$

The goal of the training algorithm is to minimize this error.

Mean Squared Error of the entire dataset is

$$MSE = \frac{1}{P} \sum_{i=1}^P |o^p - d^p|^2$$

3.3.2 Algorithm

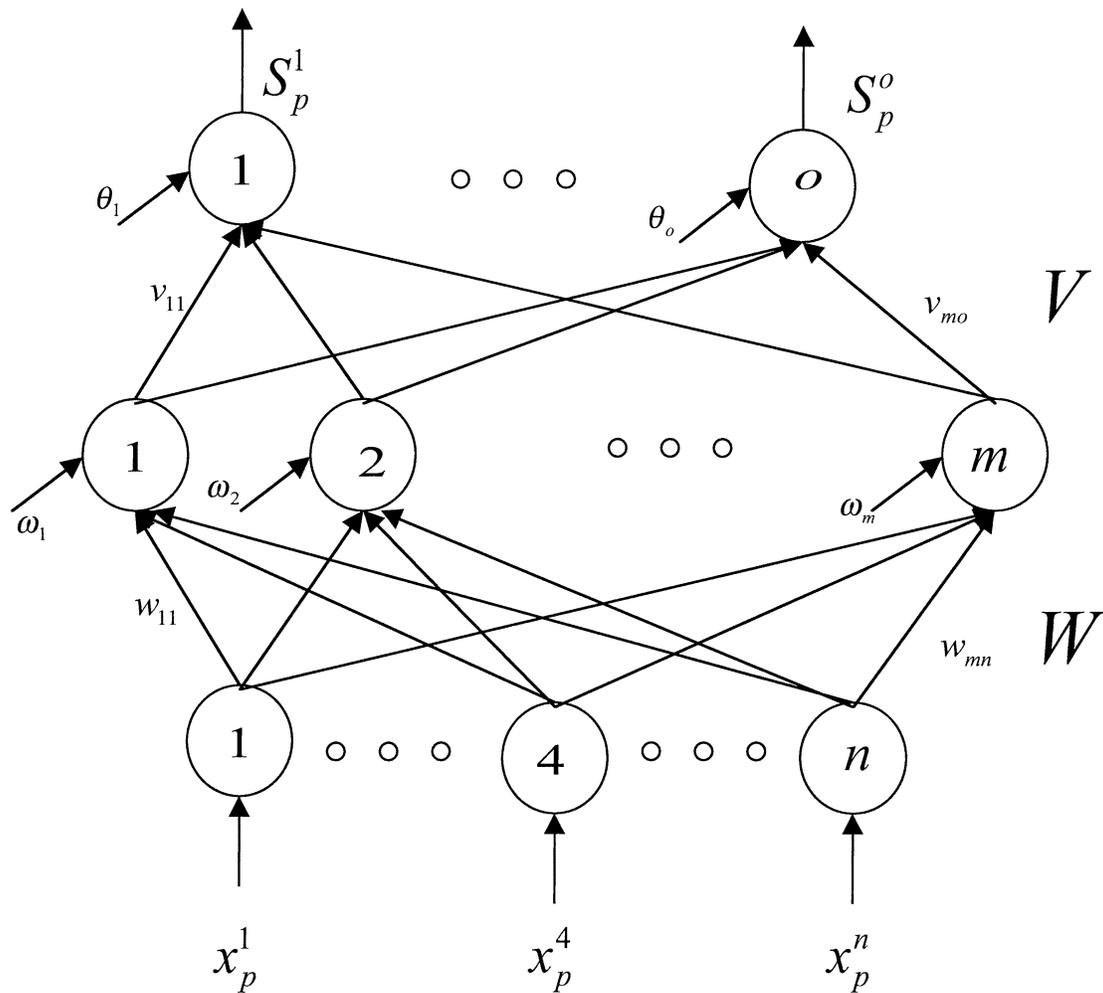


Figure 3 2 Neural Network

- 1 Initialize all the weights to random values between 0 and 1
- 2 While (MSE > Error Threshold OR Number of Cycles is below the desired value)
- 3 For each Pattern p , $1 \leq p \leq P$,
- 4 Pass the input through the input layer

$$x_p^1, x_p^2, x_p^3, \dots, x_p^n$$

- 5 Compute the total input to each hidden neuron Net input to hidden neuron j is

$$Hnet_j^p = \sum_{i=0}^n w_{ij} \cdot x_i^p - \omega_j$$

- 6 Compute Hidden neuron outputs Output of hidden neuron j is

$$y_j^p = S(Hnet_j^p)$$

$$\text{where } S(net) = \frac{1}{1 + e^{-net}}$$

- 7 Compute the total input to each output neuron Net input to output neuron k is

$$Onet_k^p = \sum_{j=0}^m v_{jk} \cdot y_j^p - \theta_k$$

- 8 Compute Network Outputs Output of the network at neuron k is

$$o_k^p = S(Onet_k^p)$$

- 9 Compute error between the network output and desired output

$$|o_k^p - d_k^p|$$

- 10 Correct the hidden to output connections by

$$\Delta v_{jk} = \eta (d_k^p - o_k^p) \cdot o_k^p \cdot (1 - o_k^p) \cdot y_j^p$$

where η is the learning factor, typically set between 0.1 and 0.5

- 11 Correct the input to hidden connections by

$$\text{Let } \partial_k^p = (d_k^p - o_k^p) \cdot o_k^p \cdot (1 - o_k^p)$$

$$\Delta w_{ij} = \eta \sum_{k=0}^l (\partial_k^p \cdot w_{jk}) x_j^p \cdot (1 - x_j^p) \cdot x_i^p$$

- 12 End For — Step 3

- 13 End While Step 2

3.4 Network Dynamics and Parameters

The connection strengths are initialized to random values between -1.0 and $+1.0$. The weights are updated for each pattern in every epoch of training.

The choice of the learning rate η is based on experience and empirical judgement. A large value of η causes rapid learning but weights may oscillate and never converge. A low value of η leads to a stable convergence but results in slow learning. In our experiments, the networks have performed better when η is between 0.1 and 0.5 .

A sigmoid function is used as the activation function for each neuron. The sigmoid function is a non-linear function, hence introduces non-linearity in the network. The supervised backpropagation learning algorithm uses a gradient descent method, for which a continuous function provides the most accurate implementation. Since the sigmoid function is differentiable everywhere, it is a good choice for the backpropagation algorithm.

We use the following sigmoid function

$$S(net) = \frac{1}{1 + e^{-net}}$$

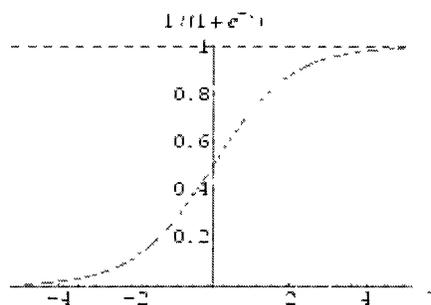


Figure 3.3 Sigmoid Function

The network accuracy is measured as the percentage of training samples which are classified correctly

$$accuracy = \frac{numCorrOutput}{TotNumSamples}$$

The output of a pattern is considered to be correct if the output of each neuron of the network is the same as the desired output for that neuron. This is a tight check on the correctness of the output.

3.5 Filtering the Data and Re-Training

During the training process, we may not be able to achieve an acceptable average mean square error over the entire dataset. This may be due to scattered or inconsistent patterns which have high errors. These patterns can be filtered out, thus lowering the average mean squared error. To achieve this, we define an upper bound for the mean squared error and remove all the patterns whose error is above this bound. In our process, we set the upper bound to twice the mean squared error for the current cycle.

3.5.1 Procedure

1. Choose the cycle in the training phase in which the data needs to be filtered.
2. When that cycle is reached during training, compute the Mean Square Error of the network for the entire dataset

$$MSE = \frac{1}{P} \sum_{i=1}^P |o^p - d^p|^2$$

3. For each Pattern p , $1 \leq p \leq P$,
4. Compute the error for the pattern

$$Err(p) = |o^p - d^p|^2$$

5. If $(Err(p) > 2 \cdot MSE)$

Remove the Pattern p from the dataset.

End-For

6. Continue training the network with the remaining Samples

In our experiments, we have observed that the error stabilizes after 5000-7000 cycles of training. So we train the network for 7000 cycles, and apply the filtering process which removes those patterns whose errors are above twice the MSE. The cycle at which the filtering is done can be changed based on the size of the dataset and the number of cycles required for achieving stability.

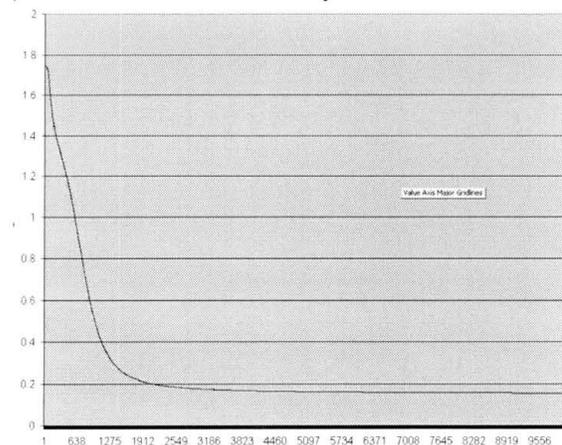


Figure 3.4 Plot of Training for a Sample Set s Without Filtering

Figure 3.4 Plot of Training for a Sample Set s Without Filtering

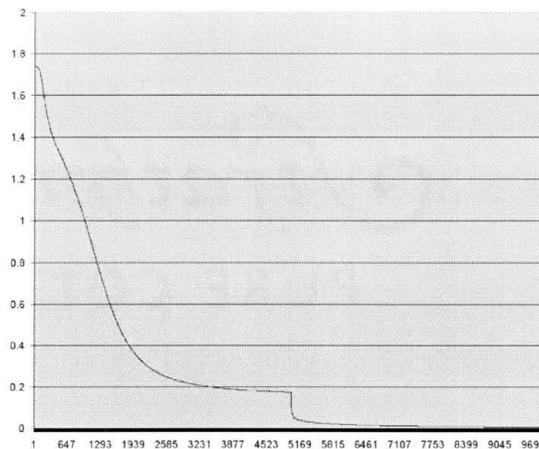


Figure 3.5 Plot of Training for a Sample Set s With Filtering

After filtering, the network will be re-trained with the remaining patterns in the dataset. The filtering process can be applied more than once to achieve desired error. The filtering process helps in removing the scattered or inconsistent patterns. By doing so, the network is trained only on the consistent patterns.

The number of patterns filtered out is critical to the completeness of the extracted rules. If a large percentage of patterns from the dataset are filtered out, we can conclude that there is high probability of scattered or inconsistent patterns. Hence, we cannot infer any conclusive rules about the dataset. The network is not able to generalize the scattered patterns.

In our process, we use the percentage of the patterns filtered out from the original dataset as an upper level Support parameter. The confidentiality of extracted rules are based on the desired level of the upper Support Parameter.

CHAPTER 4. PRUNING THE TRAINED NEURAL NETWORK

4.0 Overview

This chapter deals with the process of pruning the trained network. Pruning is the process of removing unnecessary connections and neurons to obtain a minimal architecture. This improves generalization and also reduces the complexity of the network. Section 4.1 introduces pruning the neural network. Section 4.2 describes the penalty term for training the network. Section 4.3 discusses the pruning criteria. Section 4.4 defines the pruning procedure. Section 4.5 discusses the significance. Section 4.6 describes some test results.

4.1 Introduction to Pruning Neural Networks

One of the problems facing neural network applications is finding the optimal architecture of the network, i.e. the optimal number of hidden neurons and connections. Too many hidden neurons may result in poor generalization of the network and too few hidden neurons may produce an unstable network [Setiono 1996]. Various methods of pruning have been introduced. We use a simple pruning method similar to the method developed by Setiono [Setiono 1996]. In fact, any pruning method can be used for the pruning process as per the application requirements as long as the desired accuracy is achieved.

The connections which are insignificant or provide minimal relevance (based on their connection strengths) to the output of the network are removed. Finally the neurons which do not have any outgoing or incoming connections can be removed.

The pruning process will eliminate some input layer, hidden layer and output layer neurons, thus providing a better generalization. This helps to eliminate insignificant attributes and extract more

concise and more accurate rules Pruning the networks results in a less complex network and improves the generalization A less complex network helps to lower the complexity of the rule extraction process

4.2 Training Using the Penalty Term

In order to identify the insignificant connections and therefore to prune the network, a penalty function must be added to the error function during the training phase of the network The penalty function would force the unnecessary connections to have very small absolute weights which will result in minimal impact and, therefore, can be removed without affecting the output of the network

The penalty function consists of two terms The first term drives the decaying of small weights to values close to zero The second term prevents weights from getting too large

The penalty function is defined as

$$P(w, v) = \rho_{decay} (P_1(w, v) + P_2(w, v))$$

where ρ_{decay} is the scaling factor

$$P_1(w, v) = \varepsilon_1 \left(\sum_{i=1}^n \sum_{j=1}^m \frac{\beta w_{ij}^2}{1 + \beta w_{ij}^2} + \sum_{j=1}^n \sum_{k=1}^o \frac{\beta v_{jk}^2}{1 + \beta v_{jk}^2} \right)$$

$$P_2(w, v) = \varepsilon_2 \left(\sum_{i=1}^n \sum_{j=1}^m w_{ij}^2 + \sum_{j=1}^n \sum_{k=1}^o v_{jk}^2 \right)$$

where n is the number of input neurons

m is the number of hidden neurons

o is the number of output neurons

w_{ij} is the i^{th} input to j^{th} hidden layer connection strength

v_{jk} is the j^{th} hidden to k^{th} output layer connection strength

ε_1 is scaling factor typically set at 0.1

ε_2 is the scaling factor typically set at 0.00001

β is the scaling factor typically set at 10

The total energy function to be minimized during the training process is

$$\theta(w, v) = E(w, v) + P(w, v)$$

$$\text{where } E(w, v) = \frac{1}{L} \sum_{l=1}^L \sum_{k=1}^o (S_{lk} - d_{lk})^2$$

L is the number of patterns

o is the number of output neurons

S_{lk} is the output of the k^{th} output neuron for pattern l

d_{lk} is the expected output of the k^{th} output neuron for pattern l

The connection strengths are updated using the gradient descent approach. The gradient descent approach is an intelligent search for the global minima of the energy function. The gradient of the error function is defined as

$$\nabla \theta(w, v) = \nabla E(w, v) + \nabla P(w, v)$$

The connection strengths are updated proportional to the negative direction of the gradient, since the gradient provides the steepest upward slope.

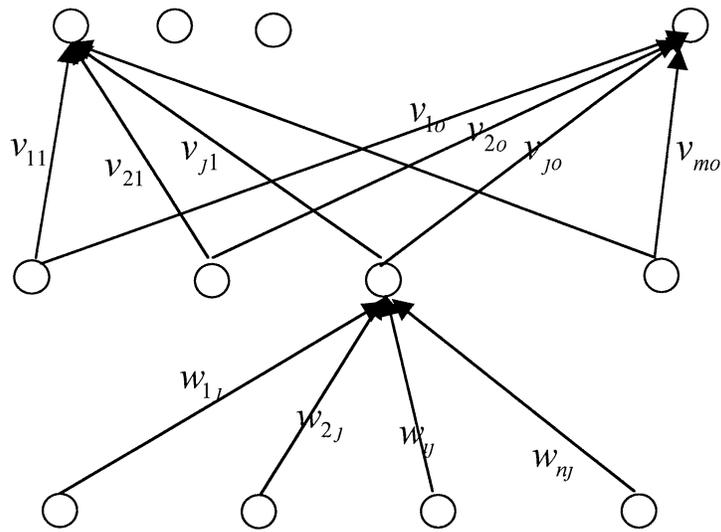


Figure 4.1 Partial Neural Network Showing Weighted Connections

$$\Delta v_{jk} = -(\nabla E(v, w) + \nabla P(v, w))$$

$$\nabla E(w, v) = \frac{\partial E}{\partial V} = -2 \cdot e_k^p \cdot S_k^p$$

$$\nabla P(w, v) = \frac{\partial P}{\partial (W, V)} = \frac{\partial P_1}{\partial (W, V)} + \frac{\partial P_2}{\partial (W, V)}$$

Derivative of penalty term P_1

$$\frac{\partial P_1}{\partial v_{jk}} = \frac{\partial \left(\varepsilon_1 \frac{2\beta w_y}{1 + \beta w_y^2} \right)}{\partial v_{jk}} + K + \frac{\partial \left(\varepsilon_1 \frac{2\beta w_{nm}}{1 + \beta w_{nm}^2} \right)}{\partial v_{jk}} + L + \frac{\partial \left(\varepsilon_1 \frac{2\beta v_{11}}{1 + \beta v_{11}^2} \right)}{\partial v_{jk}} + K + \frac{\partial \left(\varepsilon_1 \frac{2\beta v_{21}}{1 + \beta v_{21}^2} \right)}{\partial v_{jk}} + K + \frac{\partial \left(\varepsilon_1 \frac{2\beta v_{jk}}{1 + \beta v_{jk}^2} \right)}{\partial v_{jk}} + K + \frac{\partial \left(\varepsilon_1 \frac{2\beta v_{mo}}{1 + \beta v_{mo}^2} \right)}{\partial v_{jk}}$$

$$\frac{\partial P_1}{\partial v_{jk}} = 0 + 0 + K + \frac{\partial \left(\varepsilon_1 \frac{2\beta v_{jk}}{1 + \beta v_{jk}^2} \right)}{\partial v_{jk}} + K \cdot 0$$

$$\frac{\partial P_1}{\partial v_{jk}} = \varepsilon_1 \cdot 2 \cdot \beta \left(\frac{v_{jk}}{(1 + \beta v_{jk}^2)^2} \right)$$

Similarly, $\frac{\partial P_1}{\partial w_y} = \varepsilon_1 \cdot 2 \cdot \beta \left(\frac{w_y}{(1 + \beta w_y^2)^2} \right)$

Derivative of penalty term P_2

$$\frac{\partial P_2}{\partial v_{jk}} = \frac{\partial w_{11}^2}{\partial v_{jk}} + K + \frac{\partial w_y^2}{\partial v_{jk}} + K + \frac{\partial w_{nm}^2}{\partial v_{jk}} + \frac{\partial v_{11}^2}{\partial v_{jk}} + \frac{\partial v_{12}^2}{\partial v_{jk}} + K + \frac{\partial v_{21}^2}{\partial v_{jk}} + K + \frac{\partial v_{jk}^2}{\partial v_{jk}} + K + \frac{\partial v_{mo}^2}{\partial v_{jk}}$$

$$\frac{\partial P_2}{\partial v_{jk}} = 0 + K + 0 + K + 0 + 0 + 0 + K + 0 + K + \frac{\partial v_{jk}^2}{\partial v_{jk}} + K + 0$$

$$\frac{\partial P_2}{\partial v_{jk}} = \varepsilon_2 \cdot 2 \cdot v_{jk}$$

Similarly, $\frac{\partial P_2}{\partial w_y} = \varepsilon_2 \cdot 2 \cdot w_y$

Therefore, the hidden to output neuron connections are updated by

$$\Delta v_{jk} = \eta (d_k^p - S_k^p) \cdot S_k^p \cdot (1 - S_k^p) \cdot x_j^p - \left(\varepsilon_1 \cdot 2 \cdot \beta \cdot \left(\frac{v_{jk}}{(1 + \beta v_{jk}^2)^2} \right) \right) - \varepsilon_2 \cdot 2 \cdot v_{jk}$$

and the input to hidden neuron connections are updated by

$$\Delta w_y = \eta \sum_{k=1}^o (\partial_{p,k} \cdot v_{jk}) \cdot x_j^p \cdot (1 - x_j^p) \cdot x_i^p - \left(\varepsilon_1 \cdot 2 \cdot \beta \cdot \left(\frac{w_y}{(1 + \beta w_y^2)^2} \right) \right) - \varepsilon_2 \cdot 2 \cdot w_y$$

$$\text{where } \partial_{p,k} = (d_k^p - S_k^p) \cdot S_k^p \cdot (1 - S_k^p)$$

4.3 Soundness of the Pruning Criteria

The pruning criteria is defined as follows

For each w_y in the network,

$$\text{if } \max_k |v_{jk} w_y| < 4\eta_2, \text{ remove } w_y$$

For each v_{jk} ,

$$\text{if } |v_{jk}| \leq 4\eta_2, \text{ remove } v_{jk}$$

where w_y is the i^{th} input to j^{th} hidden layer connection strength

v_{jk} is the j^{th} hidden to k^{th} output layer connection strength

The proof of correctness of the pruning criteria is shown in [Setiono 1996] We use the same pruning criteria used by Setiono

The output of a network for an input pattern x_i at output neuron k is

$$S_k^i = \sigma \left(\sum_{j=1}^m \sigma(x_i \cdot w_{ij}) v_{jk} \right)$$

where S_k^i is the output of neuron k for input i

m is the number of hidden neurons

x_i is the input to neuron i

σ is the sigmoid activation function

w_{ij} is the i^{th} input to j^{th} hidden layer connections

v_{jk} is the j^{th} hidden to k^{th} output layer connections

It is shown in [Setiono 1996] that for a connection of zero strength and considering S_k^i as a function of a single variable weight,

$$|S_k^i(0) - S_k^i(w_{ij})| \leq |v_{jk} \cdot w_{ij}| / 4$$

$$|S_k^i(0) - S_k^i(v_{jk})| \leq |v_{jk}| / 4$$

A pattern is correctly classified if the following condition is satisfied

$$|e_k^i| = |S_k^i - d_k^i| \leq \eta_1, \text{ where } \eta_1 \in [0, 0.1)$$

where e_k^i is the error of the output neuron k for input i

S_k^i is the actual output of the neuron k for input i

d_k^i is the desired output of the neuron k for input i

For $\eta_1 + \eta_2 < 0.5$, suppose a trained network classifies an input x_i correctly. The effect of the output on removing the connections is shown by

$$|S'_k(0) - d'_k| \leq |S'_k(0) - S'_k(w_y)| + |S'_k(w_y) - d'_k|$$

$$|S'_k(0) - d'_k| \leq \eta_2 + \eta_1$$

$$|S'_k(0) - d'_k| \leq 0.5$$

It is shown that if $\max_p |v_{jk} \cdot w_y| \leq 4\eta_2$, w_y can be removed and the overall accuracy will not

deteriorate significantly. Similarly if $\max_p |v_{jk}| \leq 4\eta_2$, v_{jk} can be removed.

4.4 Pruning Procedure

The pruning process consists of four phases. In Phase 1, the pruning criteria is applied to all connections of a trained network. This is called the sweep phase. The network is retrained after this phase. In Phase 2, the pruning criteria is applied to the connections leading into and out of each hidden layer neuron and the network is re-trained, one neuron at a time. Phase 3, is a repeated sweep as in Phase 1. In Phase 4, all unnecessary neurons, those having no incoming or outgoing connections, are removed.

The pruning procedure is defined as follows

0a Train the neural network till desired accuracy is achieved, or the required number of cycles has been reached

0b Choose a value η_2 such that

$$\eta_1 + \eta_2 < 0.5$$

Prune Phase 1 . (Sweep Phase)

- 1a Apply the pruning criteria to all connection strengths
- 1b Retrain the network
- 1c If the accuracy decreases, restore the previous connections

Prune Phase 2 .

- 2a For each hidden neuron j ,
 - 2b Apply the pruning criteria to the connections coming into and out of neuron j
 - 2c Retrain the network
 - 2d If the accuracy decreases, restore the connections of neuron j and continue
- End For

Prune Phase 3 . (Sweep Phase)

- 3a Apply the pruning criteria to all connection strengths
- 3b Retrain the network
- 3c If the accuracy decreases, restore the previous connections

Prune Phase 4 . (Neuron Removal Phase)

- 4a For each input layer neuron i in the network,
 - If there are no outgoing connections, remove neuron i
- 4b For each Hidden Layer Neuron j in the network,
 - If there are no incoming connections, remove neuron j
- 4c For each remaining Hidden Layer Neuron j in the network,
 - If there are no outgoing connections, remove neuron j
- 4d For each Output Layer Neuron k in the network,
 - If there are no incoming connections, remove neuron k

4.5 Significance of Pruning to the Extraction Process

Pruning is an important step for the extraction of rules. Not only does the pruning decrease the complexity of the network in terms of connections, but also it removes unnecessary neurons. Neurons which do not have any incoming or outgoing connections can be removed from the network. The removal of neurons can offer significant network generalization.

Removal of input neurons suggest that those inputs are irrelevant to the data model. Similarly output neurons which are removed suggest that those outputs are irrelevant. These input and output neurons will not be included in the rules, hence the generalization is enhanced. The removal of hidden neurons reduce the complexity for clustering process.

4.6 Pruning Test Results

An Illustration of pruning the neural network is shown below.

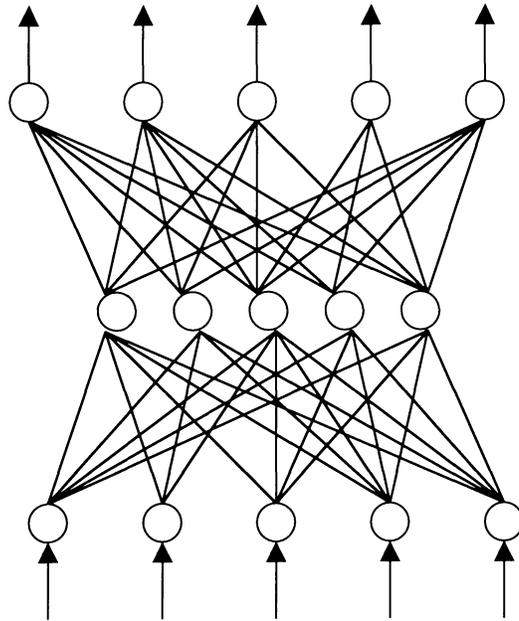


Figure 4.2 Before Pruning

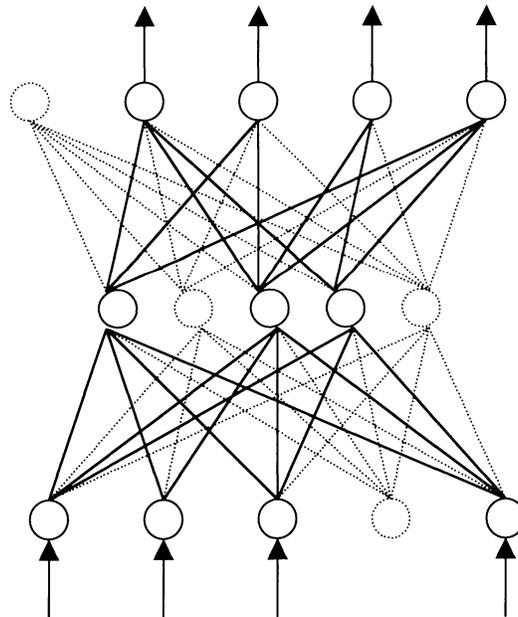


Figure 4.3 After Pruning

The dashed connections and neurons represent the pruned connections and neurons.

The results obtained for different values chosen for ρ_{decay} are shown in below

Learning Rate α	0.5		0.3		0.4	
Hidden-Output ρ_{decay}	0.01		0.01		0.01	
Input-Hidden ρ_{decay}	0.05		0.03		0.03	
Pruning Criteria (4 x)	0.49		0.49		0.49	
Test Dataset 1	96%	96%	96%	96%	96%	96%
	39/250	45/175	49/250	66/175	32/250	52/175
	15.6%	25.7%	19.6%	37.7%	12.8%	29.7%
Test Dataset 2	98%	98%	74%	74%	90%	90%
	9/250	85/175	37/250	118/175	10/250	104/175
	3.6%	48%	14.8%	67.4%	4%	59.4%
Test Dataset 3	60%	66%	46%	46%	96%	96%
	26/250	112/175	25/250	124/175	5/250	104/175
	10.4%	64%	10%	70%	2%	59.4%
Test Dataset 4	90%	90%			88%	88%
	73/250	98/175			63/250	112/175
	29%	56%			25%	64%

Index	Accuracy before	Accuracy after
	Number of connections Pruned	
	Input-Hidden	Hidden-output

Table 4.1 Summary of Pruning Tests

CHAPTER 5. ADAPTIVE CLUSTERING OF HIDDEN NEURON ACTIVATIONS

5.0 Overview

This chapter describes the clustering of hidden layer activation values of the neural network.

Section 5.1 discusses the significance and benefits of the clustering phase. Section 5.2 defines and illustrates the clustering algorithm. Section 5.3 defines and illustrates the re-clustering algorithm. Section 5.4 discusses the overall clustering technique and illustrative examples.

Clustering is the third phase of the rule extraction process. In the clustering phase :

1. The hidden layer activation values for each neuron are dynamically clustered having a cluster radius of r_c
2. The hidden layer activation values for each neuron are re-clustered having a confidence radius of $rConf_c$

Theoretically, clustering superimposes a new layer of neurons in place of each hidden layer neuron. This can be visualized as shown below :

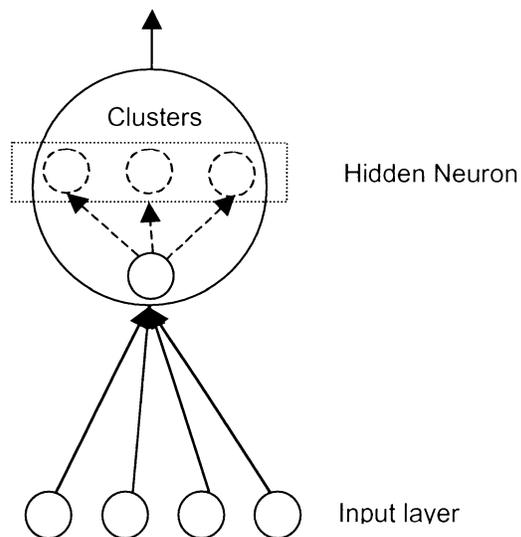


Figure 5.1 Hidden Layer Neuron Superimposed with Activation Clusters

5.1 Significance and Benefits of Clustering and Re-Clustering

The clustering of hidden layer neuron activation values provide representative values for hidden neuron activations. The centroid of each cluster represents the mean of the values in the cluster and can be used as the representative value of the cluster. This is a form of generalization. By using the centroids of the clusters, each hidden neuron has a minimal set of activations. This helps with getting generalized outputs at the output layer.

Our clustering process has control parameters for cluster radius and frequency. More importantly, it provides dynamic control parameters for confidence radius and confidence frequency. The confidence radius which is a subset of cluster radius controls the tolerance and accuracy of the extraction process. As the confidence radius decreases, the confidence of the extracted rule increases. The confidence frequency determines the frequency of the activity within the confidence radius. As confidence frequency increases so does the consistency and support of the extracted rule.

We use a dynamic clustering technique. This allows flexibility in terms of number of clusters based on cluster radius.

The significance of clustering process is to determine the closeness and frequency of hidden neuron activation values. Clusters with high frequency represent high activities and confidence, whereas, clusters with low frequency represent inconsistent and infrequent activities.

5.2 Adaptive Clustering of Hidden Layer Neuron Activation Values

The output of a hidden layer neuron for any input pattern is called the hidden layer neuron activation. The activations of a hidden layer neuron for a set of input patterns are scattered over the activation space (one dimensional). In this phase of the process, regions of similar activations are grouped and a representative value for each region is determined by means of clustering algorithm. The purpose of this is to find generalizations for regions of consistent activities and also to filter inconsistent activities.

Element Set The element set E_j is the set of activations of a hidden layer neuron j for all the patterns in the dataset D

$$E_j = \{activations_j^p \mid 1 \leq p \leq P\}, \text{ where } P \text{ is the set of patterns}$$

Cluster Cluster c is a region having a radius of r_c which includes elements e_c^i , where $1 \leq i \leq n_c$ and n_c is the number of elements in the cluster. Clusters may be overlapping or disjoint.

Cluster Frequency The number of elements in a cluster c is called the frequency of a cluster denoted by $freq_c$.

Centroid The center of a cluster c is denoted by G_c . The centroid is adjusted dynamically as a new element e_c^i is added to the cluster.

$$G_c^{new} = \frac{(G_c^{old} \cdot freq_c) + e_c^i}{freq_c + 1}$$

The centroid is the representative value of the cluster.

$Dist(G_c, e)$ is the numerical distance of the element e from the centroid G_c

$$Dist(G_c, e) = |G_c - e|$$

Cluster Radius The radius of a cluster defines the distance of the farthest element to the centroid

$$|G_c - e'_c| \leq r_c \quad \text{for any cluster } c$$

Cluster space Clusterspace U_{E_j} is defined as the collection of clusters c_m for a particular Elementset E_j , where $m \geq 0$ is the number of clusters in the clusterspace

$c_{\min}(e)$ is the cluster whose $Dist(G_c, e)$ is the least among all existing clusters in the clusterspace U_{E_j}

$$c_{\min}(e) = \min(Dist(G_c, e)) \quad \forall c \in U_{E_j}$$

Confidence Radius the distance of the farthest confidence element to the centroid The confidence radius is usually less than the cluster radius It is denoted as $rConf_c$

Confidence Frequency The number of elements enclosed within each confidence radius It is denoted by $freqConf_c$

The clustering algorithm is adaptable, that is the clusters are created dynamically as elements are added into the clusterspace Therefore, the number of clusters and the number of elements in each cluster are not known apriori

Initial Condition 1 Elementset E exists
 2 Clusterspace U_E is empty

Prodecure

1 For each element e in E

1a Find $c_{\min}(e)$ in the clusterspace U

Case

1b (i) If $c_{\min}(e)$ is null, i.e. no cluster in the clusterspace

2a Create a new cluster $c_{new}(e)$

2b Set $freq_{c_{new}(e)} = 1$

1b (ii) If $Dist(G_{c_{\min}}, e) > r_c$, then e lies outside the cluster radius of $c_{\min}(e)$

2a Create a new cluster $c_{new}(e)$

2b Set $freq_{c_{new}(e)} = 1$

1a (iii) If $Dist_e(G_{c_{\min}}, e) \leq r_c$, then e lies within the cluster radius of $c_{\min}(e)$

a Add e to $c_{\min}(e)$ and adjust the centroid

b Increment $freq_{c_{\min}(e)}$ by 1

End For

5.3 Re-Clustering Based on Confidence Radius

The re-clustering is performed based on a confidence radius. It is performed after an initial clusterspace is built on an elementset using the clustering process described in the previous section. Unlike clustering, re-clustering is non-dynamic. The cluster centroids remain fixed during the re-clustering and no new clusters are created. The clusterspace is adjusted using the confidence radius around the fixed centroids. The re-clustering can be performed any number of times using different confidence radii. The confidence radius should always be less than the cluster radius.

Re-clustering helps re-organize the clusterspace using the confidence radius around the fixed centroids. The purpose of this is to define a confidence area around the fixed centroids. Only activations within these areas are considered for the confidence frequency. This helps to eliminate inconsistent activations. The activation values are not within any clusters are inconsistent activations since (1) the bound for cluster radius enforces the correctness of the cluster centroids, and (2) the confidence radius is always set to be less than or equal to the cluster radius.

The re-clustering is also necessary to solve the problem of overlapping clusters. Since the adaptive is order dependent, some elements may be clustered in a cluster whose centroid is not the nearest to the element. By re-clustering the clusterspace, the clusters are re-organized and some elements end up in confidence regions. An illustration of re-clustering is shown below.

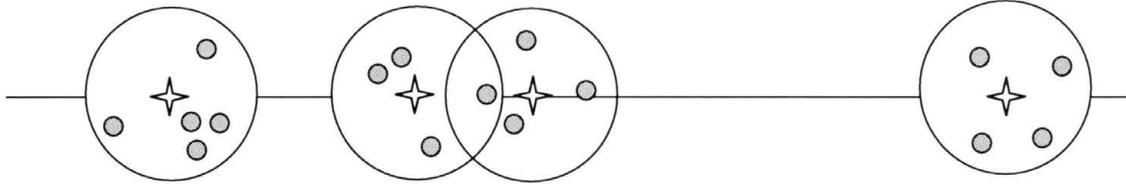


Figure 5.2 The clusterspace after clustering

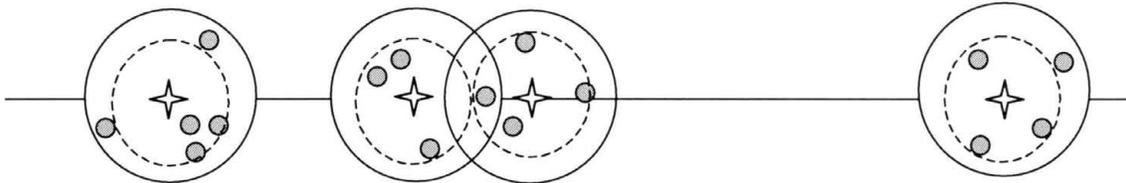


Figure 5.3 Effect of re-clustering on the clusterspace

The solid circles represent the clusters and the dashed circles represent the confidence clusters. After re-clustering, the centroids of the clusters represent the clusters. The centroid of the confidence cluster may not necessarily be the mean of all elements. However, all elements of the confidence cluster are guaranteed to satisfy the cluster bound requirement.

Assumption : 1.Elementset E exists

2. E is clustered and clusterspace U_E exists

Procedure :

1. Initialize the Clusterspace U_E to have

1a.Confidence Radius $rConf$

1b.Initialize Confidence Freq of all existing clusters to 0

2. For each element e in E

2a. Find $c_{\min}(e)$ in the clusterspace U_E

2b. If $c_{\min}(e)$ exists and $Dist_e(G_{c_{\min}}, e) \leq rConf_c$

Increment $freqConf_c$ by 1

End For

5.4 Clustering Principle and Soundness

5.4.1 Limitations of representative values and cluster radius

By using the cluster centroids of hidden layer neuron activation values, the output of a hidden layer neuron is generalized. A representative value is used in place of a group of similar values, which are close in the activation space. The representative value is the mean of the values in the cluster. The radius of the cluster has an impact on the output of the hidden layer neuron hence affecting the accuracy of the neural network. Therefore, a large cluster radius can impair the accuracy of the network significantly. We impose a bound on the radius of the cluster based on the desired accuracy of the network. The derivation of the bound for cluster radius for a particular network is shown below.

The range of the output values of a hidden layer neuron is

$$S_j^p = G_{c_j}^p \pm r_{c_j}$$

Where S_j^p is the output of the hidden neuron j after clustering, for pattern p

$G_{c_j}^p$ is the centroid of the cluster activated for neuron j , for pattern p

r_{c_j} is the radius of the cluster activated for neuron j , for pattern p

The output of the k^{th} output layer neuron, for a pattern p , is

$$S_k^p = Sig\left(\sum_{j=1}^m x_j \cdot v_{jk}\right)$$

where m is the number of hidden layer neurons

and
$$Sig(x) = \frac{1}{1 + e^{-ax}}$$

The tolerance ρ is defined as

$$\rho = S_k^p - d_k^p$$

where d_k^p is the desired output of neuron k , for pattern p

S_k^p is the actual output of neuron k , for pattern p

Let,

$$\gamma_c = \pm r_c$$

considering the worst case, if the representative value (the centroid) of each hidden layer cluster

is used, the output of the k^{th} output layer neuron, for a pattern, would be

$$S_k^p = \text{Sig} \left(\sum_{j=1}^m (x_j + \gamma_c) \cdot v_{jk} \right)$$

$$S_k^p = \text{Sig} \left(\sum_{j=1}^m x_j \cdot v_{jk} + \sum_{j=1}^m \gamma_c \cdot v_{jk} \right)$$

For maintaining the accuracy of the network, $\left| S_k^p - S_k^p \right| \leq \rho$ must hold

This implies that,

$$\left| \text{Sig} \left(\sum_{j=1}^m x_j \cdot v_{jk} \right) - \text{Sig} \left(\sum_{j=1}^m x_j \cdot v_{jk} + \sum_{j=1}^m \gamma_c \cdot v_{jk} \right) \right| \leq \rho \quad (1)$$

For any increasing function $f(x)$, the following inequality is true

$$f(a+b) \leq f(a) + f(b)$$

Therefore,

$$\text{Sig} \left(\sum_{j=1}^m x_j \cdot v_{jk} + \sum_{j=1}^m \gamma_c \cdot v_{jk} \right) \leq \text{Sig} \left(\sum_{j=1}^m x_j \cdot v_{jk} \right) + \text{Sig} \left(\sum_{j=1}^m \gamma_c \cdot v_{jk} \right) \quad (2)$$

Combining (1) and (2),

$$\left| \text{Sig} \left(\sum_{j=1}^m x_j \cdot v_{jk} \right) - \text{Sig} \left(\sum_{j=1}^m x_j \cdot v_{jk} + \sum_{j=1}^m \gamma_c \cdot v_{jk} \right) \right| \leq \rho$$

$$\left| \text{Sig} \left(\sum_{j=1}^m \gamma_c \cdot v_{jk} \right) \right| \leq \rho$$

$$\left| \text{Sig} \left(\gamma_c \sum_{j=1}^m v_{jk} \right) \right| \leq \rho$$

Denoting $\sum_{j=1}^m v_{jk}$ as $\sum v$

$$\frac{1}{1+e^{-a\gamma_c \sum v}} \leq \rho$$

$$1+e^{-a\gamma_c \sum v} \geq \frac{1}{\rho}$$

$$e^{-a\gamma_c \sum v} \geq \frac{1}{\rho} - 1$$

Taking natural logarithm on both sides,

$$-a \cdot \gamma_c \cdot \sum v \geq \ln\left(\frac{1}{\rho} - 1\right)$$

$$-\gamma_c \geq \frac{\ln\left(\frac{1}{\rho} - 1\right)}{a \cdot \sum v}$$

$$\gamma_c \leq \frac{\ln\left(\frac{1}{\rho} - 1\right)}{-a \cdot \sum v}$$

Since the value $\sum v$ is different for each output neuron k , we use

$$\sum v^* = \max_k \left| a \cdot \sum_{j=1}^m v_{jk} \right|$$

Therefore the upper bound for r_c is

$$|r_c| \leq \frac{\ln\left(\frac{1}{\rho} - 1\right)}{\sum v^*}$$

Where a is the scaling factor for the Sigmoid function, typically between 0.01 and 0.005

5.4.2 Confidence Radius

The confidence radius for re-clustering is typically set to be one-half of the cluster radius to eliminate any possible overlaps among clusters.

$$rConf_c = \frac{1}{2}r_c$$

5.4.3 Illustrative example of adaptable clustering procedure

Below is a plot of activation values for a hidden layer neuron j , for 81 input patterns. The red ovals show possible clusters or regions of activity.

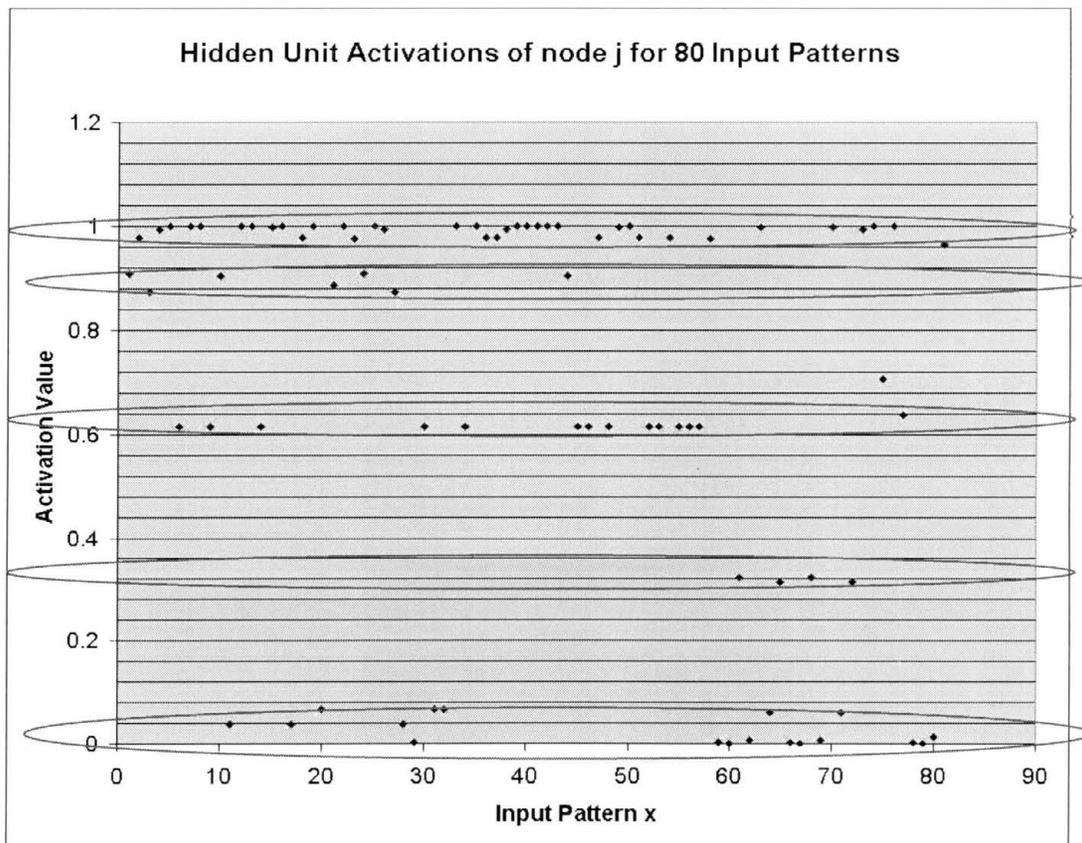


Figure 5.4 Plot showing Hidden Layer Neuron Activation Values of a Neuron j for 81 Input Patterns

The clustering process is applied to the above elementset and three possible results are shown below for different cluster radii. We can see the fineness of the clustering increase as the radius decreases. Inconsistent activity can be seen through clusters with relatively low frequency.

Clustering Example 1 : Cluster Radius : 0.1

Cluster 0 : $G=0.976982$ | Freq=44

Cluster 1 : $G=0.623161$ | Freq=15

Cluster 2 : $G=0.0261928$ | Freq=18

Cluster 3 : $G=0.318042$ | Freq=4

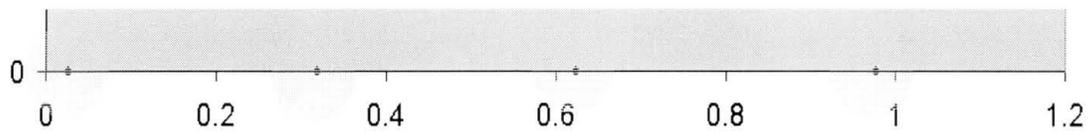


Figure 5.5 Clustering Example 1

Clustering Example 2 : Cluster Radius : 0.05

Cluster 0 : $G=0.894242$ | Freq=7

Cluster 1 : $G=0.992635$ | Freq=37

Cluster 2 : $G=0.61717$ | Freq=14

Cluster 3 : $G=0.0261928$ | Freq=18

Cluster 4 : $G=0.318042$ | Freq=4

Cluster 5 : $G=0.707038$ | Freq=1

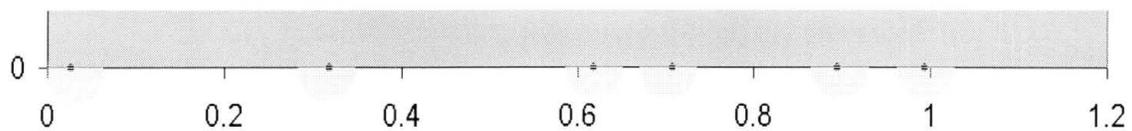


Figure 5.5 Clustering Example 2

Clustering Example 2 : Cluster Radius : 0.01

Cluster 0 : G=0.906447 | Freq=4

Cluster 1 : G=0.977062 | Freq=9

Cluster 2 : G=0.873794 | Freq=2

Cluster 3 : G=0.998891 | Freq=27

Cluster 4 : G=0.615573 | Freq=13

Cluster 5 : G=0.037844 | Freq=3

Cluster 6 : G=0.0644019 | Freq=5

Cluster 7 : G=0.886316 | Freq=1

Cluster 8 : G=0.00359298 | Freq=10

Cluster 9 : G=0.318042 | Freq=4

Cluster 10 : G=0.707038 | Freq=1

Cluster 11 : G=0.63793 | Freq=1

Cluster 12 : G=0.963879 | Freq=1



Figure 5.5 Clustering Example 3

5.4.4 Confidence frequency calculation and re-clustering

The effects of re-clustering are shown below for the same elementset with a cluster radius of 0.05 and different confidence radii. As can be seen from the examples below the confidence frequency is always less than the cluster frequency, since the confidence radius is less than the cluster radius.

Re-Clustering Example 1 . Cluster Radius . 0 05 , Confidence Radius 0.025

Cluster 0 G=0 894242 | Freq=7 | Conf Freq=7

Cluster 1 G=0 992635 | Freq=37 | Conf Freq=36

Cluster 2 G=0 61717 | Freq=14 | Conf Freq=14

Cluster 3 G=0 0261928 | Freq=18 | Conf Freq=10

Cluster 4 G=0 318042 | Freq=4 | Conf Freq=4

Cluster 5 G=0 707038 | Freq=1 | Conf Freq=1

Re-clustering example 2 . Cluster Radius 0 05 , Confidence Radius 0 01

Cluster 0 G=0 894242 | Freq=7 | Conf Freq=3

Cluster 1 G=0 992635 | Freq=37 | Conf Freq=27

Cluster 2 G=0 61717 | Freq=14 | Conf Freq=13

Cluster 3 G=0 0261928 | Freq=18 | Conf Freq=0

Cluster 4 G=0 318042 | Freq=4 | Conf Freq=4

Cluster 5 G=0 707038 | Freq=1 | Conf Freq=1

CHAPTER 6. RULE EXTRACTION

6.0 Overview

This chapter deals with the final phase of the process i.e. extracting the rules from the network. Section 6.1 discusses the rule extraction principle used in our work. Section 6.2 describes the Centroid Activation Layer. Section 6.3 discusses the framework parameters. Section 6.4 describes the extraction procedure for existing rules. Section 6.5 describes the procedure for extraction of predicted rules. Section 6.6 describes the compression of extracted rules. Section 6.7 discusses the significance, benefits and limitations of our approach.

In this final phase of the process, the knowledge acquired by the trained neural network is extracted in the form of rules. The procedure uses the generalization of the hidden layer neuron activation values to extract the rules along with control parameters to check the confidence of the rules.

6.1 Learning the Correlations in the Data Patterns

A neural network is a mapping network that learns to approximate a complex functional relationship between the input and output patterns [Mehrotra 1997]. An example of the problem space in terms of input-output pair mappings is depicted in Figure 6.1.

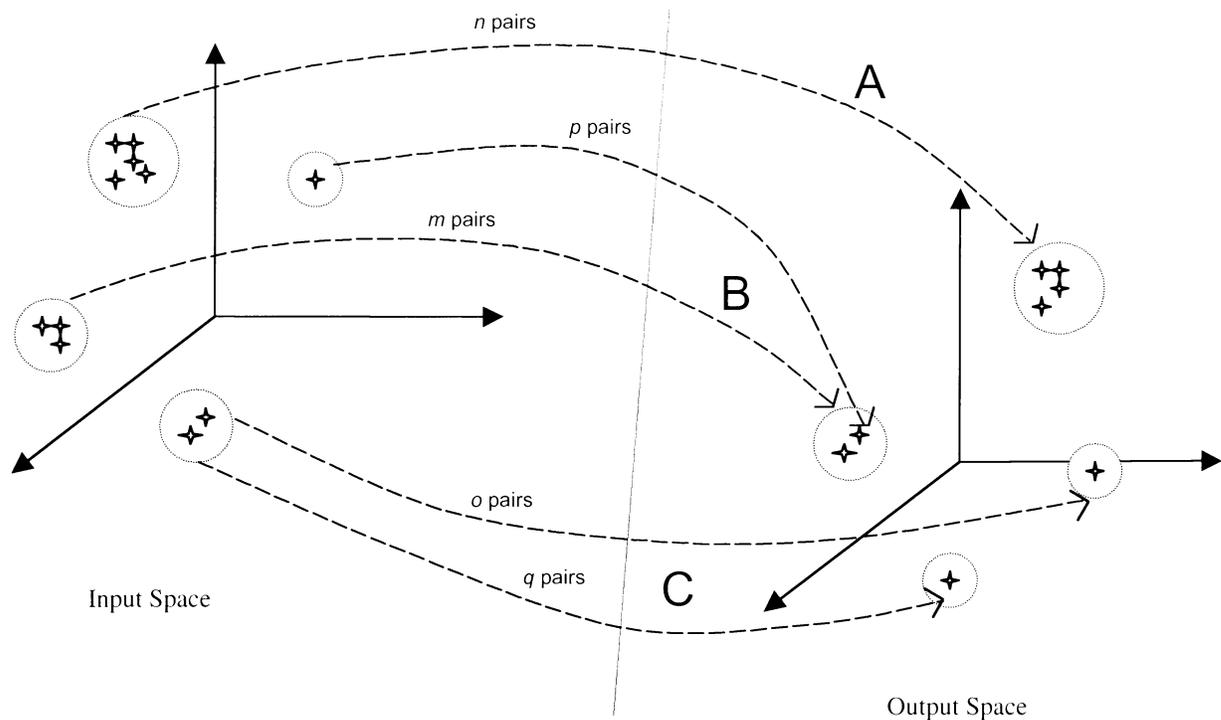


Figure 6.1 Type of mappings that exist in a given dataset

In any dataset there can be 3 types of mappings. Type A mapping is from similar input patterns to similar output patterns. They are said to have consistent mapping, i.e. close input pairs (closely grouped in input space) map to close output pairs (closely grouped in output space). Type B mapping is from different regions in the input space to similar regions in the output space. Type C mapping is from similar region in the input space to various regions in the output space. Type C is inconsistent mapping, since similar inputs are mapped to different outputs. In the above example, there are n pairs of type A mapping, $p + m$ pairs of type B mapping, and $o + q$ pairs of type C mappings.

Consistent patterns lead to strong learning. For example, patterns of type A mapping are strongly learned, whereas patterns of type C mapping are inconsistent.

The frequencies of these patterns largely affect the learning and generalization capability of the neural network. Frequent patterns strengthen the learning. For example, for patterns of type C mapping, if either o or q is largely greater than the other, the one with the high frequency dominates the mapping and one with the low frequency should be filtered out.

The filtering process removes the inconsistent patterns of type C mapping from the dataset. The inconsistency of a particular pattern can be measured in terms of its error with respect to the mean error. For patterns in Figure 6.1, in the above example, the filtering process removes either set o or set p patterns, whichever that has a higher error, or both sets, if both sets have relatively high error with respect to the whole dataset.

	High Frequency	Low Frequency
Type A	Strong Mapping with high confidence	Strong mapping with low confidence
Type B	Strong Mapping with high confidence	Weak mapping with low confidence
Type C	Inconsistent and weakens the generalizability of the dataset If one pattern dominates by frequency, that is learned strongly	Weak patterns, they can be filtered without affecting the accuracy of learning

Table 6.1 Different types of Mappings in a dataset and their effect on neural network learning

The key to the extraction process is the clustering of hidden neuron activation values of a trained neural network. Since the activation values of the hidden neurons are distributed, clustering helps to identify regions of activations along with the frequency of such activities. Also clustering generates a representative value for such region by which we can retrieve generalized outputs. Since we utilize a desired confidence frequency, we can examine the level of activities in all regions across the entire hidden layer. Only patterns which satisfy the desired confidence frequency across the entire hidden layer, or a percentage of the hidden layer are considered. This ensures that inconsistent patterns and those which fall within the regions with low level of activity are not considered.

6.2 Centroid Activation Layer

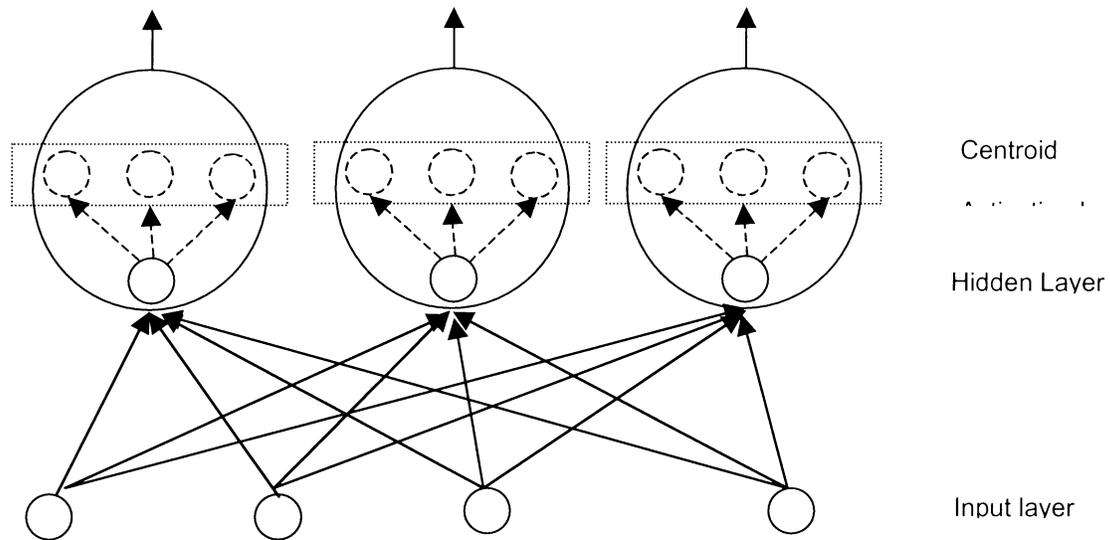


Figure 6.2 Centroid Activation Layer

The following describes the steps involved in building the centroid activation layer.

- Initial Condition :
- a. Trained neural network
 - b. Dataset D_f used for training the neural network.

procedure :

1. For each input pattern p in D_f , compute the hidden layer neuron activation values $HAct_j$ of all hidden layer neurons j , $1 \leq j \leq m$
2. Create clusterspaces U_{HAct_j} for all hidden layer neurons, $1 \leq j \leq m$, using the required cluster radius.
3. Create clusterspaces U_{HConf_j} for all hidden layer neurons, $1 \leq j \leq m$, using the confidence radius.

Theoretically, the hidden neuron centroid activation layer superimposes the hidden layer neurons by replacing each neuron with its clusterspace centroid as demonstrated in chapter 5. The activation value of a hidden layer neuron j , for a pattern p , is replaced with the centroid of a cluster c , if a) the activation value falls within the confidence radius of the cluster, and b) the confidence frequency of the cluster meets the required frequency defined for the extraction phase. If either of these conditions is not satisfied, the centroid activation layer outputs a zero (no activation) for that particular hidden layer neuron activation.

If a desired percentage of the centroid activation layer units are activated, then the extraction phase can proceed. This provides some flexibility and tolerance to the extraction phase where a small percentage of hidden layer activation values may not belong to a confidence cluster or the confidence cluster may not have the required frequency.

6.3 Parameters of the Extracted Rules

The rules extracted can be defined with the following parameters:

- 1 Accuracy of Trained Network — Percentage of patterns correctly classified by the network
- 2 Percentage of Filtered Patterns — Percentage of patterns filtered out during training process
- 3 Cluster radius — radius of the clusters in the clusterspace. The confidence radius is calculated with respect to the cluster radius.
- 4 Confidence Frequency — Desired percentage of patterns in the confidence clusters
- 5 Hidden layer activation level — Percentage of hidden layer neurons that are activated

6.4 Extraction of Existing Rules

- Initial Condition
- a Trained network with Centroid Activation Layer added
 - b Patterns which are classified correctly, D_c , from the original dataset D
 - c $Freq_{conf}$ is defined based on the desired confidence of rules

Procedure

- 1 For Each Pattern p in D_c
 - 2 Present the pattern to the input layer
 - 3 Calculate the activation value y_j^p for each hidden layer neuron
 - 4 For each hidden layer neuron j (in parallel)
 - 4a If $(Dist(G_c, y_j^p) \leq rConf \text{ AND } freqConf_c \geq Freq_{Conf})$

$$GAct_j^p = G_c$$
 - else

$$GAct_j^p = 0$$
 - End for
 - 5 If the percentage of activated hidden layer neurons exceeds the desired hidden layer activation level, propagate the hidden layer values to the output layer
- End For

The input-output pairs that satisfy the rigorous extraction phase represent generalization and correlations that exist in the dataset. The level of generalization and correlation is controlled by confidence frequency and radius as well as the desired hidden layer activation level. There may be many duplicates in this set. Further processing and interpretation needs to be performed to determine the rules. A brief explanation is provided in Section 6.6.

6.5 Extraction of Predicted Rules

Neural networks are good in generalizing the data model underlying the dataset. This property of neural networks can be used to predict rules from patterns that are not in the dataset. The accuracy of prediction depends on the generalizability and accuracy of the trained network.

The extraction phase can be performed with all possible combinations of the input patterns. Since all possible combinations of the input patterns include all input patterns in the dataset D_c , the input-output pairs extracted includes all those identified in the extraction of existing rules. Any additional input-output pairs represent predicted rules.

6.6 Compression of Input-Output Pairs

The set of input—output pairs obtained as a result of the extraction process needs to be processed to identify the rule set.

- 1 Remove any duplicate input-output pairs
- 2 Group the input-output pairs, which have the same output
- 3 If possible, combine the input patterns in each group to produce a single rule
- 4 Each remaining input-output pair represents a single rule

6.7 Significance, Benefits and Limitations

In our work, we have provided a framework for knowledge discovery using neural networks and defined a process of extracting rules with control parameters. The significance of this process is discussed below.

Simplicity

The process is simple. The complexity of the hidden layer neurons or the architecture of the network does not bear a restriction on this process. The extraction phase of this process is fast and resembles the recall phase of a neural network.

Control

The most important contribution of this process is providing a framework for control parameters for the knowledge discovery process. The framework provides control parameters such as accuracy of training, filtering of inconsistent data during training, generalized regions of activations with representative values, confidence frequency indicator for extracting rules with desired confidence, and acceptable tolerance level.

The analysis of the data is dynamic once the neural network is trained. The hidden unit activation values can be clustered and re-clustered as many times as desired for different radius and frequency values, and the rules can be extracted for different confidence frequencies and tolerance.

Confidence

If the correlation of input-output pairs is weak in terms of confidence frequency, the extracted rules would have a low level of confidence. On the other hand, if the correlation is strong in terms of the confidence frequency, the extracted rules would have a high level of confidence.

Prediction

The predictive capability of rules which can be generalized from the knowledge learned by the network is a desirable byproduct of the process.

Insignificance of attributes

Another important aspect of the process is the identification of insignificant attributes in the rules. The process maps m inputs to n outputs and defines a relationship among them. It is possible that some of the input or output attributes may be eliminated from the extracted rules. These attributes are identified by input or output neurons that are not activated.

Soundness of principle

The clustering phase is performed with cluster radius less than the computed cluster radius bound. This ensures that the accuracy of the network is not compromised.

CHAPTER 7. APPLICATIONS AND ANALYSIS

7.0 Overview

In this chapter, we demonstrate the effectiveness and flexibility of our process via several applications. Section 7.1 presents an application of discovering trends in crimes across cities in the USA. Section 7.2 presents an application which discovers dominant rules which determine user CPU usage, given various system measurements. Section 7.3 presents an application which finds correlations between dietary characteristics of a person and Plasma Retinol and Beta-Carotene levels in the body. Section 7.4 presents an application which discovers dominant rules which determine the body fat percentage of a person, given various body measurements. Section 7.5 presents an application which finds correlations relating physical and environmental characteristics to mortality rate.

7.1 Discovering Trends in Crimes Across Cities in the USA

Description

This is an application where the trends in the frequencies of crimes are analyzed using statistical datasets. The datasets were obtained from three different sources namely 1) US Census, 2) Uniform Crime Reports published annually by the Federal Bureau of Investigation, and 3) Unemployment Information from the Bureau of Labor Statistics. The dataset correlates frequencies of different crimes with respect to the demographic characteristics of 6100 towns across the United States. We analyze the frequencies of four types of crimes (murder, rape, robbery, and auto theft) for year 1999 with respect to the demographics of the cities to discover trends.

The dataset is divided into 3 segments based on the population of cities.

Category of Towns/Cities	Population	No. of Patterns
Small	0-20k	4706
Medium	20k-100k	1193
Large	100k-7Million	201

Table 7.1 Categories of Towns/Cities by Population

Variable dictionary and encoding

Each dataset was analyzed for four types of crimes. The variables of the dataset are described

below:

Variable	Description
<i>POP</i>	Population
<i>SINGP</i>	Percentage of single-parent households
<i>MINOR</i>	Percentage of Minorities
<i>YOUNG</i>	Percentage of young people (between the ages of 15 and 24)
<i>HOMEOW</i>	Percentage of Home Owners
<i>SAMEHO</i>	Percentage of people living in the same house since 1985
<i>UNEMPL</i>	Percentage of Unemployed
<i>MURDER</i>	No. of murders
<i>RAPE</i>	No. of rape occurrences
<i>ROB</i>	No. of robberies
<i>AUTO</i>	No. of auto thefts

Table 7.2 Description of Variables for Crime Data

Variable	No. of Nodes	Intervals
POP (small)	5	[0-4k],(4k-8k),(8k,12k),(12k-16k),(16k-20]
POP (medium)	5	(20k-40k],[40k-60k],[60k-80k],[80k-90k],[90k-100k]
POP (large)	5	(100k-130k],[130k-160k],[160k-200k],[200k-500k],500k+
SINGP	7	[0-5],[5-7],[7-9],[9-11],[11-14],[14-20],[20-100]
MIN	6	[0-5],[5-10],[10-20],[20-40],[40-70],[70-100]
YOUNG	7	[0-12],[12-13],[13-14],[14-15],[15-17],[17-25],[25-100]
HOMEOW	7	[0-40],[40-50],[50-60],[60-70],[70-80],[80-90],[90-100]
SAMEHO	6	[0-45],[45-50],[50-55],[55-60],[60-65],[65-100]
UNEMPL	6	[0-4],[4-6],[6-8],[8-12],[12-20],[20-100]
MURDER	4	0,(1-5],[5-10],10+
RAPE	5	0,(1-5],[5-10],[10-70],70+
ROB	5	0,(1-5],[5-10],[10-100],100+
AUTO	5	[0-10],[10-100],[100-500],[500-1000],1000+

Table 7.3 Data Encoding for Crime Data

Network Architecture and Training Parameters:

Number of Input Nodes : 45
 Number of Hidden Nodes : 60
 Number of Output Nodes : 4-5
 Error Tolerance : 0.001
 Learning Rate : 0.4
 MAX Cycles : 10000
 Penalty Factor HI : 0.03
 Penalty Factor OH : 0.01

Table 7.4 Network Architecture for Crime Data

Training and Pruning:

Small Towns

TEST ID :	Murder	Rape	Robbery	Auto-thefts
Number of Training Patterns :	4706	4706	4706	4706
Percentage of Patterns filtered :	4%	10%	10%	6%
Number of cycles of Training :	10,000	10,000	10,000	10,000
Accuracy Acieved :	99.88%	97.88%	98.12%	99.61%
No. correctly recognized :	4549	4137	4117	4489
No. pruned : (IH HO)	392 94	45 68	37 76	52 97
Nodes pruned :	None	None	None	None

Table 7.5 Training Results for Crime Data — Small Towns

Medium Towns				
TEST ID :	Murder	Rape	Robbery	Auto-thefts
Number of Training Patterns :	1193	1193	1193	1193
Percentage of Patterns filtered :	9%	10%	10%	9%
Number of cycles of Training :	10,000	10,000	10,000	10,000
Accuracy Acieved :	99.90%	99.71%	100%	99.90%
No. correctly recognized :	1144	1105	1109	1128
No. pruned : (IH HO)	173 126	90 112	169 129	131 153
Nodes pruned :	None	None	None	None

Table 7.6 Training Results for Crime Data — Medium Towns

Large Cities				
TEST ID :	Murder	Rape	Robbery	Auto-thefts
Number of Training Patterns :	201	201	201	201
Percentage of Patterns filtered :	1%	2%	1%	1%
Number of cycles of Training :	10,000	10,000	10,000	10,000
Accuracy Acieved :	100%	100%	100%	100%
No. correctly recognized :	200	199	199	198
No. pruned : (IH HO)	395 54	564 68	478 33	457 83
Nodes pruned :	16 43	16 43	16 43	16 43

Table 7.7 Training Results for Crime Data — Large Cities

Summary of Extraction Tests:

$$\text{Cluster radius bound, } |r_c| \leq \frac{\ln\left(\frac{1}{\rho} - 1\right)}{\sum v^*}$$

$\sum v^*$ calculated to be $\cong 20-24$

Sigmoid scaling factor, $a = 0.01$

Error Tolerance, $\rho = 0.01$

Therefore, The bound on the cluster radius was found to be $\cong 0.2$

No. of rules extracted:**Small towns**

Existing Rules		Murder	Rape	Robbery	Auto Thefts
Frequency		30	30	30	30
100% Pass	r=0.2	0	0	0	0
96% Pass	r=0.2	0	4	5	3
95% Pass	r=0.2	1	-	-	-
Predicted Rules					
96%-97% Pass	r=0.2	10	5	4	8

*Table 7.8 No. of Extracted Rules — Small Towns***Medium Cities**

Existing Rules		Murder	Rape	Robbery	Auto Thefts
Frequency		30	25	25	30
100% Pass	r=0.2	0	0	0	0
96% Pass	r=0.2	1	0	0	0
95% Pass	r=0.2	3	2	2	2
Predicted Rules					
95%-97% Pass		3(r=0.2)	4(r=0.1)	7(r=0.1)	0(r=0.2)

*Table 7.9 No. of Extracted Rules — Medium Towns***Large Cities**

Existing Rules		Murder	Rape	Robbery	Auto Thefts
Frequency		25	25	30	20
100% Pass	r=0.2	0	0	0	0
96% Pass	r=0.2	0	0	3	0
95% Pass	r=0.2	1	1	8	1
Predicted Rules					
		1(r=0.2,95%)	4(r=0.1,99%)	6(r=0.1,96%)	2(r=0.2,97%)

Table 7.10 No. of Extracted Rules — Large Cities

Knowledge Discovery:

The knowledge discovered for the 3 types of populations with respect to 4 types of crimes are as follows

Trends in Small Towns**Existing**

Murder (Cluster radius=0.2, frequency=30%, Pass=96%)

```

RULE 0 :
IF   Population between 0 and 4k
      Minority between 0 and 5
      Unemployment between 0 and 4
      Single-Parent between 7 and 9
      Same-House between 50 and 55
      Young between 14 and 15
      HomeOwners between 70 and 80
THEN Murder is 0

```

Rape (Cluster radius=0 2, frequency=30%, Pass=96%)

```

RULE 0 :
IF   Population between 0 and 4k
      Minority between 20 and 40
      Unemployment between 4 and 6
      Single-Parent between 7 and 9
      Same-House between 50 and 55
      Young between 13 and 14
      HomeOwners between 70 and 80
THEN Rape between 1 and 5

```

```

RULE 1 :
IF   Population between 0 and 4k
      Minority between 0 and 5
      Unemployment between 6 and 8
      Single-Parent between 7 and 9
      Same-House between 60 and 65
      Young between 0 and 12
      HomeOwners between 70 and 80
THEN Rape is 0

```

Robbery (Cluster radius=0 2, frequency=30%, Pass=96%)

```

RULE 0 :
IF   Population between 4k and 8k
      Minority between 0 and 5

```

```

    Unemployment between 0 and 4
    Single-Parent between 7 and 9
    Same-House between 45 and 50
    Young between 12 and 13
    HomeOwners between 60 and 70
THEN  Robbery between 1 and 5

```

```

RULE 1 :
IF    Population between 0 and 4k
      Minority between 5 and 10
      Unemployment between 4 and 6
      Single-Parent between 7 and 9
      Same-House between 50 and 55
      Young between 12 and 13
      HomeOwners between 60 and 70
THEN  Robbery between 1 and 5

```

```

RULE 2 :
IF    Population between 0 and 4k
      Minority between 5 and 10
      Unemployment between 4 and 6
      Single-Parent between 5 and 7
      Same-House between 50 and 55
      Young between 12 and 13
      HomeOwners between 60 and 70
THEN  Robbery between 1 and 5

```

```

RULE 3 :
IF    Population between 0 and 4k
      Minority between 0 and 5
      Unemployment between 0 and 4
      Single-Parent between 0 and 5
      Same-House between 0 and 45
      Young between 0 and 12
      HomeOwners between 60 and 70
THEN  Robbery between 1 and 5

```

Auto-Thefts (Cluster radius=0 2, frequency=30%, Pass=96%)

```

RULE 0 :
IF    Population between 4k and 8k
      Minority between 0 and 5
      Unemployment between 6 and 8
      Single-Parent between 9 and 11
      Same-House between 65 and 80+
      Young between 13 and 14
      HomeOwners between 60 and 70
THEN  Auto-Thefts between 1 and 5

```

```

RULE 1 :
IF    Population between 8k and 12k
      Minority between 0 and 5
      Unemployment between 8 and 12

```

```

Single-Parent between 7 and 9
Same-House between 55 and 60
Young between 13 and 14
HomeOwners between 60 and 70
THEN Auto-Thefts is 0

```

```

RULE 2 :
IF Population between 12k and 16k
Minority between 0 and 5
Unemployment between 0 and 4
Single-Parent between 7 and 9
Same-House between 55 and 60
Young between 13 and 14
HomeOwners between 60 and 70
THEN Auto-Thefts is 0

```

Prediction

Murder (Cluster radius=0.2, frequency=30%, Pass=96%)

```

RULE 0 :
IF Population between 0 and 4k
Minority between 0 and 5
Unemployment between 4 and 6
Single-Parent between 7 and 9
Same-House between 60 and 65
Young between 14 and 15
HomeOwners between 70 and 80
THEN Murder is 0

```

```

RULE 1 :
IF Population between 0 and 4k
Minority between 0 and 5
Unemployment between 4 and 6
Single-Parent between 7 and 9
Same-House between 50 and 55
Young between 14 and 15
HomeOwners between 70 and 80
THEN Murder is 0

```

```

RULE 2 :
IF Population between 0 and 4k
Minority between 0 and 5
Unemployment between 8 and 12
Single-Parent between 5 and 7
Same-House between 45 and 50
Young between 13 and 14
HomeOwners between 70 and 80
THEN Murder is 0

```

```

RULE 3 :
IF Population between 0 and 4k

```

```
Minority between 0 and 5
Unemployment between 0 and 4
Single-Parent between 0 and 5
Same-House between 50 and 55
Young between 13 and 14
HomeOwners between 70 and 80
THEN Murder is 0
```

```
RULE 4 :
IF Population between 12k and 16k
Minority between 0 and 5
Unemployment between 4 and 6
Single-Parent between 0 and 5
Same-House between 45 and 50
Young between 13 and 14
HomeOwners between 70 and 80
THEN Murder is 0
```

```
RULE 5 :
IF Population between 0 and 4k
Minority between 0 and 5
Unemployment between 4 and 6
Single-Parent between 0 and 5
Same-House between 45 and 50
Young between 13 and 14
HomeOwners between 70 and 80
THEN Murder is 0
```

```
RULE 6 :
IF Population between 0 and 4k
Minority between 0 and 5
Unemployment between 0 and 4
Single-Parent between 0 and 5
Same-House between 0 and 45
Young between 13 and 14
HomeOwners between 70 and 80
THEN Murder is 0
```

```
RULE 7 :
IF Population between 4k and 8k
Minority between 0 and 5
Unemployment between 4 and 6
Single-Parent between 9 and 11
Same-House between 45 and 50
Young between 12 and 13
HomeOwners between 70 and 80
THEN Murder is 0
```

```
RULE 8 :
IF Population between 0 and 4k
Minority between 0 and 5
Unemployment between 4 and 6
Single-Parent between 5 and 7
Same-House between 45 and 50
```

```

    Young between 25 and 39+
    HomeOwners between 60 and 70
THEN Murder is 0

```

Rape (Cluster radius=0 2, frequency=30%, Pass=97%)

```

RULE 0 :
IF   Population between 0 and 4k
     Minority between 20 and 40
     Unemployment between 4 and 6
     Single-Parent between 9 and 11
     Same-House between 0 and 45
     Young between 13 and 14
     HomeOwners between 50 and 60
THEN Rape is 0

```

```

RULE 1 :
IF   Population between 4k and 8k
     Minority between 5 and 10
     Unemployment between 4 and 6
     Single-Parent between 5 and 7
     Same-House between 60 and 65
     Young between 15 and 17
     HomeOwners between 40 and 50
THEN Rape between 1 and 5

```

Robbery (Cluster radius=0 2, frequency=30%, Pass=97%)

```

RULE 1 :
IF   Population between 0 and 4k
     Minority between 5 and 10
     Unemployment between 12 and 20
     Single-Parent between 7 and 9
     Same-House between 45 and 50
     Young between 17 and 25
     HomeOwners between 80 and 90
THEN Robbery is 0

```

```

RULE 2 :
IF   Population between 12k and 16k
     Minority between 5 and 10
     Unemployment between 4 and 6
     Single-Parent between 5 and 7
     Same-House between 0 and 45
     Young between 17 and 25
     HomeOwners between 80 and 90
THEN Robbery between 1 and 5

```

```

RULE 3 :
IF   Population between 0 and 4k
     Minority between 10 and 20
     Unemployment between 4 and 6
     Single-Parent between 9 and 11
     Same-House between 65 and 80+

```

```

    Young between 17 and 25
    HomeOwners between 40 and 50
THEN  Robbery between 1 and 5

```

Auto-Thefts (Cluster radius=0 2, frequency=30%, Pass=97%)

```

RULE 0 :
IF    Population between 4k and 8k
      Minority between 0 and 5
      Unemployment between 4 and 6
      Single-Parent between 14 and 20
      Same-House between 50 and 55
      Young between 17 and 25
      HomeOwners between 60 and 70
THEN  Auto-Thefts is 0

```

```

RULE 1 :
IF    Population between 4k and 8k
      Minority between 5 and 10
      Unemployment between 20 and 40+
      Single-Parent between 7 and 9
      Same-House between 60 and 65
      Young between 17 and 25
      HomeOwners between 60 and 70
THEN  Auto-Thefts between 1 and 5

```

```

RULE 2 :
IF    Population between 4k and 8k
      Minority between 5 and 10
      Unemployment between 0 and 4
      Single-Parent between 7 and 9
      Same-House between 60 and 65
      Young between 17 and 25
      HomeOwners between 60 and 70
THEN  Auto-Thefts between 1 and 5

```

```

RULE 3 :
IF    Population between 4k and 8k
      Minority between 0 and 5
      Unemployment between 0 and 4
      Single-Parent between 7 and 9
      Same-House between 60 and 65
      Young between 17 and 25
      HomeOwners between 60 and 70
THEN  Auto-Thefts between 1 and 5

```

```

RULE 4 :
IF    Population between 4k and 8k
      Minority between 0 and 5
      Unemployment between 0 and 4
      Single-Parent between 7 and 9
      Same-House between 55 and 60
      Young between 17 and 25
      HomeOwners between 60 and 70

```

THEN Auto-Thefts between 0 and

RULE 5 :

IF Population between 12k and 16k
 Minority between 0 and 5
 Unemployment between 8 and 12
 Single-Parent between 5 and 7
 Same-House between 55 and 60
 Young between 17 and 25
 HomeOwners between 60 and 70
 THEN Auto-Thefts between 1 and 5

RULE 6 :

IF Population between 8k and 12k
 Minority between 0 and 5
 Unemployment between 0 and 4
 Single-Parent between 5 and 7
 Same-House between 45 and 50
 Young between 17 and 25
 HomeOwners between 60 and 70
 THEN Auto-Thefts between 1 and 5

RULE 7 :

IF Population between 12k and 16k
 Minority between 0 and 5
 Unemployment between 0 and 4
 Single-Parent between 14 and 20
 Same-House between 65 and 80+
 Young between 15 and 17
 HomeOwners between 60 and 70
 THEN Auto-Thefts between 1 and 5

Trends in Medium Cities

Existing

Murder (Cluster radius=0 2, frequency=30%, Pass=95%)

RULE 0 :

IF Population between 20k and 40k
 Minority between 0 and 5
 Unemployment between 4 and 6
 Single-Parent between 9 and 11
 Same-House between 45 and 50
 Young between 12 and 13
 HomeOwners between 60 and 70
 THEN Murder is 0

RULE 1 :

IF Population between 20k and 40k

```

    Minority between 0 and 5
    Unemployment between 0 and 4
    Single-Parent between 5 and 7
    Same-House between 65 and 80+
    Young between 12 and 13
    HomeOwners between 70 and 80
THEN Murder between 1 and 5

```

```

RULE 2 :
IF Population between 20k and 40k
    Minority between 0 and 5
    Unemployment between 0 and 4
    Single-Parent between 5 and 7
    Same-House between 50 and 55
    Young between 12 and 13
    HomeOwners between 80 and 90
THEN Murder is 0

```

```

RULE 3 :
IF Population between 20k and 40k
    Minority between 0 and 5
    Unemployment between 0 and 4
    Single-Parent between 5 and 7
    Same-House between 50 and 55
    Young between 12 and 13
    HomeOwners between 70 and 80
THEN Murder is 0

```

Rape (Cluster radius=0 2, frequency=25%, Pass=95%)

```

RULE 0 :
IF Population between 20k and 40k
    Minority between 10 and 20
    Unemployment between 0 and 4
    Single-Parent between 5 and 7
    Same-House between 0 and 45
    Young between 12 and 13
    HomeOwners between 60 and 70
THEN Rape between 1 and 5

```

```

RULE 1 :
IF Population between 20k and 40k
    Minority between 5 and 10
    Unemployment between 4 and 6
    Single-Parent between 11 and 14
    Same-House between 45 and 50
    Young between 14 and 15
    HomeOwners between 40 and 50
THEN Rape between 1 and 10

```

Robbery (Cluster radius=0 2, frequency=25%, Pass=95%)

```

RULE 0 :
IF    Population between 20k and 40k
      Minority between 10 and 20
      Unemployment between 4 and 6
      Single-Parent between 7 and 9
      Same-House between 0 and 45
      Young between 13 and 14
      HomeOwners between 70 and 80
THEN  Robbery between 1 and 5

```

```

RULE 1 :
IF    Population between 20k and 40k
      Minority between 5 and 10
      Unemployment between 0 and 4
      Single-Parent between 7 and 9
      Same-House between 0 and 45
      Young between 17 and 25
      HomeOwners between 60 and 70
THEN  Robbery between 5 and 10

```

Auto-Thefts (Cluster radius=0 2, frequency=30%, Pass=95%)

```

RULE 0 :
IF    Population between 20k and 40k
      Minority between 0 and 5
      Unemployment between 4 and 6
      Single-Parent between 0 and 5
      Same-House between 65 and 80+
      Young between 12 and 13
      HomeOwners between 80 and 90
THEN  Auto-Thefts between 10 and 100

```

```

RULE 1 :
IF    Population between 20k and 40k
      Minority between 0 and 5
      Unemployment between 4 and 6
      Single-Parent between 9 and 11
      Same-House between 0 and 45
      Young between 14 and 15
      HomeOwners between 50 and 60
THEN  Auto-Thefts between 100 and 500

```

Prediction

Murder (Cluster radius=0 2, frequency=30%, Pass=97%)

```

RULE 0 :
IF    Population between 20k and 40k
      Minority between 0 and 5
      Unemployment between 0 and 4
      Single-Parent between 5 and 7

```

```

        Same-House between 50 and 55
        Young between 12 and 13
        HomeOwners between 80 and 90
    THEN Murder is 0

```

```

RULE 2 :
IF     Population between 20k and 40k
        Minority between 10 and 20
        Unemployment between 4 and 6
        Single-Parent between 5 and 7
        Same-House between 55 and 60
        Young between 14 and 15
        HomeOwners between 60 and 70
    THEN Murder is 0

```

Rape (Cluster radius=0 2, frequency=30%, Pass=96%)

```

RULE 0 :
IF     Population between 20k and 40k
        Minority between 20 and 40
        Unemployment between 0 and 4
        Single-Parent between 5 and 7
        Same-House between 45 and 50
        Young between 13 and 14
        HomeOwners between 50 and 60
    THEN Rape between 5 and 10

```

```

RULE 1 :
IF     Population between 20k and 40k
        Minority between 40 and 70
        Unemployment between 0 and 4
        Single-Parent between 7 and 9
        Same-House between 45 and 50
        Young between 17 and 25
        HomeOwners between 40 and 50
    THEN Rape between 1 and 10

```

```

RULE 2 :
IF     Population between 20k and 40k
        Minority between 10 and 20
        Unemployment between 0 and 4
        Single-Parent between 9 and 11
        Same-House between 45 and 50
        Young between 14 and 15
        HomeOwners between 40 and 50
    THEN Rape between 1 and 10

```

```

RULE 3 :
IF     Population between 20k and 40k
        Minority between 70 and 100
        Unemployment between 6 and 8
        Single-Parent between 5 and 7
        Same-House between 50 and 55
        Young between 13 and 14

```

HomeOwners between 40 and 50
 THEN Rape between 1 and 10

Robbery (Cluster radius=0.2, frequency=30%, Pass=95%)

RULE 0 :
 IF Population between 60 and 80k
 Minority between 10 and 20
 Unemployment between 4 and 6
 Single-Parent between 7 and 9
 Same-House between 50 and 55
 Young between 15 and 17
 HomeOwners between 80 and 90
 THEN Robbery is 0 or between (10 and 100)

RULE 1 :
 IF Population between 20k and 40k
 Minority between 70 and 100
 Unemployment between 6 and 8
 Single-Parent between 5 and 7
 Same-House between 0 and 45
 Young between 0 and 12
 HomeOwners between 80 and 90
 THEN Robbery between 10 and 100

RULE 2 :
 IF Population between 20k and 40k
 Minority between 5 and 10
 Unemployment between 12 and 20
 Single-Parent between 20 and 40+
 Same-House between 65 and 80+
 Young between 25 and 39+
 HomeOwners between 60 and 70
 THEN Robbery is 0

RULE 3 :
 IF Population between 20k and 40k
 Minority between 20 and 40
 Unemployment between 4 and 6
 Single-Parent between 9 and 11
 Same-House between 50 and 55
 Young between 25 and 39+
 HomeOwners between 40 and 50
 THEN Robbery between 10 and 100

RULE 4 :
 IF Population between 20k and 40k
 Minority between 10 and 20
 Unemployment between 4 and 6
 Single-Parent between 9 and 11
 Same-House between 50 and 55
 Young between 25 and 39+

HomeOwners between 40 and 50
 THEN Robbery between 10 and 100

RULE 5 :
 IF Population between 20k and 40k
 Minority between 5 and 10
 Unemployment between 0 and 4
 Single-Parent between 7 and 9
 Same-House between 50 and 55
 Young between 25 and 39+
 HomeOwners between 40 and 50
 THEN Robbery between 10 and 100

RULE 6 :
 IF Population between 20k and 40k
 Minority between 10 and 20
 Unemployment between 4 and 6
 Single-Parent between 20 and 40+
 Same-House between 60 and 65
 Young between 17 and 25
 HomeOwners between 40 and 50
 THEN Robbery between 10 and 100

RULE 7 :
 IF Population between 20k and 40k
 Minority between 5 and 10
 Unemployment between 8 and 12
 Single-Parent between 11 and 14
 Same-House between 50 and 55
 Young between 14 and 15
 HomeOwners between 40 and 50
 THEN Robbery between 10 and 100

Trends in Large Cities

Existing

Murder (Cluster radius=0 2, frequency=25%, Pass=95%)

RULE 0 :
 IF Population between 200k and 500k
 Minority between 20 and 40
 Unemployment between 8 and 12
 Single-Parent between 11 and 14
 Same-House between 50 and 55
 Young between 15 and 17
 HomeOwners between 50 and 60
 THEN Murder between 5 and 10

Rape (Cluster radius=0 2, frequency=25%, Pass=95%)

RULE 0 :

```

IF      Population between 200k and 500k
        Minority between 40 and 70
        Unemployment between 8 and 12
        Single-Parent between 14 and 20
        Same-House between 50 and 55
        Young between 15 and 17
        HomeOwners between 50 and 60
THEN    Rape between 10 and 70

```

Robbery (Cluster radius=0 2, frequency=30%, Pass=95%)

```

RULE 0 :
IF      Population between 160k and 200k
        Minority between 20 and 40
        Unemployment between 6 and 8
        Single-Parent between 11 and 14
        Same-House between 45 and 50
        Young between 15 and 17
        HomeOwners between 50 and 60
THEN    Robbery is 100+

```

```

RULE 1 :
IF      Population between 160k and 200k
        Minority between 20 and 40
        Unemployment between 6 and 8
        Single-Parent between 11 and 14
        Same-House between 45 and 50
        Young between 14 and 15
        HomeOwners between 50 and 60
THEN    Robbery is 100+

```

```

RULE 2 :
IF      Population between 130k and 160k
        Minority between 40 and 70
        Unemployment between 6 and 8
        Single-Parent between 11 and 14
        Same-House between 45 and 50
        Young between 17 and 25
        HomeOwners between 50 and 60
THEN    Robbery is 100+

```

Auto-Thefts (Cluster radius=0 2, frequency=20%, Pass=95%)

```

RULE 0 :
IF      Population between 100k and 130k
        Minority between 10 and 20
        Unemployment between 0 and 4
        Single-Parent between 5 and 7
        Same-House between 0 and 45
        Young between 0 and 12
        HomeOwners between 70 and 80

```

THEN Auto-Thefts between 500 and 1000

Prediction

Murder (Cluster radius=0 2, frequency=25%, Pass=95%)

```

RULE 0 :
IF   Population between 200k and 500k
      Minority between 20 and 40
      Unemployment between 8 and 12
      Single-Parent between 11 and 14
      Same-House between 50 and 55
      Young between 15 and 17
      HomeOwners between 50 and 60
THEN Murder between 5 and 10

```

Rape (Cluster radius=0 2, frequency=25%, Pass=100%)

```

RULE 0 :
IF   Population between 200k and 500k
      Minority between 70 and 100
      Unemployment between 6 and 8
      Single-Parent between 11 and 14
      Same-House between 0 and 45
      Young between 17 and 25
THEN Rape is 70+

```

```

RULE 1 :
IF   Population between 200k and 500k
      Minority between 70 and 100
      Unemployment between 6 and 8
      Single-Parent between 11 and 14
      Same-House between 50 and 55
      Young between 13 and 14
THEN Rape is 70+

```

```

RULE 2 :
IF   Population between 200k and 500k
      Minority between 70 and 100
      Unemployment between *20 and 40+*
      Single-Parent between 11 and 14
      Same-House between 50 and 55
      Young between 13 and 14
      HomeOwners between 60 and 70
THEN Rape is 70+

```

```

RULE 3 :
IF   Population between 200k and 500k
      Minority between 70 and 100
      Unemployment between 0 and 4

```

```

Single-Parent between 11 and 14
Same-House between 50 and 55
Young between 13 and 14
HomeOwners between 60 and 70
THEN Rape is 70+

```

Robbery (Cluster radius=0.2, frequency=30%, Pass=96%)

```

RULE 0 :
IF Population between 100k and 130k
Minority between 70 and 100
Unemployment between 6 and 8
Single-Parent between 11 and 14
Same-House between 60 and 65
Young between 17 and 25
HomeOwners between 50 and 60
THEN Robbery is 100+

```

```

RULE 1 :
IF Population between 100k and 130k
Minority between 70 and 100
Unemployment between 6 and 8
Single-Parent between 11 and 14
Same-House between 45 and 50
Young between 17 and 25
HomeOwners between 50 and 60
THEN Robbery is 100+

```

```

RULE 2 :
IF Population between 100k and 130k
Minority between 40 and 70
Unemployment between 6 and 8
Single-Parent between 11 and 14
Same-House between 45 and 50
Young between 17 and 25
HomeOwners between 50 and 60
THEN Robbery 100+

```

```

RULE 3 :
IF Population between 200k and 500k
Minority between 5 and 10
Unemployment between 6 and 8
Single-Parent between 11 and 14
Same-House between 45 and 50
Young between 17 and 25
HomeOwners between 50 and 60
THEN Robbery is 100+

```

```

RULE 4 :
IF Population between 160k and 200k
Minority between 5 and 10
Unemployment between 6 and 8

```

```

    Single-Parent between 11 and 14
    Same-House between 45 and 50
    Young between 17 and 25
    HomeOwners between 50 and 60
THEN  Robbery is 100+

```

```

RULE 5 :
IF    Population between 100k and 130k
      Minority between 40 and 70
      Unemployment between 6 and 8
      Single-Parent between 11 and 14
      Same-House between 45 and 50
      Young between 17 and 25
      HomeOwners between 0 and 40
THEN  Robbery is 100+

```

Auto-Thefts (Cluster radius=0 2, frequency=20%, Pass=97%)

```

RULE 0 :
IF    Population between 200k and 500k
      Minority between 40 and 70
      Single-Parent between 11 and 14
      Same-House between 0 and 45
      Young between 17 and 25
      HomeOwners between 60 and 70
THEN  Auto-Thefts is 1000+

```

```

RULE 1 :
IF    Population between 200k and 500k
      Minority between 40 and 70
      Unemployment between 8 and 12
      Single-Parent between 11 and 14
      Same-House between 0 and 45
      Young between 17 and 25
      HomeOwners between 60 and 70
THEN  Auto-Thefts is 1000+

```

```

RULE 2 :
IF    Population between 500k+ and
      Minority between 0 and 5
      Unemployment between 8 and 12
      Single-Parent between 11 and 14
      Same-House between 0 and 45
      Young between 17 and 25
      HomeOwners between 60 and 70
THEN  Auto-Thefts is 1000+

```

```

RULE 3 :
IF    Population between 100k and 130k
      Minority between 5 and 10
      Unemployment between 4 and 6
      Single-Parent between 14 and 20
      Same-House between 55 and 60

```

```
    Young between 13 and 14
    HomeOwners between 60 and 70
THEN  Auto-Thefts between 500 and 1000
```

```
RULE 4 :
IF    Population between 100k and 130k
      Minority between 5 and 10
      Unemployment between 4 and 6
      Single-Parent between 11 and 14
      Same-House between 45 and 50
      Young between 13 and 14
      HomeOwners between 60 and 70
THEN  Auto-Thefts between 500 and 1000
```

```
RULE 5 :
IF    Population between 200k and 500k
      Minority between 70 and 100
      Unemployment between 8 and 12
      Single-Parent between 11 and 14
      Same-House between 60 and 65
      Young between 0 and 12
      HomeOwners between 60 and 70
THEN  Auto-Thefts is 1000+
```

```
RULE 6 :
IF    Population between 200k and 500k
      Minority between 5 and 10
      Unemployment between 0 and 4
      Single-Parent between 11 and 14
      Same-House between 60 and 65
      Young between 0 and 12
      HomeOwners between 60 and 70
THEN  Auto-Thefts is 1000+
```

```
RULE 7 :
IF    Population between 200k and 500k
      Minority between 40 and 70
      Single-Parent between 11 and 14
      Same-House between 50 and 55
      Young between 0 and 12
      HomeOwners between 60 and 70
THEN  Auto-Thefts is 1000+
```

```
RULE 8 :
IF    Population between 200k and 500k
      Minority between 40 and 70
      Unemployment between 12 and 20
      Single-Parent between 11 and 14
      Same-House between 50 and 55
      Young between 0 and 12
      HomeOwners between 60 and 70
THEN  Auto-Thefts is 1000+
```

```
RULE 9 :
```

```
IF    Population between 200k and 500k
      Minority between 70 and 100
      Single-Parent between 11 and 14
      Same-House between 0 and 45
      Young between 17 and 25
      HomeOwners between 40 and 50
THEN  Auto-Thefts is 1000+
```

```
RULE 10 :
IF    Population between 200k and 500k
      Minority between 70 and 100
      Unemployment between 12 and 20
      Single-Parent between 11 and 14
      Same-House between 0 and 45
      Young between 17 and 25
      HomeOwners between 40 and 50
THEN  Auto-Thefts is 1000+
```

```
RULE 11 :
IF    Population between 100k and 130k
      Minority between 70 and 100
      Unemployment between 8 and 12
      Single-Parent between 5 and 7
      Same-House between 50 and 55
      Young between 13 and 14
      HomeOwners between 40 and 50
THEN  Auto-Thefts between 500 and 1000
```

```
RULE 12 :
IF    Population between 200k and 500k
      Minority between 70 and 100
      Unemployment between 0 and 4
      Single-Parent between 11 and 14
      Same-House between 0 and 45
      Young between 0 and 12
      HomeOwners between 40 and 50
THEN  Auto-Thefts is 1000+
```

```
RULE 13 :
IF    Population between 100k and 130k
      Minority between 5 and 10
      Unemployment between 12 and 20
      Single-Parent between 9 and 11
      Same-House between 45 and 50
      Young between 13 and 14
      HomeOwners between 0 and 40
THEN  Auto-Thefts between 100 and 50
```

7.2 Computer Activity Database

Description

The computer activity database is a collection of a computer system activity measures. The data was collected from a Sun Sparcstation 20/712 with 128 Mbytes of memory running in a multi-user university department. Users would typically be doing a large variety of tasks ranging from accessing the internet, editing files or running CPU intensive programs. Data was collected between 12:00 pm -18:00 pm which is when the machines would be busiest. On both occasions, system activity was gathered every 5 seconds.

The dataset was obtained from Delve, Department of computer science, University of Toronto, Canada. The original dataset consisted of 8192 patterns. We randomly selected 4000 patterns for our analysis. The portion of time the CPU runs in user mode is correlated with the various measures of the system and predominant rules are extracted in this analysis.

Data description and encoding:

<i>Variable</i>	<i>Description</i>
lread	Reads (transfers per second) between system memory and user memory
lwrite	writes (transfers per second) between system memory and user memory
scall	Number of system calls of all types per second
sread	Number of system read calls per second
swrite	Number of system write calls per second
fork	Number of system fork calls per second
exec	Number of system exec calls per second
rchar	Number of characters transferred per second by system read calls
wchar	Number of characters transfreed per second by system write calls
pgout	Number of page out requests per second
ppgout	Number of pages, paged out per second
pgfree	Number of pages per second placed on the free list
pgscan	Number of pages checked if they can be freed per second
atch	Number of page attaches (satisfying a page fault by reclaiming a page in memory) per second
pgin	Number of page in requests per second
ppgin	Number of pages paged in per second
pflt	Number of page faults caused by protection errors (copy on writes)
vflt	Number of page faults caused by address translation
runqsz	Process run queue size
freemem	Number of memory pages available to user processes
freeswap	Number of disk blocks available for page swapping
usr	Portion of time (%) that cpus run in user mode

Table 7.12 Data Description — Computer Active Database

Variable	No.of Nodes	Intervals
lread	4	[0-30],[30-60],[60-90],90+
lwrite	4	[0-30],[30-60],[60-90],90+
scall	6	<1k],[1k-2k],[2k-3k],[3k-4k],[5k-6k],6k+
sread	4	[0-300],[300-600],[600-900],900+
swrite	4	[0-300],[300-600],[600-900],900+
fork	4	[0-3],[3-6],[6-9],9+
exec	5	[0-3],[3-6],[6-9],[9-20],20+
rchar	4	[0-300],[300-600],[600-900],900+
wchar	4	[0-300],[300-600],[600-900],900+
pgout	3	[0-20],[20-40],[40-60]
ppgout	3	[0-20],[20-40],[40-60]
pgfree	4	<100],[100-200],[200-300],[300-400]
pgscan	4	<100],[100-200],[200-300],[300-400]
atch	4	[0-3],[3-6],[6-9],9+
pgin	3	[0-20],[20-40],[40-60]
ppgin	3	[0-20],[20-40],[40-60]
pflt	3	[0-200],[200-400],400+
vflt	3	[0-200],[200-400],400+
runqsz	3	[0-2],[2-4],4+
runocc	5	20,40,60,80,100
freemem	4	[0-2500],[2500-5000],[5000-7500],[5000-7501]
freeswap	4	[0-900],[900-1200],[1200-1500],[1500+]
usr	9	[55-60],[60-65],[65-70],[70-75],[75-80],[80-85],[85-90],[90-95],[95-100]

Table 7.13 Data Encoding — Computer Active Database

Network Architecture and Training Parameters:

Number of Input Nodes : 85
Number of Hidden Nodes : 60
Number of Output Nodes : 9
Error Tolerance : 0.001
Learning Rate : 0.4
MAX Cycles : 10000
Penalty Factor HI : 0.03
Penalty Factor OH : 0.01
Number of Training Patterns : 2001

Table 7.14 Network Architecture — Computer Active Database

Training and Pruning:

TEST ID :	CompActiv2
Number of Training Patterns :	2001
Percentage of Patterns filtered :	16%
Number of cycles of Training :	10,000
Accuracy Acieved :	96.41%
No. correctly recognized :	1702
No. pruned : (IH HO)	963/5100 293/600
Nodes pruned :	None

Table 7.15 Training Results — Computer Active Database

Summary of Extraction Tests:

$$\text{Cluster radius bound, } |r_c| \leq \frac{\ln\left(\frac{1}{\rho} - 1\right)}{\sum v^*}$$

$\sum v^*$ calculated to be $\cong 15$

Sigmoid scaling factor, $a = 0.01$

Error Tolerance, $\rho = 0.01$

Therefore, The bound on the cluster radius was found to be $\cong 0.30$

No. of Patterns Extracted			
<i>Cluster Radius</i>	<i>Frequency</i>	<i>Hidden Layer Activation Level %</i>	<i>Rules Extracted</i>
0.2	30%	100	28
0.2	30%	96	60
0.2	30%	95	89
0.1	30%	100	20
0.1	30%	96	28
0.1	30%	95	53
0.05	30%	100	0
0.05	30%	96	20
0.05	30%	95	20

Table 7.16 No. of Rules Extracted — Computer Active Database

Knowledge Discovery:

Existing Rules

(Cluster radius=0 2, frequency=30%, Pass=96%)

```

RULE 0 :
IF   lread between 0 and 30
     lwrite between 0 and 30
     scall between 3k and 4k
     sread between 0 and 300
     swrite between 0 and 300
     fork between 0 and 3
     exec between 0 and 3
     rchar is 900+
     wchar is 900+
     pgout between 0 and 20
     ppgout between 0 and 20
     pgfree between <100 and
     pgscan between <100 and
     atch between 0 and 3
     pgin between 0 and 20
     ppgin between 0 and 20
     pflt between 0 and 200
     vflt between 0 and 200
     runqsz between 2 and 4
     runocc between 100 and
     freemem between 2500 and 5000
     freeswap is 1500+
THEN usr between 90 and 95

```

```

RULE 1 :
IF   lread between 0 and 30
     lwrite between 0 and 30
     scall between 2k and 3k
     sread between 0 and 300
     swrite between 0 and 300
     fork between 0 and 3
     exec between 0 and 3
     rchar is 900+
     wchar is 900+
     pgout between 0 and 20
     ppgout between 0 and 20
     pgfree between <100 and
     pgscan between <100 and
     atch between 0 and 3
     pgin between 0 and 20
     ppgin between 0 and 20
     pflt between 0 and 200
     vflt between 0 and 200
     runqsz between 2 and 4
     runocc between 100 and

```

```

        freemem between 0 and 2500
        freeswap is 1500+
THEN   usr between 90 and 95

```

RULE 2 :

```

IF     lread between 0 and 30
        lwrite between 0 and 30
        scall between 2k and 3k
        sread between 0 and 300
        swrite between 0 and 300
        fork between 0 and 3
        exec between 0 and 3
        rchar is 900+
        wchar is 900+
        pgout between 0 and 20
        ppgout between 0 and 20
        pgfree between <100 and
        pgscan between <100 and
        atch between 0 and 3
        pgin between 0 and 20
        ppgin between 0 and 20
        pflt between 0 and 200
        vflt between 0 and 200
        runqsz between 0 and 2
        runocc between 100 and
        freemem between 0 and 2500
        freeswap is 1500+
THEN   usr between 90 and 95

```

RULE 3 :

```

IF     lread between 0 and 30
        lwrite between 0 and 30
        scall between 1k and 2k
        sread between 0 and 300
        swrite between 0 and 300
        fork between 0 and 3
        exec between 0 and 3
        rchar is 900+
        wchar is 900+
        pgout between 0 and 20
        ppgout between 0 and 20
        pgfree between <100 and
        pgscan between <100 and
        atch between 0 and 3
        pgin between 0 and 20
        ppgin between 0 and 20
        pflt between 0 and 200
        vflt between 0 and 200
        runqsz between 0 and 2
        runocc between 100 and
        freemem between 5000 and 7501
        freeswap is 1500+
THEN   usr between 90 and 95

```

RULE 4 :

```

IF      lread between 0 and 30
        lwrite between 0 and 30
        scall between 1k and 2k
        sread between 0 and 300
        swrite between 0 and 300
        fork between 0 and 3
        exec between 0 and 3
        rchar is 900+
        wchar is 900+
        pgout between 0 and 20
        ppgout between 0 and 20
        pgfree between <100 and
        pgscan between <100 and
        atch between 0 and 3
        pgin between 0 and 20
        ppgin between 0 and 20
        pflt between 0 and 200
        vflt between 0 and 200
        runqsz between 0 and 2
        runocc between 100 and
        freemem between 0 and 2500
        freeswap is 1500+
THEN    usr between 90 and 95

```

RULE 5 :

```

IF      lread between 0 and 30
        lwrite between 0 and 30
        scall between <1k and
        sread between 0 and 300
        swrite between 0 and 300
        fork between 0 and 3
        exec between 0 and 3
        rchar is 900+
        wchar is 900+
        pgout between 0 and 20
        ppgout between 0 and 20
        pgfree between <100 and
        pgscan between <100 and
        atch between 0 and 3
        pgin between 0 and 20
        ppgin between 0 and 20
        pflt between 0 and 200
        vflt between 0 and 200
        runqsz between 2 and 4
        runocc between 100 and
        freemem between 2500 and 5000
        freeswap is 1500+ and

```

THEN usr between 90 and 95

7.3 Determinants of Plasma Retinol and Beta-Carotene Levels

Description:

In this analysis, the relationship that exists between personal characteristics and dietary factors, and plasma concentrations of retinol, beta-carotene, and other carotenoids are discovered by the neural network and significant trends are extracted based on the existing correlations. The dataset is obtained from study conducted by Nierenberg et al. in Determinants of plasma levels of beta-carotene and retinol, American Journal of Epidemiology 1989, consists of 315 observed cases, to investigate the relationship between personal characteristics and dietary factors, and plasma concentrations of retinol, beta-carotene and other carotenoids. Study subjects (N = 315) were patients who had an elective surgical procedure during a three-year period to biopsy or remove a lesion of the lung, colon, breast, skin, ovary or uterus that was found to be non-cancerous.

Data description and encoding:

<i>Variable</i>	<i>Description</i>
AGE	Age (years)
SEX	Sex (1=Male, 2=Female).
SMOKSTAT	Smoking status (1=Never, 2=Former, 3=Current Smoker)
QUETELET	Quetelet (weight/(height ²))
VITUSE	Vitamin Use (1=Yes, fairly often, 2=Yes, not often, 3=No)
CALORIES	Number of calories consumed per day.
FAT	Grams of fat consumed per day.
FIBER	Grams of fiber consumed per day.
ALCOHOL	Number of alcoholic drinks consumed per week.
CHOLESTEROL	Cholesterol consumed (mg per day).
BETADIET	Dietary beta-carotene consumed (mcg per day).
RETDIET	Dietary retinol consumed (mcg per day)
BETAPLASMA	Plasma beta-carotene (ng/ml)
RETPLASMA	Plasma Retinol (ng/ml)

Table 7.17 Data Description — Plasma Concentrations

Variable	No. of Nodes	Intervals
AGE	6	[20-30],[30-40],[40-50],[50-60],[60-70],[70-80]
SEX	2	1=Male, 2=Female
SMOKSTAT	3	1=Never, 2=Former, 3=Current Smoker
QUETELET	6	[15-20],[20-25],[25-30],[30-35],[35-40],40+
VITUSE	3	1=Yes, fairly often, 2=Yes, not often, 3=No
CALORIES	5	<1000],[1000-1500],[1500-2000],[2000-2500],2500+
FAT	4	[0-50],[50-100],[100-150],[150-200]
FIBER	4	[0-5],[5-10],[10-15],15+
ALCOHOL	6	[0-2],[2-4],[4-6],[6-10],[10-20],20+
CHOLESTEROL	4	[0-250],[250-500],[500-750],750+
BETADIET	4	[0-2000],[2000-4000],[4000-6000],[6000-8000]
RETDIET	4	[0-500],[500-1000],[1000-1500],1500+
BETAPLASMA	6	[0-100],[100-200],[200-300],[300-400],[400-800],800+
RETPLASMA	6	[0-200],[200-400],[400-600],[600-800],[800-1000],[1000-1200]

Table 7.18 Data Encoding — Plasma Concentrations

Network Architecture and Training Parameters:

Number of Input Nodes : 51
 Number of Hidden Nodes : 40
 Number of Output Nodes : 12
 Error Tolerance : 0.01
 Learning Rate : 0.4
 MAX Cycles : 10000
 Penalty Factor HI : 0.03
 Penalty Factor OH : 0.01

Table 7.19 Network Architecture — Plasma Concentrations

Training and Pruning:

TEST ID :	PlasRet1
Number of Training Patterns :	352
Percentage of Patterns filtered :	7%
Number of cycles of Training :	10,000
Accuracy Acieved :	100%
No. correctly recognized :	292
No. pruned : (IH HO)	41 249
Nodes pruned :	None

Table 7.20 Training Results — Plasma Concentrations

Summary of Extraction Tests:

$$\text{Cluster radius bound, } |r_c| \leq \frac{\ln\left(\frac{1}{\rho} - 1\right)}{\sum v^*}$$

$\sum v^*$ calculated to be $\cong 1100$

Sigmoid scaling factor, $a = 0.01$

Error Tolerance, $\rho = 0.01$

Therefore, The bound on the cluster radius was found to be $\cong 0.4$

No. of rules extracted:

Existing Rules				
Radius	0.2	0.2	0.1	0.1
Frequency	30	25	30	25
100% Pass	0	0	0	0
95% Pass	7	11	0	0

Table 7.21 No. of Rules Extracted — Plasma Concentrations

Knowledge Discovery:

Existing Rules:

(Cluster radius=0.2, frequency=30%, Pass=95%)

```

RULE 0 :
IF    AGE between 50 and 60
      SEX is F
      SMOKSTAT is Current
      QUETELET between 15 and 20
      VITUSE is No
      CALORIES between 1500 and 2000
      FAT between 50 and 100
      FIBER between 10 and 15
      ALCOHOL between 0 and 2
      CHOLESTEROL between 0 and 250
      BETADIET between 2000 and 4000
      RETDIET between 0 and 500
THEN  BETAPLASMA between 0 and 100

```

RETPLASMA between 400 and 600

RULE 1 :
IF AGE between 50 and 60
SEX is F
SMOKSTAT is Former
QUETELET between 25 and 30
VITUSE is No
CALORIES between 1500 and 2000
FAT between 50 and 100
FIBER is 15+
ALCOHOL between 10 and 20
CHOLESTEROL between 0 and 250
BETADIET between 2000 and 4000
RETDIET is 1500+
THEN BETAPLASMA between 100 and 200
RETPLASMA between 600 and 800

RULE 2 :
IF AGE between 50 and 60
SEX is F
SMOKSTAT is Never
QUETELET between 25 and 30
VITUSE is Yes:Often
CALORIES between 1500 and 2000
FAT between 50 and 100
FIBER between 10 and 15
ALCOHOL between 0 and 2
CHOLESTEROL between 0 and 250
BETADIET between 0 and 2000
RETDIET is 1500+
THEN BETAPLASMA between 0 and 100
RETPLASMA between 800 and 1000

RULE 3 :
IF AGE between 40 and 50
SEX is F
SMOKSTAT is Never
QUETELET between 20 and 25
VITUSE is Yes:Often
CALORIES between 1500 and 2000
FAT between 50 and 100
FIBER between 10 and 15
ALCOHOL between 0 and 2
CHOLESTEROL between 0 and 250
BETADIET between 4000 and 6000
RETDIET between 0 and 500
THEN BETAPLASMA between 100 and 200
RETPLASMA between 400 and 600

```
RULE 4 :
IF    AGE between 30 and 40
      SEX is F
      SMOKSTAT is Current
      QUETELET between 20 and 25
      VITUSE is No
      CALORIES between 1000 and 1500
      FAT between 50 and 100
      FIBER between 5 and 10
      ALCOHOL between 0 and 2
      CHOLESTEROL between 0 and 250
      BETADIET between 0 and 2000
      RETDIET between 0 and 500
THEN  BETAPLASMA between 100 and 200
      RETPLASMA between 400 and 600
```

```
RULE 5 :
IF    AGE between 30 and 40
      SEX is F
      SMOKSTAT is Never
      QUETELET between 35 and 40
      VITUSE is Yes:NotOften
      CALORIES between 1500 and 2000
      FAT between 0 and 50
      FIBER between 10 and 15
      ALCOHOL between 0 and 2
      CHOLESTEROL between 0 and 250
      BETADIET between 2000 and 4000
      RETDIET between 0 and 500
THEN  BETAPLASMA between 0 and 100
      RETPLASMA between 600 and 800
```

```
RULE 6 :
IF    AGE between 30 and 40
      SEX is F
      SMOKSTAT is Never
      QUETELET between 20 and 25
      VITUSE is Yes:NotOften
      CALORIES between 2000 and 2500
      FAT between 50 and 100
      FIBER is 15+
      ALCOHOL between 0 and 2
      CHOLESTEROL between 0 and 250
      BETADIET between 0 and 2000
      RETDIET between 1000 and 1500
THEN  BETAPLASMA between 200 and 300
      RETPLASMA between 400 and 600
```


7.4 Body-Fat

Description

This dataset includes estimates of the percentage of body fat determined by weighing underwater and various body circumference measurements for 252 men. This dataset can be used to illustrate multiple regression techniques. Accurate measurement of body fat is inconvenient or expensive and it is desirable to have easy methods of estimating body fat that are more convenient and less expensive.

In this analysis, we try to find predominant factors, which contribute to body fat.

Data description and encoding

<i>Variable</i>	<i>Description</i>
Density	Density determined from underwater weighing
Age	Age (years)
Weight	Weight (lbs)
Height	Height (inches)
Neck	Neck circumference (cm)
Chest	Chest circumference (cm)
Abdomen	Abdomen 2 circumference (cm)
Hip	Hip circumference (cm)
Thigh	Thigh circumference (cm)
Knee	Knee circumference (cm)
Ankle	Ankle circumference (cm)
Biceps	Biceps (extended) circumference (cm)
Forearm	Forearm circumference (cm)
Wrist	Wrist circumference (cm)
PercentBodyFat	Percent body fat from Siri's (1956) equation

Table 7.22 Data Description — Body Fat Percentage

Variable	No. of Nodes	Intervals
Density	5	[0.900-1.0200],[1.020-1.040],[1.040-1.060],[1.060-1.080],[1.080-1.20]
Age	5	[20-30],[30-40],[40-50],[50-60],[60-82]
Weight	6	[117-130],[130-150],[150-170],[170-190],[190-210],210+
Height	6	[27-66],[66-69],[69-71],[71-73],[73-75],[75-78]
Neck	3	[30-38],[38-46],[46-52]
Chest	3	[79-95],[95-110],[110-140]
Ab	3	[69-85],[85-100],[100-115]
Hip	3	[80-95],[95-110],[110-147]
Thigh	3	[47-65],[65-80],[80-90]
Knee	3	[32-39],[39-45],[45-50]
Ankle	3	[18-22],[22-26],[26-30]
Biceps	3	[24-32],[32-38],[38-46]
Forearm	3	[20-25],[25-30],[30-35]
Wrist	3	[15-17],[17-19],[19-22]
PercentBodyFat	7	[0-3],[3-7],[7-12],[12-18],[18-22],[22-27],[27-50]

Table 7.23 Data Encoding — Body Fat Percentage

Network Architecture and Training Parameters

Number of Input Nodes : 52
 Number of Hidden Nodes : 60
 Number of Output Nodes : 7
 Error Tolerance : 0.001
 Learning Rate : 0.4
 MAX Cycles : 10000
 Penalty Factor HI : 0.03
 Penalty Factor OH : 0.01

Table 7.24 Network Architecture — Body Fat Percentage

Training and Pruning

TEST ID :	BodyFat
Number of Training Patterns :	252
Percentage of Patterns filtered :	2%
Number of cycles of Training :	10,000
Accuracy Acieved :	100%
No. correctly recognized :	248
No. pruned : (IH HO)	369 168
Nodes pruned :	None

Table 7.25 Training Results — Body Fat Percentage

Summary of Extraction Tests

$$\text{Cluster radius bound, } |r_c| \leq \frac{\ln\left(\frac{1}{\rho} - 1\right)}{\sum v^*}$$

$\sum v^*$ calculated to be $\cong 1200$

Sigmoid scaling factor, $a = 0.01$

Error Tolerance, $\rho = 0.01$

Therefore, The bound on the cluster radius was found to be $\cong 0.38$

No. of rules extracted:

Existing Rules						
Radius	0.2	0.2	0.2	0.3	0.3	0.3
Frequency	30	25	20	30	25	20
100% Pass	0	0	0	0	0	1
95% Pass	1	1	4	1	9	25

Table 7.26 No. of Rules Extracted — Body Fat Percentage

Knowledge Discovery

Existing Rules:

(Cluster radius=0.2, frequency=20%, Pass=95%)

```

RULE 0 :
IF   Density between 1.040 and 1.060
     Age between 60 and 82
     Weight between 150 and 170
     Height between 69 and 71
     Neck between 30 and 38
     Chest between 95 and 110
     Abdomen between 85 and 100
     Hip between 95 and 110
     Thigh between 47 and 65
     Knee between 32 and 39
     Ankle between 18 and 22
     Biceps between 24 and 32
     Forearm between 25 and 30
     Wrist between 17 and 19
THEN PercentBodyFat between 18 and 22

```

RULE 1 :
IF Density between 1.040 and 1.060
Age between 60 and 82
Weight between 190 and 210
Height between 71 and 73
Neck between 38 and 46
Chest between 95 and 110
Abdomen between 85 and 100
Hip between 95 and 110
Thigh between 47 and 65
Knee between 32 and 39
Ankle between 22 and 26
Biceps between 32 and 38
Forearm between 25 and 30
Wrist between 17 and 19
THEN PercentBodyFat between 18 and 22

RULE 2 :
IF Density between 1.040 and 1.060
Age between 30 and 40
Weight between 150 and 170
Height between 69 and 71
Neck between 30 and 38
Chest between 95 and 110
Abdomen between 85 and 100
Hip between 95 and 110
Thigh between 47 and 65
Knee between 32 and 39
Ankle between 22 and 26
Biceps between 32 and 38
Forearm between 25 and 30
Wrist between 17 and 19
THEN PercentBodyFat between 18 and 22

RULE 3 :
IF Density between 1.040 and 1.060
Age between 40 and 50
Weight between 150 and 170
Height between 69 and 71
Neck between 30 and 38
Chest between 95 and 110
Abdomen between 85 and 100
Hip between 95 and 110
Thigh between 47 and 65
Knee between 32 and 39
Ankle between 22 and 26
Biceps between 32 and 38
Forearm between 25 and 30
Wrist between 17 and 19
THEN PercentBodyFat between 22 and 27

7.5 Pollution

Description

In this dataset, analysis is performed to find correlations that tend to connect physical and demographic environmental factors to mortality rates. The source of this data is McDonald, G.C. and Schwing, R.C. (1973) 'Instabilities of regression estimates relating air pollution to mortality', *Technometrics*, vol.15. This dataset consists of 60 patterns.

Data description and encoding

<i>Variable</i>	<i>Description</i>
PREC	Average annual precipitation in inches
JANT	Average January temperature in degrees F
JULT	Same for July
OVR65	% of 1960 SMSA population aged 65 or older
POP	Average household size
EDUC	Median school years completed by those over 22
HOUS	% of housing units which are sound & with all facilities
DENS	Population per sq. mile in urbanized areas, 1960
NONW	% non-white population in urbanized areas, 1960
WWDRK	% employed in white collar occupations
POOR	% of families with income < \$3000
HC	Relative hydrocarbon pollution potential
NOX	Same for nitric oxides
SO@	Same for sulphur dioxide
HUMID	Annual average % relative humidity at 1pm
MORT	Total age-adjusted mortality rate per 100,000

Table 7.27 Data Description — Pollution

<i>Variable</i>	<i>No. of Nodes</i>	<i>Intervals</i>
PREC	3	[0-30],(30-40),(40-50]
JANT	4	[0-20],(20-40),(40-50],(50-60]
JULT	4	[0-60],(60-70),(70-80],80+
OVR65	5	[<8],(8-9),(9-10),(10-11],11+
POP	4	[2.5-3.0],(3.0-3.2),(3.2-3.4),(3.4-3.6]
EDUC	4	[7-10],(10-11),(11-12],12+
HOUS	3	[50-70],(70-80),(80-90]
DENS	5	[0-2000],(200-4000],[4k-6k],[6k-8k],8k+
NONW	4	[0-10],(10-20),(20-30),(30-40]
WWDRK	4	[20-30],(30-40),(40-50],50+
POOR	3	[0-10],(10-20),(20-30]
HC	4	[0-20],(20-40),(40-100],100+
NOX	4	[0-20],(20-40),(40-100],100+
SO@	4	[0-40],(40-60),(60-100],100+
HUMID	3	[50-55],(55-60),(60-65]

MORT	5	[850-900],(900-950),(950-1000),(1000-1050),(1050-1150]
------	---	--

Table 7.28 Data Encoding — Pollution

Network Architecture and Training Parameters

Number of Input Nodes : 58
 Number of Hidden Nodes : 40
 Number of Output Nodes : 5
 Error Tolerance : 0.01
 Learning Rate : 0.4
 MAX Cycles : 10000
 Penalty Factor HI : 0.03
 Penalty Factor OH : 0.01

Table 7.29 Network Architecture— Pollution

Training and Pruning

TEST ID :	Poll
Number of Training Patterns :	60
Percentage of Patterns filtered :	3%
Number of cycles of Training :	10,000
Accuracy Acieved :	100%
No. correctly recognized :	58
No. pruned : (IH HO)	363 85
Nodes pruned :	7,36

Table 7.30 Training Results — Pollution

Summary of Extraction Tests

$$\text{Cluster radius bound, } |r_c| \leq \frac{\ln\left(\frac{1}{\rho} - 1\right)}{\sum v^*}$$

$\sum v^*$ calculated to be $\cong 850$

Sigmoid scaling factor, $a = 0.01$

Error Tolerance, $\rho = 0.01$

Therefore, The bound on the cluster radius was found to be $\cong 0.54$

Existing Rules						
Radius	0.2	0.2	0.2	0.3	0.3	0.3
Frequency	30	25	20	30	25	20
100% Pass	0	0	0	0	0	0
95% Pass	0	0	1	0	0	1

Table 7.31 No. of Rules Extracted — Pollution

Knowledge Discovery

Existing Rules:

(Cluster radius=0.2, frequency=20%, Pass=95%)

```

RULE 0 :
IF    PREC between 40 and 50
      JANT between 20 and 40
      JULT between 70 and 80
      OVR65 between 10 and 11
      POPN between 3.2 and 3.4
      EDUC between 7 and 10
      HOUS between 80 and 90+
      DENS between 4k and 6k
      NONW between 0 and 10
      WWDRK between 30 and 40
      POOR between 10 and 20
      HC between 0 and 20
      NOX between 0 and 20
      SO@ between 0 and 40
      HUMID between 50 and 55
THEN  MORT between 950 and 1000

```

CHAPTER 8. CONCLUSION

8.0 Overview

A framework for knowledge discovery / data mining using neural networks has been formulated and demonstrated with applications in this work. A summary of the work is presented in this chapter and conclusions are drawn. Section 8.1 discusses our process of knowledge discovery using neural networks, its significance and benefits. Section 8.2 discusses the applications we have used to support the process.

8.1 Process for Knowledge Discovery Using Neural Networks

The key accomplishments of the process are as follows:

- a A sound framework for knowledge discovery of existing trends in datasets of patterns has been formulated.
- b The process allows for prediction of trends based on the knowledge acquired from the dataset.
- c The process possesses flexibility capabilities through control parameters:
 - 1 Frequency — Percentage of the dataset that must support the discovered trends
 - 2 Radius — Degree of accuracy and generalization allowed for the selection of trends
 - 3 Activation Level — Percentage of internal activity required to support the discovered trends
- d The process is able to provide generalized output through the adaptive clustering process which produces representative values for regions of activations.
- e A simple process for extraction of rules from neural networks is defined.

The process can be used for knowledge discovery of various types of pattern datasets. A wide range of applications such as financial, medical, security, and socioeconomics can benefit from this process.

The process is briefly summarized as follows:

- Step 1: Encoding the patterns in the dataset into appropriate Binary Patterns
- Step 2: Training the Neural Network using the encoded input-output pairs of patterns, inconsistent mappings are filtered out
- Step 3: Pruning the neural network and Re-Training
- Step 4: Adaptive Clustering the Hidden Unit Activation Values to create regions of activations having frequency counts and representative values
- Step 5: Discovering existing and predicted trends by extraction of rules

The process is sound due to the following reasons:

- a. The accuracy of the trained network reflects the accuracy of the model acquired from the dataset
- b. The filtering process removes inconsistent mappings which do not display any dominant trend
- c. The insignificant attributes are identified and removed by pruning the unnecessary input and output neurons
- d. The bound for the cluster radius ensures the soundness of the extracted trends. In addition, by using representative values for the activations, we obtain generalized trends for close patterns
- e. The patterns must satisfy rigorous vigilance tests on frequency, radius, and activation level to be selected as a dominant trend

8.2 Applications and Analysis

We have shown the applicability and robustness of the process by applying the process to various real world datasets. The process was used to discover trends in datasets containing various types of data such as demographic_crime, dietary factors_Plasma Retinol and Beta-Carotene concentrations, system measurements_CPU usage, body measurements_body fat percentage, pollution_mortality. In addition, the process was able to predict trends from the demographics_crime dataset.

In *Discovering Crime Trends Across Cities in the United States* (Chapter 7), we present existing and predicted trends of crimes across three categories of city population. The existing trends represent the dominating demographic factors that lead to various types of crimes which exist in the data collected across 6100 US cities. The predicted trends demonstrate the generalization capability of the neural network based on the knowledge learned from the existing patterns. On a broad view, we can see that there are low rates of murder, rape, and robberies for small and medium cities, whereas there are higher rates of these crimes in large cities. The auto-thefts are low in small towns, moderate in medium towns, and high in large cities. Further relationship between other demographic factors and occurrences of these crimes are shown in the individual trends. These trends dominate the data set. Comments made by experts in the criminal justice field show that the existing and predicted trends are accurate.

In *Computer Active Database* (Chapter 7), we extract existing trends for CPU usage. Based on the discovered trends, we can see that the CPU usage for 90-95% dominates the dataset. The correlation between the CPU usage of 90-95% and various system measures can be seen in all trends.

Similarly in other applications, we can observe the dominating characteristics of patterns in the respective datasets. These applications show the usefulness, flexibility, robustness, and simplicity of the knowledge discovery process.

In this work, we have demonstrated the usefulness of the process for a few applications. There are many applications in various fields where this process can be applied to extract significant trends and predict generalized trends. Applications can vary widely across different fields such as medical, military, security, operations, business and financial. An example of a financial application would be to extract trends that reflect the demographic and personal characteristics of individuals to their usage of credit cards for different activities.

The knowledge discovery process does not impose restrictions on the complexity of the network architecture. Hence, the neural network can have several hidden layers. This enables the neural network to model highly non-linear applications more accurately.

APPENDIX A. NEURAL NETWORK SOURCE CODE

myNetwork.h

```
#include <fstream>
#include <string>

using namespace std,

//function to round real values between 0 and 1 to 0 and 1
double myRound(double),
//function to prune the network
void prune(double [50][100],int [50][100],double [100][40],int
[100][40],int,int,int,double),
//function to prune a specific hidden node
void pruneHiddenNode(int,double [50][100],int [50][100],double [100][40],int
[100][40],int,int,int,int,double);
//functions to calculate and update the node existence after pruning
void calcNodeExistence(int [],int [],int [],int [50][100],int [100][40],int,int,int),
void correctMatrices(int [],int [],int [],int [50][100],int [100][40],int,int,int),

class myNetwork {
public
    myNetwork() {},
    myNetwork(double,double,int,int,int,char*),
    myNetwork(char*,char*,char*),

private

    double input[100],           //input layer
    double hiddenNodeSum[100],   //hidden layer
    double outputNodeSum[40],    //output layer

    //to keep track of existing nodes after pruning
    int extInput[100],
    int extHidden[100],
    int extOutput[40],

    //to hold the error at respective layers
    double errorOutput[40],
    double errorHidden[100];
    double errorStorage[20],
    double errorSum,

    //to hold threshold values for hidden and output layer
    double hiddenThreshold[100],
    double outputThreshold[40],

    //weights of connections
    double weightInput2Hidden[100][100],
    double weightHidden2Output[100][40],

    //to keep track of existing connections
    int extInput2Hidden[100][100],
    int extHidden2Output[100][40],

    //other parameters — alpha is learning rate
    int negativeDeterminer,
    int interruptTraining,
    float errorThreshold,
    float alpha,

    double temp;

    double ScaleFactor,
    double MomentumFactor,
```

```

//number of neurons on respective layers
int numberOfInputNodes,
int numberOfHiddenNodes,
int numberOfOutputNodes,

//size of the training set
int numberOfTrainingSet,

//mean squared error of the network
double MeanSquareError,

//testID of the network
char* netID,

//Centroid activation layer
CentroidActivationLayer* CAL,

public :

//Network initialization methods
void loadNetwork(char*, char*, char*),
void saveNetworkForLoadback(char*, char*, char*),
void newNetwork(),

//network training methods
void penaltyTraining(float[][100], float[][40], int, int, double, double),
void filteredtrainNetworkPenalty(float[][100], float[][40], int&, int, double, double);

//network recall methods
double recall(float[][100], float[][40], int&, char*),
double recallCorrect(float[][100], float[][40], int&, char*),

//clustering methods
void myNetwork: computeConfCluster(double),
void addActivationLayer(double, char*),

//activation recall methods
double GActivationRecall(float[][100], float[][40], int&, int, char*),
double myNetwork GActivationRecallPercentage(float[][100], float[][40], int&,
int ,char* ,int ),
double GActivationRecallGeneralized(short[][100], int&, int, char*, int),

//filtering the training set to remove inconsistent patterns
int filterPatSet(double, int, float[][100], float[][40], double[]),

//penalty update and pruning methods
double penDerHidInp(double, double, double),
double penDerOutHid(double, double, double),
double penDerforWeight(double),
void nullifyWeightsBelowLevel(double),
void netprune(),
void netpruneHidden(int),
void fixNodes(),

},

```

netFunc.cpp

```

//network utility functions

#include "cluster h"
#include "clusterspace1dim h"
#include "CentroidActivationLayer h"
#include "myNetwork h"
#include <vector>
#include <fstream>
#include <iostream>
#include <stdlib.h>
#include <stdio.h>
#include <math.h>
#include <string>

using namespace std,

//constructors
myNetwork::myNetwork(double eT, double a, int nI, int nH, int nO, char* nid) {

    errorThreshold=eT,
    alpha=a,

    ScaleFactor=10,

    numberOfInputNodes=nI,
    numberOfHiddenNodes=nH,
    numberOfOutputNodes=nO,

    netID=nid,

}

myNetwork::myNetwork(char* weightf, char* existf, char* thresholdf) {
    loadNetwork(weightf, existf, thresholdf),
}

// building a new network using architecture specifications
void myNetwork::newNetwork() {

// Input Array initialized to Zero
    for ( int i = 0, i < numberOfInputNodes, i++)
    {
        input[i] = 0,
        extInput[i]=1,
    }

// Random Weights from INPUT to HIDDEN (-1 and +1)
    for ( int i = 0; i < numberOfHiddenNodes, i++)
    {
        for ( int j = 0; j < numberOfInputNodes, j++)
        {
            negativeDeterminer = rand() % 2,
            weightInput2Hidden[j][i] = (double)(negativeDeterminer -
1 0*rand()/(RAND_MAX+1 0)),
        }
    }

// Random Weights from HIDDEN to OUTPUT (-1 to +1)
    for ( int i = 0, i < numberOfOutputNodes, i++ )
    {
        for ( int j = 0, j < numberOfHiddenNodes, j++ )
        {
            negativeDeterminer = rand() % 2,
            weightHidden2Output[j][i] = (double)(negativeDeterminer -
1 0*rand()/(RAND_MAX+1 0));
        }
    }

// Thresholds
    for ( int i = 0, i < numberOfHiddenNodes; i++)
    {
        hiddenThreshold[i] = 0, //(double)(1 0*rand()/(RAND_MAX+1 0)),
    }
}

```

```

for ( int i = 0, i < numberOfOutputNodes, i++)
{
    outputThreshold[i] = 0, //(double)(1 0*rand()/(RAND_MAX+1 0)),
}

//SUMS initialized to Zero
for ( int i = 0, i < numberOfHiddenNodes, i++ )
{
    hiddenNodeSum[i] = 0,
    extHidden[i]=1,
}

for ( int i = 0, i < numberOfOutputNodes, i++ )
{
    outputNodeSum[i] = 0,
    extOutput[i]=1,
}

for (int i = 0, i < numberOfHiddenNodes, i++ )
{
    errorHidden[i] = 0,
}

for ( int i = 0, i < numberOfOutputNodes, i++ )
{
    errorOutput[i] = 0,
}

//Initialize the existence matrix
for ( int i = 0, i < numberOfHiddenNodes, i++)
{
    for ( int j = 0; j < numberOfInputNodes, j++)
    {
        extInput2Hidden[j][i] = 1,
    }
}

for ( int i = 0, i < numberOfOutputNodes, i++ )
{
    for ( int j = 0; j < numberOfHiddenNodes, j++ )
    {
        extHidden2Output[j][i] =1,
    }
}
}

//loading a saved network
void myNetwork::loadNetwork(char *wfilename, char *efilename ,char* tfile) {

//reading the architecture from the file

int tempFlush,
std ifstream fWLoad(wfilename), //Weights*file
std ifstream fELoad(efilename); //ext*file

fWLoad>>numberOfInputNodes,
fWLoad>>numberOfHiddenNodes,

for ( int i = 0, i < numberOfInputNodes, i++)
{
    for ( int j = 0, j < numberOfHiddenNodes, j++)
    {
        fWLoad >> weightInput2Hidden[i][j],
        fELoad >> extInput2Hidden[i][j],
    }
}

for ( int i = 0; i < numberOfHiddenNodes, i++ )
{
    for ( int j = 0, j < numberOfOutputNodes, j++ )
    {
        fWLoad >> weightHidden2Output[i][j],

```

```

        fELoad >> extHidden2Output[1][j],
    }
}

for(int i=0,i<numberOfInputNodes,i++) {
    fELoad>>extInput[1],
}

for(int i=0,i<numberOfHiddenNodes,i++) {
    fELoad>>extHidden[1],
}

for(int i=0,i<numberOfOutputNodes,i++) {
    fELoad>>extOutput[1],
}

fWLoad close(),
fELoad close();

// Input Array initialized to Zero
for ( int i = 0, i < numberOfInputNodes, i++)
{
    input[i] = 0;
}

//SUMS mitalized to Zero
for ( int i = 0, i < numberOfHiddenNodes, i++ )
{
    hiddenNodeSum[i] = 0;
}

for ( int i = 0, i < numberOfOutputNodes, i++ )
{
    outputNodeSum[i] = 0,
}

for ( int i = 0, i < numberOfHiddenNodes, i++ )
{
    errorHidden[i] = 0,
}

for ( int i = 0, i < numberOfOutputNodes, i++ )
{
    errorOutput[i] = 0,
}

// Thresholds
for ( int i = 0, i < numberOfHiddenNodes, i++)
{
    hiddenThreshold[i] = 0, //(double)(1 0*rand()/(RAND_MAX+1.0)),
}

for ( int i = 0, i < numberOfOutputNodes, i++)
{
    outputThreshold[i] = 0, //(double)(1 0*rand()/(RAND_MAX+1 0)),
}

    cout<<"Network Loaded and Initialized* Architecture " <<numberOfInputNodes<<"
" <<numberOfHiddenNodes<<" " <<numberOfOutputNodes<<endl,
}

//save a trained network to a file
void myNetwork::saveNetworkForLoadback(char* wFile, char* tFile, char* eFile) {
    //open weights file and save weights with sizes of R X C before each matrix
    std ofstream foutsave(wFile), //Weights*file
    std ofstream fesave(eFile), //ext*file

    foutsave<<numberOfInputNodes<<" " <<numberOfHiddenNodes<<endl,
    for ( int i = 0, i < numberOfInputNodes, i++)
    {
        for ( int j = 0, j < numberOfHiddenNodes, j++)
        {
            foutsave << weightInput2Hidden[i][j] << " ",
            fesave << extInput2Hidden[i][j] << " ",
        }
        foutsave<<endl,
        fesave<<endl,
}

```

```

}

foutsave<<numberOfHiddenNodes<<" "<<numberOfOutputNodes<<endl,
for ( int i = 0, i < numberOfHiddenNodes, i++ )
{
    for ( int j = 0, j < numberOfOutputNodes, j++ )
    {
        foutsave << weightHidden2Output[i][j] << " ",
        fesave << extHidden2Output[i][j] << " ",
    }
    foutsave<<endl,
    fesave<<endl;
}

fesave<<endl,

for(int i=0,i<numberOfInputNodes,i++) {
    fesave<<extInput[i]<<" ",
}
fesave<<endl,

for(int i=0,i<numberOfHiddenNodes,i++) {
    fesave<<extHidden[i]<<" ",
}
fesave<<endl,

for(int i=0,i<numberOfOutputNodes,i++) {
    fesave<<extOutput[i]<<" ",
}
fesave<<endl,

foutsave.close(),
fesave.close(),

//open threshold file and save threshold values with sizes before each matrix
std::ofstream foutTsave(tFile),

foutTsave<<numberOfHiddenNodes<<endl,
for(int i=0,i<numberOfHiddenNodes,i++) {
    foutTsave<<hiddenThreshold[i]<<" ",
}
foutTsave<<endl,

foutTsave<<numberOfOutputNodes<<endl,
for(int i=0,i<numberOfOutputNodes,i++) {
    foutTsave<<outputThreshold[i]<<" ",
}
foutTsave<<endl,

foutTsave.close(),

cout<<"*Network Saved*"<<endl,
}

//pruning methods
void myNetwork::nullifyWeightsBelowLevel(double level) {

    for ( int i = 0, i < numberOfHiddenNodes, i++ )
    {
        for ( int j = 0, j < numberOfInputNodes, j++ )
        {
            if (fabs(weightInput2Hidden[j][i])<level) {
                weightInput2Hidden[j][i] = 0,
                extInput2Hidden[j][i] = -1,
            }
        }
    }

    for ( int i = 0, i < numberOfOutputNodes; i++ )
    {
        for ( int j = 0, j < numberOfHiddenNodes, j++ )
        {
            if (fabs(weightHidden2Output[j][i])<level) {
                weightHidden2Output[j][i] = 0,
                extHidden2Output[j][i] = -1,
            }
        }
    }
}

```

```

    }
}

//pruning methods
void myNetwork::netprune() {
    prune(weightInput2Hidden, extInput2Hidden, weightHidden2Output, extHidden2Output, number
    ofInputNodes, numberOfHiddenNodes, numberOfOutputNodes, 0.49),
}

void myNetwork::netpruneHidden(int hid) {
    pruneHiddenNode(hid, weightInput2Hidden, extInput2Hidden, weightHidden2Output, extHidd
    en2Output, numberOfInputNodes, numberOfHiddenNodes, numberOfOutputNodes, 0.49),
}

void myNetwork::fixNodes() {
    calcNodeExistence(extInput, extHidden, extOutput, extInput2Hidden, extHidden2Output, nu
    mberOfInputNodes, numberOfHiddenNodes, numberOfOutputNodes),
    correctMatrices(extInput, extHidden, extOutput, extInput2Hidden, extHidden2Output, numb
    erOfInputNodes, numberOfHiddenNodes, numberOfOutputNodes),
}

//adding an centroid activation layer to the network
void myNetwork::addActivationLayer(double rad, char* actFile) {

    int numUnits=numberOfHiddenNodes,
    double radius=rad,

    //container for activation values - one for each node
    vector<double>* actValCont[100];

    //initialize the containers for each node
    for(int i=0, i<numUnits; i++) {
        actValCont[i]=new vector<double>(),
    }

    //read the file containing Hidden unit activation values, each line contains activation for all nodes in 1 cycle
    //store the values in the respective containers
    ifstream readValues(actFile),
    double tempVal,
    int cont=0;

    while(true){
        readValues>>tempVal,
        if(readValues.is_eof()) {
            break,
        }
        else {
            actValCont[0]->push_back(tempVal),

            for(int node=1, node<numUnits, node++) {
                readValues>>tempVal,
                actValCont[node]->push_back(tempVal);
            }

        }
    }

    //create a new centroid activation layer and return the pointer back to it
    CAL=new CentroidActivationLayer(actValCont, numberOfHiddenNodes, rad),
}

```

APPENDIX B. NEURAL NETWORK TRAINING AND PRUNING PHASE SOURCE CODE

App1TrainFilt.cpp

```
//main function for initializing the network and creating the workflow of training, filtering and pruning procedure

#include "cluster h"
#include "clusterspace1dim h"
#include "CentroidActivationLayer h"
#include "myNetwork h"
#include <fstream>
#include <stdlib.h>
#include <iostream>
#include <stdio.h>

using namespace std,

//initialize training buffer
int init_training_buffer(ifstream& ,int ,int , float [][][100],float [][][40]),

int main( int argc, char** argv ){

    //Reading Architecture
    //arg 1 = TestID
    char tempA[20]="Arch",
    char* Afile=strcat(tempA,argv[1]), //Arch*file

    //initialize architecture
    int numInp=-1,
    int numOut=-1,
    int numHidden=-1,
    ifstream archRead(Afile),

    archRead>>numInp,
    archRead>>numHidden,
    archRead>>numOut;

    archRead.close(),
    cout<<"*Architecture Initialized* " <<numInp<<" " <<numHidden<<" " <<numOut<<endl;

    //reading Training Data Set
    //arg 2 = training file
    ifstream trainFile(argv[2]),

    float inpBuf[3100][100];
    float outBuf[3100][40],

    int numTSample=init_training_buffer(trainFile,numInp,numOut,inpBuf,outBuf),
    cout<<"*Traning buffer Initialized*"<<endl,
    trainFile.close(),

    //getting training parameters
    double learningRate,
    double decayHI,
    double decayOH,
    int cycles,
    int pruneCycles,

    cout<<"Enter Learning rate . ", cin>>learningRate, cout<<endl,
    cout<<"Enter Decay HI Factor ", cin>>decayHI, cout<<endl,
    cout<<"Enter Decay OH Factor "; cin>>decayOH, cout<<endl,
    cout<<"Enter Number of Cycles . ", cin>>cycles, cout<<endl,
    cout<<"Enter Number of Cycles after each pruning ", cin>>pruneCycles,
    cout<<endl,
```

```

//build network
myNetwork NetA(0 01, learningRate, numInp, numHidden, numOut, argv[5]),
NetA newNetwork(),
cout<<"*Network Built*"<<endl,

//display Info
cout<< endl,
cout<<"TEST ID    "<<argv[1]<<endl,
cout<<"Data File   "<<argv[2]<<endl,

int phase=0,
char tempphase[5],
int hid=0,

//filenames for Weights*, ext* and Threshold*
char tempw[20]="Weights",
char tempe[20]="ext",
char tempt[20]="Threshold",

char* wfile=strcat(tempw,argv[1]),
char* efile=strcat(tempe,argv[1]),
char* tfile=strcat(tempt,argv[1]),

cout<<"Number of Input Nodes    "<<numInp<<endl,
cout<<"Number of Hidden Nodes    "<<numHidden<<endl,
cout<<"Number of Output Nodes .  "<<numOut<<endl,
cout<<"Error Tolerance    0 001"<<endl,
cout<<"Learning Rate      "<<learningRate<<endl,
cout<<"MAX Cycles        "<<cycles<<endl,
cout<<"Penalty Factor HI   "<<decayHI<<endl,
cout<<"Penalty Factor OH   "<<decayOH<<endl,
cout<<"Number of Training Patterns  "<<numTSample<<endl,

//start the training, filtering pruning workflow
while(true) {
    //initial training cycle
    if (phase>=1)
        cycles=pruneCycles,

//training the network with penalty and filtering
NetA filteredtrainNetworkPenalty(inpBuf, outBuf, numTSample, cycles, decayHI, decayOH),
    cout<<"*Training Complete* Phase "<<phase<<endl,

    cout<<"Network Recalling .    "<<endl,
    double acu=NetA recall(inpBuf, outBuf, numTSample, argv[1]),
    cout<<"*Recall Complete* Accuracy  "<<acu<<endl,

//pruning the network, complete network at phase 0 and each hidden neuron thereafter
    cout<<"Pruning          "<<endl,
    if (phase==0) {
        NetA netprune(),
        NetA fixNodes();
    }
    else {
        NetA netpruneHidden(hid);
        NetA fixNodes();
        hid++;
    }

    acu=NetA recall(inpBuf, outBuf, numTSample, argv[1]),
    cout<<"*Recall Complete after pruning* Accuracy  "<<acu<<endl,

    if (hid>=numHidden) {
//training the network without filtering after phase 0
NetA penaltyTraining(inpBuf, outBuf, numTSample, cycles, decayHI, decayOH),
    cout<<"*Training Complete* Phase "<<phase<<endl,
    cout<<"Final pruning . ."<<endl,
    NetA netprune(),
    NetA fixNodes(),
    double acu=NetA recall(inpBuf, outBuf, numTSample, argv[1]),
    cout<<"*Recall Complete after pruning* Accuracy  "<<acu<<endl,

        break;
    }
}

```

```
        phase ++,  
    }  
  
    //save the network  
    NetA saveNetworkForLoadback(wfile,tfile,efile),  
}
```

penaltyfiltraining.cpp

```

//method for training the network with penalty update every 2-5 cycles with filtering process
#include "cluster.h"
#include "clusterspace1dim.h"
#include "CentroidActivationLayer.h"
#include "myNetwork.h"
#include <fstream>
#include <iostream>
#include <stdlib.h>
#include <math.h>

using namespace std;

void myNetwork::filteredtrainNetworkPenalty(float iBuffer[][100],float oBuffer[][40],
int& patSetSize, int cycles, double penaltyFactorOH, double penaltyFactorHI){

    int cycle=0,
    char tempfilename[20]="MSError"; //file for storing MSError
    ofstream errorSave(strcat(tempfilename,netID)),
    double ErrorAtCycle,

    //set number of cycles between each penalty update
    int penaltyCycle=5;
    double penUpdate=0,

    //cycles for filtering
    int cycle1=6999,cycle2=8999,

    //maximum number of cycles for training
    int MAXCYCLES=cycles,

    cout<<"Training Network (Filtered) Version3 0 With Penalty Update . ";<<endl;
    cout<<endl,

    if(patSetSize<=0) {
        cout<<"No training set"<<endl,
        errorSave close(),
        exit(1),
        }

    //array for holding MSError values for all input patterns
    double mseArr[3100],
    for(int i=0,i<patSetSize,i++) {
        mseArr[i]=0,
        }

    //begin training cycles — each outer loop is 1 cycle
    while (true) { //Outer loop

    MeanSquareError=0;

        //pass each input through the network — each inner loop is 1 pattern
        for(int p=0,p<patSetSize,p++) { //inner loop

            //initialize input layer
            for(int iDim=0;iDim<numberOfInputNodes,iDim++) {
                input[iDim]=iBuffer[p][iDim],
                }

            //**** HIDDEN NODE SUMS ****
            for (int i = 0; i < numberOfHiddenNodes, i++)
            {
                hiddenNodeSum[i] = 0,
            }
            for (int i = 0; i < numberOfHiddenNodes, i++)
            {
                for ( int j = 0, j < numberOfInputNodes, j++)
                {
                    if(extInput2Hidden[j][i]!='-1)
                        hiddenNodeSum[i] += input[j] *
weightInput2Hidden[j][i],

                }

            }
        }
    }
}

```

```

        hiddenNodeSum[i] = 1.0 / (1.0 + exp(- 0.10 *
(hiddenNodeSum[i] - hiddenThreshold[i]))),
    }

    //**** OUTPUT NODE SUMS ****
    for (int i = 0, i < numberOfOutputNodes, i++)
    {
        outputNodeSum[i] = 0,
    }
    for ( int i = 0, i < numberOfOutputNodes; i++)
    {
        for ( int j = 0, j < numberOfHiddenNodes, j++)
        {
            if(extHidden2Output[j][i]!='-1)
                outputNodeSum[i] += hiddenNodeSum[j]
* weightHidden2Output[j][i],
        }
        outputNodeSum[i] = 1.0 / (1.0 + exp(- 0.10 *
(outputNodeSum[i] - outputThreshold[i]))),
    }

    //**** ERROR CALCULATIONS ****

    // Intialize Error to Zero
    for ( int i = 0, i < numberOfHiddenNodes, i++ )
    {
        errorHidden[i] = 0,
    }

    for ( int i = 0, i < numberOfOutputNodes, i++ )
    {
        errorOutput[i] = 0,
    }

    // Error Calculation for Output Nodes

    ErrorAtCycle=0,
    //error for pattern p sigma k=1 to o {(dk-Ok)^2}
    for(int k=0, k<numberOfOutputNodes,k++) {
        errorOutput[k]=oBuffer[p][k] - outputNodeSum[k]; //d(p,k)-o(p,k)
        ErrorAtCycle=ErrorAtCycle+(errorOutput[k]*errorOutput[k]);
        if (cycle==4999) {
            mseArr[p]=ErrorAtCycle,
        }
        //delta(p,k)=err(p,k)*o(p,k)*(1-o(p,k))
        errorOutput[k]=errorOutput[k]*outputNodeSum[k]*(1-
outputNodeSum[k]);
    }

    //add the squared pattern error to the sum
    MeanSquareError+=ErrorAtCycle,

    // Error Calculation for Hidden Nodes
    for ( int i = 0, i < numberOfHiddenNodes, i++ )
    {
        temp = 0,
        for ( int j = 0, j < numberOfOutputNodes, j++)
        {
            if(extHidden2Output[i][j]!='-1)
                temp += errorOutput[j] *
weightHidden2Output[i][j];
        }
        errorHidden[i] = hiddenNodeSum[i] * (1 -
hiddenNodeSum[i]) * temp, //delta(p,j)
    }

    //**** WEIGHTS UPDATE ****

    // Weight Change between Hidden and Output
    for ( int i = 0, i < numberOfOutputNodes, i++ )
    {
        for ( int j = 0, j < numberOfHiddenNodes, j++ )
        {
            if(extInput2Hidden[j][i]!='-1) {

```

```

                                weightHidden2Output[j][1] += alpha *
hiddenNodeSum[j] * errorOutput[1], //deltaW(o,h)=alpha*error(o)*s'(o)*input(o)
                                if(cycle%penaltyCycle==0) {
penUpdate=penaltyFactorOH*penDerforWeight(weightHidden2Output[j][1]),
                                weightHidden2Output[j][1]-=penUpdate,
                                }
                                }
                                }

//Weight Changing between Input and Hidden
for ( int i = 0, i < numberOfHiddenNodes, i++ )
{
    for ( int j = 0, j < numberOfInputNodes, j++ )
    {
        if(extHidden2Output[j][1]!='-1) {
* errorHidden[1],
        weightInput2Hidden[j][1] += alpha * input[j]

        if(cycle%penaltyCycle==0) {
penUpdate=penaltyFactorHI*penDerforWeight(weightInput2Hidden[j][1]),
        weightInput2Hidden[j][1]-=penUpdate;
        }
        }
    }
}

**** THRESHOLD CHANGE ****
//Threshold Change Output Layer
for(int i = 0, i < numberOfOutputNodes, i++)
{
    outputThreshold[i] += ( 1 * errorOutput[1]),
}

for(int i = 0, i < numberOfOutputNodes, i++)
{
    outputThreshold[i] = 1 0 / ( 1.0 + exp(-
1*outputThreshold[1])),
}

//Theshold Change Hidden Layer
for(int i=0; i<numberOfHiddenNodes, i++)
{
    hiddenThreshold[i] += ( 1 * errorHidden[1]),
}

for(int i=0, i<numberOfHiddenNodes, i++)
{
    hiddenThreshold[i] = 1 0 / ( 1 0 + exp(-
1*errorHidden[1])),
}

} //end inner loop

MeanSquareError=(MeanSquareError/patSetSize),
errorSave<<MeanSquareError<<endl,

//finding if any errors have variance wrt to the errors of all patterns
//remove patterns with high error
if(cycle==cycle1) {
double cV=MeanSquareError*2,
cout<<endl<<"Cycle   "<<cycle<<"   Finding patterns with high error eCycle
greater than eq  · "<<cV<<endl,
patSetSize=filterPatSet(cV,patSetSize,iBuffer,oBuffer,mseArr),
}

if(cycle==cycle2) {
if(MeanSquareError>0 1) {
double cV=MeanSquareError*2,
cout<<endl<<"Cycle   "<<cycle<<"   Finding patterns with high error
eCycle greater than eq  "<<cV<<endl,
patSetSize=filterPatSet(cV,patSetSize,iBuffer,oBuffer,mseArr),
}
}
}

```

```

        if(cycle%1000==0)
            cout<<"** Network Mean Error @ "<<cycle<<"    "<<MeanSquareError<<"
**"<<endl,
        cycle++,
        if((MeanSquareError < errorThreshold) || (cycle > MAXCYCLES)) {
            cout<<endl<<"Training completed at cycle    "<<cycle<<endl,
            break;
        }

    } //end outer loop

    errorSave close(),

} //end training

//filtering the dataset
int myNetwork::filterPatSet(double checkVal, int pSize, float iBuffer[][100], float
oBuffer[][40], double mseArr[]) {

    //check to see if the Error of the pattern is greater than desired value
    for(int z=0,z<pSize,z++) {
        if(mseArr[z] > checkVal) {
            cout<<"Removing Pattern "<<z<<endl,

            //copy the pattern at the end of the array to the current location and reduce the array size
            by 1

            for(int l=0,l<numberOfInputNodes;l++) {
                iBuffer[z][l]=iBuffer[pSize-1][l],
                iBuffer[pSize-1][l]=0,
            }
            for(int l=0,l<numberOfOutputNodes;l++) {
                oBuffer[z][l]=oBuffer[pSize-1][l],
                oBuffer[pSize-1][l]=0,
            }

            pSize--,

        }

    }

    cout<<endl,

    alpha++,
    return pSize,

}

```

penaltyTraining.cpp

```

//method for training the network with penalty updates (no filtering)
#include "cluster h"
#include "clusterspace1dim h"
#include "CentroidActivationLayer h"
#include "myNetwork h"
#include <fstream>
#include <iostream>
#include <stdlib h>
#include <math h>

using namespace std,

void myNetwork::penaltyTraining(float iBuffer[][100],float oBuffer[][40], int patSetSize,
int cycles, double penaltyFactorOH, double penaltyFactorHI){

    int cycle=0,
    char tempfilename[20]="MSError",
    ofstream errorSave(strcat(tempfilename,netID)),
    double ErrorAtCycle,

    int MAXCYCLES=cycles,

    double penUpdate=0,

    cout<<endl,
    cout<<"Training Network (Non-Filtered) Version2 0 With Penalty Update    "<<endl;
    cout<<endl,

    if(patSetSize<=0) {
        cout<<"No training set"<<endl,
        errorSave close(),
        exit(1),
        }

    while (true) {                //Outer loop

    MeanSquareError=0,

        for(int p=0,p<patSetSize,p++) {                //inner loop

            //initialize input layer
            for(int iDim=0,iDim<numberOfInputNodes,iDim++) {
                input[iDim]=iBuffer[p][iDim],
                }

            //****HIDDEN NODE SUMS ****
            for (int i = 0, i < numberOfHiddenNodes, i++)
            {
                hiddenNodeSum[i] = 0,
            }
            for (int i = 0; i < numberOfHiddenNodes, i++)
            {
                for ( int j = 0, j < numberOfInputNodes, j++)
                {
                    if(extInput2Hidden[j][i]!='-1)
                        hiddenNodeSum[i] += input[j] *
weightInput2Hidden[j][i],

                }
                hiddenNodeSum[i] = 1.0 / (1.0 + exp(- 0.10 *
(hiddenNodeSum[i] - hiddenThreshold[i]))),
                }

            //****OUTPUT NODE SUMS ****
            for (int i = 0; i < numberOfOutputNodes; i++)
            {
                outputNodeSum[i] = 0;
            }
            for ( int i = 0, i < numberOfOutputNodes, i++)
            {
                for ( int j = 0, j < numberOfHiddenNodes, j++)
                {
                    if(extHidden2Output[j][i]!='-1)
                        outputNodeSum[i] += hiddenNodeSum[j]
* weightHidden2Output[j][i],

```

```

    }
    outputNodeSum[1] = 1.0 / (1.0 + exp(-0.10 *
(outputNodeSum[1] - outputThreshold[1]))),
    }

    //**** ERROR CALCULATIONS ****
    // Initialise Error to Zero
    for ( int i = 0, i < numberOfHiddenNodes, i++ )
    {
        errorHidden[i] = 0,
    }

    for ( int i = 0, i < numberOfOutputNodes, i++ )
    {
        errorOutput[i] = 0,
    }

    // Error Calculation for Output Nodes
    ErrorAtCycle=0,
    //error for pattern p sigma k=1 to o {(dk-ok)^2}
    for(int k=0; k<numberOfOutputNodes,k++) {
        errorOutput[k]=oBuffer[p][k] - outputNodeSum[k], //d(p,k)-o(p,k)
        ErrorAtCycle=ErrorAtCycle+(errorOutput[k]*errorOutput[k]),
        errorOutput[k]=errorOutput[k]*outputNodeSum[k]*(1-
outputNodeSum[k]), //delta(p,k)=err(p,k)*o(p,k)*(1-o(p,k))
    }

    //add the squared pattern error to the sum
    MeanSquareError+=ErrorAtCycle,

    // Error Calculation for Hidden Nodes
    for ( int i = 0, i < numberOfHiddenNodes, i++ )
    {
        temp = 0,
        for ( int j = 0, j < numberOfOutputNodes, j++ )
        {
            if(extHidden2Output[i][j]!='-1)
                temp += errorOutput[j] *
weightHidden2Output[i][j],
        }
        errorHidden[i] = hiddenNodeSum[i] * (1 -
hiddenNodeSum[i]) * temp, //delta(p,j)
    }

    //**** WEIGHTS CHANGE ****
    // Weight Change between Hidden and Output
    for ( int i = 0, i < numberOfOutputNodes, i++ )
    {
        for ( int j = 0, j < numberOfHiddenNodes, j++ )
        {
            if(extHidden2Output[j][i]!='-1) {
                penUpdate=penaltyFactorOH*penDerforWeight(weightHidden2Output[j][i]),
                weightHidden2Output[j][i] += (alpha *
hiddenNodeSum[j] * errorOutput[i]), //deltaW(o,h)=alpha*error(o)*s'(o)*input(o)
                weightHidden2Output[j][i]-=penUpdate;
            }
        }
    }

    // Weight Changing between Input and Hidden
    for ( int i = 0, i < numberOfHiddenNodes, i++ )
    {
        for ( int j = 0, j < numberOfInputNodes; j++ )
        {
            if(extInput2Hidden[j][i]!='-1) {
                penUpdate=penaltyFactorHI*penDerforWeight(weightInput2Hidden[j][i]),
                weightInput2Hidden[j][i] += alpha * input[j]
* errorHidden[i],
                weightInput2Hidden[j][i]-=penUpdate;
            }
        }
    }

    //**** THRESHOLD CHANGE ****

```

```

//Threshold Change Output Layer
    for(int i = 0, i < numberOfOutputNodes, i++)
    {
        outputThreshold[i] += ( 1 * errorOutput[i]),
    }

    for(int i = 0, i < numberOfOutputNodes, i++)
    {
        outputThreshold[i] = 1 0 / ( 1 0 + exp(-
1*outputThreshold[i])),
    }

//Theshold Change Hidden Layer
    for(int i=0, i<numberOfHiddenNodes, i++)
    {
        hiddenThreshold[i] += ( 1 * errorHidden[i]),
    }

    for(int i=0; i<numberOfHiddenNodes, i++)
    {
        hiddenThreshold[i] = 1 0 / ( 1 0 + exp(-
1*errorHidden[i]));
    }

} //end inner loop

MeanSquareError=(MeanSquareError/patSetSize),
errorSave<<MeanSquareError<<endl,

if(cycle%1000==0)
    cout<<"** Network Mean Error @ "<<cycle<<" · "<<MeanSquareError<<"
**"<<endl,
cycle++,

if((MeanSquareError < errorThreshold) || (cycle > MAXCYCLES)) {
    cout<<endl<<"Training completed at cycle  " <<cycle<<endl,
    break,
}
} //end outer loop

errorSave close();
}

```

penaltyFunc.cpp

```

//functions for calculating penalty values for connection weights based
#include "cluster.h"
#include "clusterspace1dim.h"
#include "CentroidActivationLayer.h"
#include "myNetwork.h"
#include <math.h>

using namespace std;

double myNetwork::penDerHidInp(double ep1,double ep2,double beta) {
    double tempWFac1=0,
    double tempWFac2=0,

    for(int i=0,i<numberOfHiddenNodes,i++) {
        for(int j=0;j<numberOfInputNodes;j++) {
            //w/(1+bw^2)^2
            tempWFac1+=weightInput2Hidden[j][i] / pow(1 +
beta*pow(weightInput2Hidden[j][i],2),2),
            //w
            tempWFac2+=weightInput2Hidden[j][i],
        }
    }
    return ((2*ep1*beta*tempWFac1) + (2*ep2*tempWFac2)),
}

double myNetwork::penDerOutHid(double ep1,double ep2,double beta) {
    double tempWFac1=0,
    double tempWFac2=0,

    for(int i=0,i<numberOfOutputNodes,i++) {
        for(int j=0,j<numberOfHiddenNodes;j++) {
            //w/(1+bw^2)^2
            tempWFac1+=weightHidden2Output[j][i] / pow(1 +
beta*pow(weightHidden2Output[j][i],2),2),
            //w
            tempWFac2+=weightHidden2Output[j][i],
        }
    }
    return ((2*ep1*beta*tempWFac1) + (2*ep2*tempWFac2)),
}

double myNetwork penDerforWeight(double weight) {
    double tempWFac1=0,
    double tempWFac2=0,

    double ep1=0.1,
    double ep2=0.00001,
    double beta=10,
            //w/(1+bw^2)^2
            tempWFac1=weight / pow(1 + beta*pow(weight,2),2),
            //w
            tempWFac2=weight,
    return ((2*ep1*beta*tempWFac1) + (2*ep2*tempWFac2));
}

```

pruneMatrix.cpp

```

//methods for pruning the connections and removing unnecessary nodes
#include<fstream>
#include <iostream>
#include<math.h>

using namespace std,

void prune(double wIH[100][100], int extIH[100][100], double wHO[100][40], int
extHO[100][40],int ni,int nh,int no,double n2) {
    double vMax[100];

    //initialize the vMax array to zero
    for(int i=0;i<100,i++) {
        vMax[i]=0;
    }

    //set vMax[h] to the max value of the connection Who connecting hidden unit h to output unit o for all o
    cout<<"vmax : "<<" ",
    for(int i=0;i<nh,i++) {
        for(int j=0;j<no,j++){
            if (fabs(wHO[i][j])>vMax[i]) {
                vMax[i]=fabs(wHO[i][j]),
            }
        }
        cout<<vMax[i]<<" ",
    }
    cout<<endl,

    int IHpruned=0,

    //for all the elements of wIH, prune the weights if the |WihXvMax[h]|<4n2, but setting the value of wIH[i][h] to 0 and
    extIH[i][h] to -1
    for(int i=0,i<nh,i++) {
        for(int j=0,j<ni,j++){
            if ((fabs(wIH[j][i])*vMax[i])<(4*n2)) {
                wIH[j][i]=0,
                extIH[j][i]=-1,
                IHpruned++,
            }
        }
    }

    cout<<"IH pruned   "<<IHpruned<<endl,

    int HOpruned=0,
    //for all elemets of wOH prune all the weights which are < 4n2
    for(int i=0,i<nh,i++) {
        for(int j=0,j<no,j++){
            if (fabs(wHO[i][j])<(4*n2)) {
                wHO[i][j]=0,
                extHO[i][j]=-1,
                HOpruned++,
            }
        }
    }
    cout<<"OH pruned   "<<HOpruned<<endl,

}

void pruneHiddenNode(int h,double wIH[100][100], int extIH[100][100], double
wHO[100][40], int extHO[100][40],int ni,int nh,int no,double n2) {

    if(h>(nh-1)) {
        cout<<"Error pruning Hidden unit "<<h<<" Segmentation"<<endl,
        return,
    }

    double vMax=0,

    cout<<"Hid unit "<<h,

    //set vMax[h] to the max value of the connection Who connecting hidden unit h to output unit o for all o
    cout<<" vmax   "<<" ",
    for(int j=0,j<no,j++){
        if (fabs(wHO[h][j])>vMax) {

```

```

        vMax=fabs(wHO[h][j]),
    }
    //cout<<vMax<<" ",

    int IHpruned=0,

    //for all the elements of wIH, prune the weights if the |WihXvMax[h]|<4n2, but setting the value of wIH[i][h] to 0 and
    extIH[i][h] to -1
    for(int j=0,j<n1,j++){
        if((fabs(wIH[j][h])*vMax)<(4*n2)) {
            wIH[j][h]=0,
            extIH[j][h]=-1;
            IHpruned++,
        }
    }

    cout<<"IH pruned " <<IHpruned,

    int HOpuned=0,

    //for all elemets of wOH prune all the weights which are < 4n2
    for(int j=0,j<no,j++){
        if(fabs(wHO[h][j])<(4*n2)) {
            wHO[h][j]=0;
            extHO[h][j]=-1;
            HOpuned++,
        }
    }
    cout<<" OH pruned " <<HOpuned<<endl,

}

void calcNodeExistence(int eInp[], int eHid[], int eOut[],int extIH[100][100],int
extHO[100][40],int ni,int nh,int no) {

    //eliminate Input Nodes
    for(int i=0,i<n1,i++){
        int state=0,
        if(extIH[i][0]==-1)
            state=1,
        else
            continue,

        for(int j=1,j<nh,j++){
            if(extIH[i][j]==-1)
                continue,
            else {
                state=2,
                break,
            }
        }

        if(state==1) {
            eInp[i]=-1,
            cout<<"Input Node " <<i<<" Eliminated" <<endl,
        }
    }

    //eliminate Hidden nodes 1
    for(int i=0,i<nh;i++){
        int state=0,
        if(extIH[0][i]==-1)
            state=1,
        else
            continue,

        for(int j=1,j<n1,j++){
            if(extIH[j][i]==-1)
                continue,
            else {
                state=2,
                break,
            }
        }

        if(state==1) {

```

```

        eHid[1]=-1,
        cout<<"Hidden Node   "<<1<<" Eliminated"<<endl,
    }
}

//eliminate Hidden nodes 2
for(int i=0,i<nh,i++) {
    int state=0,
    if(extHO[i][0]==-1)
        state=1,
    else
        continue,

    for(int j=1,j<no;j++) {
        if(extHO[i][j]==-1)
            continue,
        else {
            state=2,
            break,
        }
    }

    if(state==1) {
        eHid[1]=-1,
        cout<<"Hidden Node   "<<1<<" Eliminated"<<endl,
    }
}

//eliminate Output nodes
for(int i=0,i<no,i++) {
    int state=0;
    if(extHO[0][i]==-1)
        state=1,
    else
        continue,

    for(int j=1;j<nh,j++) {
        if(extHO[j][i]==-1)
            continue,
        else {
            state=2;
            break,
        }
    }

    if(state==1) {
        eOut[1]=-1,
        cout<<"Output Node   "<<1<<" Eliminated"<<endl,
    }
}
}

void correctMatrices(int eInp[], int eHid[], int eOut[],int extIH[100][100],int
extHO[100][40],int ni,int nh,int no) {

    for(int i=0,i<ni,i++) {
        if(eInp[i]==-1) {
            for(int j=0,j<nh,j++) {
                extIH[i][j]=-1;
            }
        }
    }

    for(int i=0;i<nh,i++) {
        if(eHid[i]==-1) {
            for(int j=0,j<ni,j++) {
                extIH[j][i]=-1,
            }
            for(int k=0,k<no,k++) {
                extHO[i][k]=-1,
            }
        }
    }

    for(int i=0;i<no,i++) {
        if(eOut[i]==-1) {
            for(int j=0;j<nh,j++) {

```

```
        extIH[j][i]=-1,  
    }  
}  
}
```

APPENDIX C. CLUSTERING SOURCE CODE

cluster.h

```
using namespace std,

class cluster {
    private
        int freq,
        double centroid,
        double radius,

        double confidenceR,
        int freqConf,

    public :
        cluster(double r),
        cluster(double elem, double r),

        //accessor methods
        int getfreq(),
        double getG();
        double getr();
        double getcr(),
        int getfreqConf(),

        //set methods
        void setr(double r),
        void setConfR(double cr),

        //add methods
        double addElem(double e), //returns centroid

        //other
        bool inCluster(double e), //returns true, if an element belongs to the
cluster
        bool inConfCluster(double e), //returns true, if an element belongs to the
cluster
        //bool clusterIntersect(cluster& c), //returns true if this cluster
intersects cluster c
        double distFromG(double e), // returns the distance from the centroid
        void updateConfFreq(), //increments the confidence freq

};
```

clusterspace1dim.h

```

//#include "cluster h"
#include <vector>

class clusterspace1dim {
private
    vector<cluster> CSP,
    double clusterRadius,
    double confR,
    vector<double>* valueSet,

    void addToSpace(double e),

public
    clusterspace1dim(double r, vector<double>* vs),

    void add(double e),
    cluster* findBestCluster(double e),
    void showSpace(),
    cluster* activateRadius(double e),
    cluster* activateConfRadius(double e),
    void calcConfidenceFreq(double cr),

};

```

CentroidActivationLayer.h

```

//#include "clusterspace1dim h"

class CentroidActivationLayer {
private
    clusterspace1dim* ActNodes[100],
    int size,

public
    CentroidActivationLayer(vector<double>* ActVal[], int s, double radius),

    void activateCR(double layer[]),
    void activateCRFreq(double [],int),
    void calcConfClusters(double cr),

    bool filterActivation(double layer[],int ext[],int s),
    bool filterActivationPercentage(double layer[],int ext[],int s,int perc),

};

```

cluster.cpp

```

#include<math h>
#include "cluster h"

using namespace std,

cluster cluster(double r) {
    centroid=0 0,
    radius=fabs(r),
    freq=0,
    confidenceR=-1,
    freqConf=0,
}

cluster cluster(double elem, double r) {
    addElem(elem),
    radius=fabs(r);
}

double cluster::addElem(double e) {
    if(freq==0) {
        centroid=e,
        freq++,
    }
    else {
        centroid=(centroid*freq+e)/(freq+1),
        freq++,
    }

    return centroid,
}

int cluster getfreq() {
    return freq,
}

double cluster getr() {
    return radius,
}

double cluster getcr() {
    return confidenceR,
}

double cluster getG() {
    return centroid,
}

void cluster setr(double r) {
    radius=r,
}

void cluster setConfR(double cr) {
    confidenceR=cr,
}

int cluster getfreqConf() {
    return freqConf,
}

double cluster distFromG(double e) {
    return (fabs(centroid-e)),
}

bool cluster inCluster(double e) {
    double temp=distFromG(e),
    if(temp<=radius)
        return true,
    else
        return false,
}

bool cluster inConfCluster(double e) {
    double temp=distFromG(e),
    if(temp<=confidenceR)
        return true,
    else
        return false,
}

```

```
void cluster updateConfFreq() {
    freqConf++,
}
```

clusterspace1dim.cpp

```
#include "cluster h"
#include "clusterspace1dim h"
#include<math h>
#include <iostream>

using namespace std,

clusterspace1dim::clusterspace1dim(double r, vector<double>* vs) {
    clusterRadius=fabs(r),
    confR=-1,
    valueSet=vs,

    std vector<double> itrator it,
    int idx=0,
    for(it=valueSet->begin(),it!=valueSet->end(),it++,idx++) {
        addToSpace((*valueSet)[idx]),
    }
    //cout<<"Cluster Space Constructed"<<endl,
}

void clusterspace1dim::addToSpace(double e) {
    cluster* tempCl=findBestCluster(e),
    if(tempCl && tempCl->inCluster(e)) {
        (*tempCl) addElem(e),
    }
    else {
        cluster newCl(clusterRadius),
        newCl addElem(e),
        CSP push_back(newCl),
    }
}

void clusterspace1dim::showSpace() {
    std vector<cluster> itrator itr,

    cout<<"Cluster Radius . "<<clusterRadius<<endl,
    if(confR>=0) {
        cout<<"Confidence Radius  "<<confR<<endl,
    }

    int idx=0,
    for(itr=CSP begin(),itr!=CSP end(),itr++,idx++) {
        cout<<"Cluster "<<idx<<" : ",
        cout<<"G="<<CSP[idx] getG()<<" | ",
        cout<<"Freq="<<CSP[idx] getfreq(),
        if(confR>=0) {
            cout<<" | Conf Freq="<<CSP[idx] getfreqConf(),
        }
        cout<<endl,
    }
}

cluster* clusterspace1dim::findBestCluster(double e) {
    cluster *retr=0,
    std vector<cluster> itrator itr,

    int idx=0,
    double foundR,

    for(itr=CSP begin(),itr!=CSP end(),itr++,idx++) {
        double tempr=CSP[idx] distFromG(e),
        if(itr==CSP begin()) {
            foundR=tempr,
            retr=&CSP[idx],
        }
        else {
            if (tempr<foundR) {

```

```

        foundR=tempr,
        retr=&CSP[idx],
    }
    }

    /*
    if(retr) {
        if(retr->inCluster(e))
            return retr,
        else {
            cout<<retr->getG()<<" "<<e<<endl,
            return 0;
        }
    }
    else {
        cout<<e<<endl,
        return 0,
    }
    */

    return retr,
}

void clusterspace1dim::add(double e) {
    valueSet->push_back(e);
    addToSpace(e),
}

void clusterspace1dim::calcConfidenceFreq(double cr) {
    //use once only, to re compute conf clusters, we need to reinitiaize the clusters
    //if used more than once, the update freq will not keep on incrementing frequencies
    confR=cr,
    std vector<cluster> iterator itc,

    int idx=0,
    for(itc=CSP begin(),itc!=CSP end(),itc++,idx++) {
        CSP[idx] setConfR(cr),
    }

    std vector<double> iterator it,
    idx=0,
    for(it=valueSet->begin(),it!=valueSet->end(),it++,idx++) {
        cluster* temp=findBestCluster((*valueSet)[idx]),
        if(temp==0)
            cout<<"Some error updating Confidence freq"<<endl;
        else{
            if(temp->inConfCluster((*valueSet)[idx]))
                temp->updateConfFreq(),
        }
    }
}

cluster* clusterspace1dim::activateRadius(double e) {
    cluster* retrieved=0,
    retrieved=findBestCluster(e),

    if(retrieved && retrieved->inCluster(e))
        return retrieved,
    else
        return 0,
}

cluster* clusterspace1dim::activateConfRadius(double e) {
    if(confR<0) {
        cout<<"Confidence Frequencies not computed Cluster Space cannot be
activated"<<endl,
        return 0,
    }
    cluster* retrieved=0,
    retrieved=findBestCluster(e),

    if(retrieved && retrieved->inConfCluster(e))
        return retrieved,
    else
        return 0,
}

```

CentroidActivationLayer.cpp

```

#include <iostream>
#include "cluster h"
#include "clusterspace1dim h"
#include "CentroidActivationLayer h"
#include<vector>

CentroidActivationLayer::CentroidActivationLayer(vector<double>* ActVal[],int s, double
radius) {
    size=s;
    for(int i=0,i<size,i++) {
        clusterspace1dim* tempCl=new clusterspace1dim(radius, ActVal[i]);
        ActNodes[i]=tempCl,
    }
    cout<<"Centroid Activation Layer Created   "<<size<<"Nodes"<<endl,
}

void CentroidActivationLayer::activateCR(double layer[]) {
    for(int i=0,i<size,i++) {
        cluster* tempC=ActNodes[i]->activateConfRadius(layer[i]);
        if(tempC){
            layer[i]=tempC->getG(),
            cout<<layer[i]<<" ",
        }
        else {
            layer[i]=0,
            cout<<layer[i]<<" ",
        }
    }
    cout<<endl,
}

void CentroidActivationLayer::activateCRFreq(double layer[],int filtFreq) {
    for(int i=0,i<size,i++) {
        cluster* tempC=ActNodes[i]->activateConfRadius(layer[i]),
        if(tempC && ((tempC->getfreqConf())>=filtFreq)){
            layer[i]=tempC->getG(),
            //cout<<layer[i]<<" ",
        }
        else {
            layer[i]=0;
            //cout<<layer[i]<<" ",
        }
    }
    //cout<<endl;
}

void CentroidActivationLayer::calcConfClusters(double cr) {
    for(int i=0;i<size,i++) {
        ActNodes[i]->calcConfidenceFreq(cr),
    }
}

bool CentroidActivationLayer::filterActivation(double layer[],int ext[],int s) {
    bool invalidAct=false,
    int checkActive=0;
    int checkDeAct=0;

    for(int i=0,i<s,i++) {
        if(layer[i]==0) {
            if(ext[i]==1) {
                invalidAct=true,
                break,
            }
        }
        else {
            checkActive++,
        }
    }

    if(invalidAct) {
        //cout<<"Deactivating Layer"<<endl,
        for (int i=0,i<s,i++) {
            layer[i]=0;
        }
    }
}

```

```

    }
    cout<<"-",
    return false,
    }
    else {
        cout<<"*"<<checkActive;
        return true,
        }
}

bool CentroidActivationLayer::filterActivationPercentage(double layer[],int ext[],int
s,int perc) {
    int active=0,
    int totExt=0,
    //static int totHardPass=0,

    for(int i=0,i<s,i++) {
        if(ext[i]==1) {
            totExt++,
            if(layer[i]==0) {
                continue,
            }
            else {
                active++;
                continue,
            }
        }
        else if(ext[i]==-1) {
            continue,
        }
    }

    /*
    if(active==totExt)
        totHardPass++,
    */

    double passFilter=((double)active/(double)totExt)*100,

    if(passFilter<perc) {
        //cout<<"Deactivating Layer   Active="<<pass<<endl,
        for (int i=0,i<s,i++) {
            layer[i]=0,
        }
        //cout<<"-",
        return false,
    }
    else {
        //cout<<"*"<<active,
        //cout<<" Active   "<<active<<" Tot EXT : "<<totExt<<"perc   "<<perc<<"
pass : "<<passFilter/*<<" TotHardPass · "<<totHardPass*/<<endl,

        return true,
    }
}

```

APPENDIX D. NEURAL NETWORK RULE EXTRACTION AND PREDICTION SOURCE CODE

testNetworkGAct.cpp

```
//main funtion to load a specific network and to carry out workflow of extracting rules from existing dataset
#include "cluster h"
#include "clusterspaceldim h"
#include "CentroidActivationLayer h"
#include "myNetwork h"
#include <fstream>
#include <iostream>
#include <stdlib.h>
#include <stdio.h>

using namespace std,

int init_training_buffer(ifstream& ,int ,int , float [][][100],float [][][40]),

int main( int argc, char** argv ){
    //Reading Architecture
    //arg 1 = TestID
    char tempA[20]="Arch",
    char* Afile=strcat(tempA,argv[1]),
    int numInp=-1,
    int numOut=-1,
    int numHidden=-1,
    ifstream archRead(Afile),
    archRead>>numInp,
    archRead>>numHidden,
    archRead>>numOut,

    archRead close(),

    //reading Training Data Set
    //arg 2 = training file
    ifstream trainFile(argv[2]),

    float inpBuf[3100][100],
    float outBuf[3100][40],

    int numTSample=init_training_buffer(trainFile,numInp,numOut,inpBuf,outBuf),
    cout<<"Extracting using G Activated Recall"<<endl,
    cout<<"Test ID " <<argv[1]<<endl,
    cout<<"*Traning buffer Initialized* " <<numTSample<<" Patterns"<<endl,
    trainFile close(),

    //filenames
    char tempw[20]="Weights",
    char tempe[20]="ext",
    char tempt[20]="Threshold",
    char tempHA[20]="HidAct",

    char* wfile=strcat(tempw,argv[1]),
    char* efile=strcat(tempe,argv[1]),
    char* tfile=strcat(tempt,argv[1]),
    char* Hfile=strcat(tempHA,argv[1]),

    //build the network
    myNetwork NetA(wfile,efile,tfile),
    cout<<endl,

    cout<< endl,
    cout<<"Data File " <<argv[2]<<endl,
    cout<<"Weight File " <<wfile<<endl,
    cout<<"Existence File " <<efile<<endl,
    cout<<"Threshold File " <<tfile<<endl,
```

```

//build the Centroid Activation Layer
double rad, confRad,
int filterFreq,
cout<<"Hid unit act values " << HAfile << endl,
cout<<"Enter Cluster Radius ",
//assign radius and calculate confidence radius
cin>>rad,
cout<<endl,
NetA addActivationLayer(rad, HAfile),

confRad=0.5*rad,
NetA computeConfCluster(confRad),

//dynamically recall rules for different frequencies
while(true) {
cout<<"Conf Radius . " << confRad << " Enter Confidence Frequency Filter Percentage
",
cin>>filterFreq,
cout<<endl,
int confFreqNum=(int)(((double)filterFreq/100.0)*numTSample),
cout<<"Number of Samples selected as filter frequency " << confFreqNum << endl,

cout<<"Network Recalling with G activations " << endl,

//set the activation level as the percentage of hidden layer neurons
double acu=-1,
int filtLayerPerc,
cout<<"Enter the Percentage of hidden layer nodes which need to be activated for
the pattern to pass ",
cin>>filtLayerPerc,

acu=NetA.GActivationRecallPercentage(inpBuf, outBuf, numTSample, filterFreq, argv[1], filtLayerPerc),
}
cout<<"*Recall With G Activations Complete* Accuracy . " << acu << endl,
cout<<endl,
}
}

```

testPredict.cpp

//main function which extracts predicted trends from an input dataset

//the input dataset is all the combinations of the input attributes of a particular dataset, hence this maybe large This function takes the automatically takes input in batches of 20000 and extracts predicted rules from the loaded network To ensure this, the data must be split in to files using the UNIX Split -l command into batches of less than 20,000 The file name format will be like <filename>aa, etc The input to the program should be just <filename> The sequence is generated automatically similar to the split command

//the hidden unit activation values are loaded from the file which contains activation values for the training data set in the HidAct file This is done automatically*

```
#include "cluster h"
#include "clusterspace1dim h"
#include "CentroidActivationLayer h"
#include "myNetwork h"
#include <fstream>
#include <iostream>
#include <stdlib h>
#include <stdio h>

using namespace std,

int init_test_buffer(ifstream& ,int, short [][100]),
//function to generate the sequence similar to the split command
char* generateNextSequence(char*),

int main( int argc, char** argv ){
    cout<<"*****" <<endl,
    cout<<"*Extracting Generalized Rules using G Activated Recall*" <<endl,
    cout<<"*****" <<endl,
    cout<<endl,

    //Reading Architecture
    //arg 1 = TestID
    char tempA[20]="Arch";
    char* Afile=strcat(tempA,argv[1]),

    int numInp=-1;
    int numOut=-1,
    int numHidden=-1,
    ifstream archRead(Afile),

    archRead>>numInp,
    archRead>>numHidden,
    archRead>>numOut;

    archRead close(),
    cout<<"*Architecture Initialized* " <<numInp<<" " <<numHidden<<" " <<numOut<<endl,

    //filenames
    char tempw[20]="Weights",
    char tempe[20]="ext",
    char tempt[20]="Threshold",
    char tempHA[20]="HidAct",

    char* wfile=strcat(tempw,argv[1]),
    char* efile=strcat(tempe,argv[1]);
    char* tfile=strcat(tempt,argv[1]);
    char* HAfile=strcat(tempHA,argv[1]),

    //build the network
    myNetwork NetA(wfile,efile,tfile),
    cout<<endl,

    cout<< endl,
    cout<<"Test ID " <<argv[1]<<endl,
    cout<<"Data File " <<argv[2]<<endl,
    cout<<"Weight File . " <<wfile<<endl,
    cout<<"Existence File . " <<efile<<endl,
    cout<<"Threshold File . " <<tfile<<endl,
    cout<<endl,

    //build the Centriod Activation Layer
    double rad,confRad;
```

```

    int filterFreq,
    int filtLayerPerc,
    cout<<"Hid unit act values  "<<HAfile<<endl,
    cout<<"Enter Cluster Radius  ",
    cin>>rad,
    confRad=0.5*rad,

    NetA addActivationLayer(rad,HAfile),
    NetA computeConfCluster(confRad),

    //set the frequency and activation level
    cout<<"Conf Radius  "<<confRad<<" Enter Confidence Frequency Filter
Percentage  ",
    cin>>filterFreq,

    cout<<"Enter the Percentage of hidden layer nodes which need to be
activated for the pattern to pass  ",
    cin>>filtLayerPerc,

    cout<<endl,

    //extract predicted rules in batches
    int pass=0,
    while(true) {
        short inpBuf[20000][100],
        char suffix[3]="",
        char currentfilename[30]="",

        pass++,

        strcpy(currentfilename,argv[2]),
        generateNextSequence(suffix),
        strcat(currentfilename,suffix),

        cout<<"Opening File  "<<currentfilename<<"  ",
        ifstream trainFile(currentfilename),

        if(!trainFile) {
            cout<<"*No more file - Finished Prediction Process!*"<<endl,
            break,
        }

        int numTSample=init_test_buffer(trainFile,numInp,inpBuf),
        cout<<" Pass"<<pass<<" *Buffer Initialized "<<numTSample<<" Patterns*";
        trainFile close(),

        cout<<"  Recalling  ",
        double acu=-1;

        acu=NetA GActivationRecallGeneralized(inpBuf,numTSample,filterFreq,argv[1],filtLayerPerc),

        cout<<" *Complete* Accuracy  "<<acu,
        cout<<endl,
    }
}

```

APPENDIX E. NEURAL NETWORK RECALL SOURCE CODE

recall.cpp

```
//methods for recalling the network—simple and through activation layer
#include "cluster h"
#include "clusterspaceidim h"
#include "CentroidActivationLayer h"
#include "myNetwork h"
#include <fstream>
#include <iostream>
#include <stdlib.h>
#include <math.h>

using namespace std,

//simple recall
double myNetwork::recall(float iBuffer[][100], float oBuffer[][40],int& testSetSize,char*
testID){

    char temp[20]="testOutput", //testOutput*file for output
    char tempAct[20]="HidAct", //HidAct*file for hidden unit activation values

    ofstream fout(strcat(temp,testID)),
    ofstream foutAct(strcat(tempAct,testID)),

        //data to determine accuracy percentage
        int corrCntr=0,

        for(int p=0,p<testSetSize,p++) { //inner loop

            fout<<"p"<<p<<" ",

            //input
            for(int iDim=0,iDim<numberOfInputNodes,iDim++) {
                input[iDim]=iBuffer[p][iDim],
                fout<<input[iDim];
                fout<<" ",
            }

            fout<<" ",

            //expected output
            for(int iDim=0;iDim<numberOfOutputNodes,iDim++) {
                fout<<oBuffer[p][iDim],
                fout<<" ",
            }

            fout<<" · ",

            for (int i = 0, i < numberOfHiddenNodes; i++)
            {
                hiddenNodeSum[i] = 0,
            }

            for (int i = 0, i < numberOfOutputNodes, i++)
            {
                outputNodeSum[i] = 0,
            }

            // Run Input Through WeightsAtoB To Hidden Layer
            for ( int i = 0, i < numberOfHiddenNodes, i++)
            {
                if(extHidden[i]==-1) {
```

```

        hiddenNodeSum[1]=0,
    }
    else {
        for (int j = 0, j < numberOfInputNodes, j++)
        {
            if(extInput2Hidden[j][1]!='-1)
                hiddenNodeSum[1] += input[j] *
weightInput2Hidden[j][1],
        }
        hiddenNodeSum[1] = 1.0 / (1.0 + exp(- 0.1 *
hiddenNodeSum[1])),
        foutAct<<hiddenNodeSum[1]<<" ",
    }
    foutAct<<endl,

// Run Signal From Hidden Layer to Output Layer
for ( int i = 0, i < numberOfOutputNodes, i++)
{
    if(extOutput[i]==-1) {
        outputNodeSum[i]=-1,
    }
    else {
        for ( int j = 0, j < numberOfHiddenNodes, j++)
        {
            if(extHidden2Output[j][1]!='-1)
                outputNodeSum[i] += hiddenNodeSum[j]
* weightHidden2Output[j][1],
        }

        //double tempSto,
        //tempSto=outputNodeSum[i],
        outputNodeSum[i] = (1.0 / (1.0 + exp(- 0.1 *
(outputNodeSum[i] + outputThreshold[i])))),
        //cout<<outputNodeSum[i]<<" | ",
        //if (outputNodeSum[i] > 1.0)
        //    cout<<"Some Error " <<tempSto<<endl,
    }
    fout<<outputNodeSum[i]<<" ",
}

//check if the pattern is classified correctly
bool corr=true,
for(int i=0,i<numberOfOutputNodes,i++) {
    if(extOutput[i]==-1) {
        continue,
    }
    if ((myRound(outputNodeSum[i]))==oBuffer[p][i]) {
        continue,
    }
    else {
        if(extOutput[i]==-1)
            continue,
        else {
            corr=false;
            break,
        }
    }
}

if(corr==true) {
    fout<<"    CORRECT",
    corrCntr++,
}
else {
    fout<<"    INCORRECT",
}

fout<<endl,

} // end inner loop

fout<<"No of Patterns " <<testSetSize<<endl,
fout<<"No correctly recognized " <<corrCntr<<endl,

fout.close();
foutAct close(),

```

```

        double accuracy=(double)corrCntr/ (double)testSetSize,
        return accuracy,
    }

//rounding function
double myRound(double x) {
    if(x>=0.5)
        return 1.0,
    else
        return 0.0,
}

//clustering methods
void myNetwork::computeConfCluster(double confRad) {
    //compute Confidence Frequencies
    CAL->calcConfClusters(confRad),
}

//recall using centroid activations
//use only after computing conf clusters
double myNetwork::GActivationRecall(float iBuffer[][100], float oBuffer[][40],int&
testSetSize, int freqFilter,char* testID){

    char temp[20]="GAOutput",
    char temp1[20]="GAExt",

    ofstream fout(strcat(temp,testID)),
    ofstream foute(strcat(temp1,testID)),

    int deactivated=0,

        //data to determine accuracy percentage
        int corrCntr=0,

        for(int p=0,p<testSetSize,p++) { //inner loop

            fout<<"p"<<p<<"  ",

            //input
            for(int iDim=0,iDim<numberOfInputNodes,iDim++) {
                input[iDim]=iBuffer[p][iDim],
                fout<<input[iDim];
                fout<<" ",
            }

            fout<<"  ",

            //expected output
            for(int iDim=0,iDim<numberOfOutputNodes,iDim++) {
                fout<<oBuffer[p][iDim],
                fout<<" ",
            }

            fout<<"  ",

            for (int i = 0; i < numberOfHiddenNodes, i++)
            {
                hiddenNodeSum[i] = 0;
            }

            for (int i = 0, i < numberOfOutputNodes; i++)
            {
                outputNodeSum[i] = 0,
            }

// Run Input Through WeightsAtoB To Hidden Layer
            for ( int i = 0, i < numberOfHiddenNodes, i++)
            {
                if(extHidden[i]==-1) {
                    hiddenNodeSum[i]=0;
                }
                else {
                    for (int j = 0, j < numberOfInputNodes, j++)

```

```

        {
            if(extInput2Hidden[j][i]!='-1) {
                hiddenNodeSum[i] += input[j] *
weightInput2Hidden[j][i],
            }
        }
        hiddenNodeSum[i] = 1.0 / (1.0 + exp(- 0.1 *
hiddenNodeSum[i])),
    }
}

//activate the centroids of the clusters for the hidden layer activations
CAL->activateCRFreq(hiddenNodeSum, freqFilter),

//Filter the activation values
bool actSuccess=false;
actSuccess=CAL-
>filterActivation(hiddenNodeSum, extHidden, numberOfHiddenNodes),

if(!actSuccess) {
    for(int i=0, i<numberOfOutputNodes, i++) {
        fout<<"- ",
    }
    fout<<" Layer Not Activated No Output"<<endl,
    deactivated++;
    continue,
}

// Run Signal From Hidden Layer to Output Layer
for ( int i = 0, i < numberOfOutputNodes, i++)
{
    if(extOutput[i]==-1) {
        outputNodeSum[i]=-1,
    }
    else {
        for ( int j = 0, j < numberOfHiddenNodes, j++)
        {
            if(extHidden2Output[j][i]!='-1) {
                outputNodeSum[i] += hiddenNodeSum[j] *
weightHidden2Output[j][i],
            }
        }

        //double tempSto,
        //tempSto=outputNodeSum[i],
        outputNodeSum[i] = 1.0 / (1.0 + exp(- 0.1 *
(outputNodeSum[i] + outputThreshold[i])));
        //cout<<outputNodeSum[i]<<" | ",
        //if(outputNodeSum[i] > 1.0)
        //    cout<<"Some Error " <<tempSto<<endl,
    }

    fout<<outputNodeSum[i]<<" ";
}

//check if the pattern is classified correctly
bool corr=true,
for(int i=0, i<numberOfOutputNodes, i++) {
    if(extOutput[i]==-1)
        continue,
    if((myRound(outputNodeSum[i]))==oBuffer[p][i]))
        continue,
    else {
        if(extOutput[i]==-1)
            continue;
        else {
            corr=false,
            break,
        }
    }
}

if(corr==true) {
    fout<<" . CORRECT",
    corrCnt++
}

```

```

foute<<"Pattern "<<p<<" ",

//input
for(int iDim=0,iDim<numberOfInputNodes,iDim++) {
    input[iDim]=iBuffer[p][iDim],
    foute<<input[iDim],
    foute<<" ",
}

foute<<" ",

//expected output
for(int iDim=0,iDim<numberOfOutputNodes,iDim++) {
    foute<<oBuffer[p][iDim],
    foute<<" ",
}

foute<<" : ",

for ( int i = 0; i < numberOfOutputNodes, i++)
{
    foute<<outputNodeSum[i]<<" ";
}

foute<<endl,
}
else {
    fout<<" · INCORRECT";
}

fout<<endl,
} //inner loop

cout<<endl,

fout<<"No of Patterns " <<testSetSize<<endl,
fout<<"No correctly recognized " <<corrCntr<<endl,

cout<<"Number Correctly recognized . " <<corrCntr<<endl,
cout<<"Number Deactivated " <<deactivated<<endl,

fout close(),
foute close(),

double accuracy=(double)corrCntr/(double)testSetSize,

return accuracy,
}

//recall using centroid activations with capability of choosing activation level of hidden layer, i e percentage of hidden layer neurons
which pass the frequency test for the defined radius
//use only after computing conf clusters
double myNetwork::GActivationRecallPercentage(float iBuffer[][100], float
oBuffer[][40],int& testSetSize, int freqFilter,char* testID,int HidPercPass){

char temp[20]="GAOutput",
char temp1[20]="GAExt";

ofstream fout(strcat(temp,testID)),
ofstream foute(strcat(temp1,testID)),

int deactivated=0,

//data to determine accuracy percentage
int corrCntr=0,

for(int p=0,p<testSetSize,p++) { //inner loop

    fout<<"p"<<p<<" ",

//input
for(int iDim=0,iDim<numberOfInputNodes,iDim++) {
    input[iDim]=iBuffer[p][iDim],
    fout<<input[iDim],
    fout<<" ";
}

fout<<" . ",

```

```

//expected output
for(int iDim=0,iDim<numberOfOutputNodes,iDim++) {
    fout<<oBuffer[p][iDim],
    fout<<" ",
    }
fout<<" ",

for (int i = 0, i < numberOfHiddenNodes, i++)
{
    hiddenNodeSum[i] = 0,
}

for (int i = 0, i < numberOfOutputNodes, i++)
{
    outputNodeSum[i] = 0,
}

// Run Input Through WeightsAtoB To Hidden Layer
for ( int i = 0, i < numberOfHiddenNodes, i++)
{
    if(extHidden[i]==-1) {
        hiddenNodeSum[i]=0,
    }
    else {
        for (int j = 0, j < numberOfInputNodes; j++)
        {
            if(extInput2Hidden[j][i]!='-1) {
                hiddenNodeSum[i] += input[j] *
weightInput2Hidden[j][i],
            }
            hiddenNodeSum[i] = 1.0 / (1.0 + exp(-.01 *
hiddenNodeSum[i])),
        }
    }
}

//activate the centroids of the clusters for the hidden layer activations
CAL->activateCRFreq(hiddenNodeSum, freqFilter),

//Filter the activation values
bool actSuccess=false,
actSuccess=CAL-
>filterActivationPercentage(hiddenNodeSum,extHidden,numberOfHiddenNodes,HidPercPass),

if(!actSuccess) {
    for(int i=0,i<numberOfOutputNodes,i++) {
        fout<<"- ",
    }
    fout<<" Layer Not Activated . No Output"<<endl,
    deactivated++;
    continue;
}

// Run Signal From Hidden Layer to Output Layer
for ( int i = 0, i < numberOfOutputNodes; i++)
{
    if(extOutput[i]==-1) {
        outputNodeSum[i]=-1;
    }
    else {
        for ( int j = 0, j < numberOfHiddenNodes, j++)
        {
            if(extHidden2Output[j][i]!='-1) {
                outputNodeSum[i] += hiddenNodeSum[j] *
weightHidden2Output[j][i],
            }
        }

        //double tempSto,
        //tempSto=outputNodeSum[i],
        outputNodeSum[i] = (1.0 / (1.0 + exp(-.01 *
(outputNodeSum[i] + outputThreshold[i])))),
        //cout<<outputNodeSum[i]<<" | ";
        //if(outputNodeSum[i] > 1.0)

```

```

        //      cout<<"Some Error   "<<tempSto<<endl,
    }

    fout<<outputNodeSum[1]<<" ";
}

//output the extracted rule

foute<<"Rule "<<p<<" ",

//input
for(int iDim=0,iDim<numberOfInputNodes,iDim++) {
    input[iDim]=iBuffer[p][iDim],
    foute<<input[iDim],
    foute<<" ",
}

foute<<" ",

for ( int i = 0, i < numberOfOutputNodes, i++)
{
    foute<<myRound(outputNodeSum[i])<<" ";
}

foute<<endl,

//check if the pattern is classified correctly

bool corr=true;
for(int i=0,i<numberOfOutputNodes,i++) {
    if(extOutput[i]==-1)
        continue,
    if((myRound(outputNodeSum[i]))==oBuffer[p][i])
        continue,
    else {
        if(extOutput[i]==-1)
            continue,
        else {
            corr=false,
            break,
        }
    }
}

if(corr==true) {
    fout<<"  CORRECT";
    corrCntr++;
}
else {
    fout<<"  INCORRECT";
}

foute<<endl;

} //end inner loop

cout<<endl,

fout<<"No. of Patterns   "<<testSetSize<<endl;
fout<<"No  correctly recognized . "<<corrCntr<<endl,

cout<<"Number Correctly recognized   "<<corrCntr<<endl,
cout<<"Number Deactivated   "<<deactivated<<endl;

fout close(),
foute close();

double accuracy=(double)corrCntr/ (double)testSetSize;

return accuracy,
}

//recall method for outputing only the correctly classified patterns
double myNetwork::recallCorrect(float iBuffer[][100], float oBuffer[][40],int&
testSetSize,char* testID){

char tempf[20]="FiltData", //FiltData*file

```

```

char tempAct[20]="HidAct",

ofstream foutAct(strcat(tempAct,testID)),
ofstream foutfd(strcat(tempf,testID)),

//data to determine accuracy percentage
int corrCntr=0,

for(int p=0,p<testSetSize,p++) { //inner loop

//input
for(int iDim=0,iDim<numberOfInputNodes,iDim++) {
    input[iDim]=iBuffer[p][iDim],
}

//expected output
for(int iDim=0,iDim<numberOfOutputNodes,iDim++) {
}

for (int i = 0, i < numberOfHiddenNodes, i++)
{
    hiddenNodeSum[i] = 0;
}

for (int i = 0, i < numberOfOutputNodes, i++)
{
    outputNodeSum[i] = 0,
}

// Run Input Through WeightsAtoB To Hidden Layer
for ( int i = 0, i < numberOfHiddenNodes, i++)
{
    if(extHidden[i]==-1) {
        hiddenNodeSum[i]=0,
    }
    else {
        for (int j = 0, j < numberOfInputNodes, j++)
        {
            if(extInput2Hidden[j][i]!='-1)
                hiddenNodeSum[i] += input[j] *
weightInput2Hidden[j][i],
        }
        hiddenNodeSum[i] = 1.0 / (1.0 + exp(- 0.1 *
hiddenNodeSum[i])),
    }
}

// Run Signal From Hidden Layer to Output Layer
for ( int i = 0, i < numberOfOutputNodes, i++)
{
    if(extOutput[i]==-1) {
        outputNodeSum[i]=-1;
    }
    else {
        for ( int j = 0, j < numberOfHiddenNodes, j++)
        {
            if(extHidden2Output[j][i]!='-1)
                outputNodeSum[i] += hiddenNodeSum[j] *
weightHidden2Output[j][i];
        }

//double tempSto,
//tempSto=outputNodeSum[i];
outputNodeSum[i] = (1.0 / (1.0 + exp(- 0.1 *
(outputNodeSum[i] + outputThreshold[i])))),
//cout<<outputNodeSum[i]<<" | ",
//if(outputNodeSum[i] > 1.0)
//    cout<<"Some Error " <<tempSto<<endl,
    }
}

//check if the pattern is classified correctly

```

```

bool corr=true,
for(int i=0,i<numberOfOutputNodes,i++) {
    if(extOutput[i]==-1)
        continue,
    if((myRound(outputNodeSum[i]))==oBuffer[p][i])
        continue,
    else {
        if(extOutput[i]==-1)
            continue,
        else {
            corr=false,
            break,
        }
    }
}

if(corr==true) {
    //fout<<"p"<<p<<"  ",
    //input
    for(int iDim=0,iDim<numberOfInputNodes,iDim++) {
        //fout<<input[iDim],
        foutfd<<input[iDim],
        //fout<<" ",
        foutfd<<" ",
    }

    //fout<<"  ",
    foutfd<<"  ",

    //expected output
    for(int iDim=0,iDim<numberOfOutputNodes,iDim++) {
        //fout<<oBuffer[p][iDim],
        foutfd<<oBuffer[p][iDim],
        //fout<<" ",
        foutfd<<" ",
    }

    //fout<<"  ",
    foutfd<<endl,

    for(int i=0,i<numberOfOutputNodes,i++) {
        //fout<<outputNodeSum[i]<<" ";
    }

    for ( int i = 0, i < numberOfHiddenNodes, i++)
    {
        foutAct<<hiddenNodeSum[i]<<" ";
    }
    foutAct<<endl,

    //fout<<"  CORRECT",
    corrCntr++,
}
else {
    //fout<<"  INCORRECT",
}

    //fout<<endl;
} //inner loop

//fout<<"No of Patterns . "<<testSetSize<<endl,
//fout<<"No correctly recognized  "<<corrCntr<<endl,

//fout close(),
foutAct close(),
foutfd close(),

double accuracy=(double)corrCntr/(double)testSetSize,

return accuracy,
}

//recall method for prediction — uses only input of the patterns
//use only after computing conf clusters
double myNetwork::GActivationRecallGeneralized(short iBuffer[][100],int& testSetSize, int
freqFilter,char* testID,int HidPercPass){

```

```

char temp1[20]="GAExtPredicted",
ofstream foutE(strcat(temp1,testID),ios::app),

int deactivated=0,

//data to determine accuracy percentage
int corrCntr=0,

for(int p=0,p<testSetSize,p++) { //inner loop

//input
for(int iDim=0,iDim<numberOfInputNodes,iDim++) {
    input[iDim]=iBuffer[p][iDim],
}

for (int i = 0, i < numberOfHiddenNodes, i++)
{
    hiddenNodeSum[i] = 0,
}

for (int i = 0, i < numberOfOutputNodes, i++)
{
    outputNodeSum[i] = 0,
}

//insert code here

//Run Input Through WeightsAtoB To Hidden Layer
for ( int i = 0; i < numberOfHiddenNodes, i++)
{
    if(extHidden[i]==-1) {
        hiddenNodeSum[i]=0,
    }
    else {
        for (int j = 0, j < numberOfInputNodes, j++)
        {
            if(extInput2Hidden[j][i]!='-1) {
                hiddenNodeSum[i] += input[j] *
weightInput2Hidden[j][i],
            }
        }
        hiddenNodeSum[i] = 1.0 / (1.0 + exp(- 0.1 *
hiddenNodeSum[i])),
    }
}

//activate the centroids of the clusters for the hidden layer activations
CAL->activateCRFreq(hiddenNodeSum,freqFilter);

//Filter the activation values
bool actSuccess=false,
actSuccess=CAL->filterActivationPercentage(hiddenNodeSum,extHidden,numberOfHiddenNodes,HidPercPass);

if(!actSuccess) {
    for(int i=0,i<numberOfOutputNodes,i++) {
        //fout<<"- ",
    }
    //fout<<" Layer Not Activated No Output"<<endl,
    deactivated++;
    continue,
}

//Run Signal From Hidden Layer to Output Layer
for ( int i = 0, i < numberOfOutputNodes, i++)
{
    if(extOutput[i]==-1) {
        outputNodeSum[i]=-1;
    }
    else {
        for ( int j = 0, j < numberOfHiddenNodes; j++)
        {
            if(extHidden2Output[j][i]!='-1) {

```

```

                                outputNodeSum[1] += hiddenNodeSum[j] *
weightHidden2Output[j][1],
                                }
                                }
                                //double tempSto,
                                //tempSto=outputNodeSum[1],
                                outputNodeSum[1] = (1.0 / (1.0 + exp(-0.1 *
(outputNodeSum[1] + outputThreshold[1])))),
                                //cout<<outputNodeSum[1]<<" | ",
                                //if(outputNodeSum[1] > 1.0)
                                //    cout<<"Some Error " <<tempSto<<endl,
                                }
                                //fout<<outputNodeSum[1]<<" ",
                                }

//extract the pattern which passes
    corrCnt++ ,
    fout<<"P " <<p<<" ",
    for(int iDim=0, iDim<numberOfInputNodes, iDim++) {
        if(extInput[iDim]==-1)
            fout<<"* ";
        else {
            fout<<input[iDim],
            fout<<" ",
        }
    }
    fout<<" ",
    for (int i = 0, i < numberOfOutputNodes, i++) {
        if(extOutput[i]==-1) {
            fout<<"* ",
        }
        else {
            fout<<myRound(outputNodeSum[i])<<" ",
        }
    }
    fout<<endl,

} //end inner loop

//cout<<endl,

//fout<<"No. of Patterns " <<testSetSize<<endl,
//fout<<"No Activated " <<corrCnt<<endl,
//fout<<"Number Deactivated " <<deactivated<<endl,

cout<<" No Activated " <<corrCnt,

fout.close(),

double accuracy=(double)corrCnt/(double)testSetSize,

return accuracy,

}

```

APPENDIX F. OTHER FUNCTIONS SOURCE CODE

bufferIO2.cpp

```
//function to load the input-output pairs of the data into the training buffer
#include <vector>
#include <fstream>
#include <iostream>

using namespace std,

int init_training_buffer(ifstream& inputfile,int nInp,int nOut,float
inpBuffer[][100],float outBuffer[][40]){
    int idx=-1,

    while(true) {
        float tempVal;
        inputfile>>tempVal,
        if(inputfile ios eof()) {
            break,
        }

        idx++,

        inpBuffer[idx][0]=tempVal,
        //cout<<"Pattern " <<idx<<" being read"<<endl;

        for(int i=1,i<nInp,i++) {
            inputfile>>inpBuffer[idx][i],
        }
        for(int i=0,i<nOut,i++) {
            inputfile>>outBuffer[idx][i],
        }

    }

    return idx+1,
}

//function to load the input of the patterns into the test buffer — for prediction
int init_test_buffer(ifstream& inputfile,int nInp,short inpBuffer[][100]){
    int idx=-1,

    while(true) {
        short tempVal,
        inputfile>>tempVal,
        if(inputfile ios eof()) {
            break,
        }

        idx++,

        inpBuffer[idx][0]=tempVal,
        //cout<<"Pattern " <<idx<<" being read"<<endl,

        for(int i=1,i<nInp,i++) {
            inputfile>>inpBuffer[idx][i];
        }

    }

    return idx+1,
}
```

netCorrOut.cpp

```
//function to extract only the correctly classified pairs — for generating Filt* file
#include "cluster h"
```

```

#include "clusterspaceIdim h"
#include "CentroidActivationLayer h"
#include "myNetwork h"
#include <fstream>
#include <iostream>
#include <stdlib h>
#include <stdio h>

using namespace std,

int init_training_buffer(ifstream& ,int ,int , float [][][100],float [][][40]),

int main( int argc, char** argv ){
    //Reading Architecture
    //arg 1 = TestID
    char tempA[20]="Arch",
    char* Afile=strcat(tempA,argv[1]),

    int numInp=-1,
    int numOut=-1,
    int numHidden=-1,
    ifstream archRead(Afile),

    archRead>>numInp,
    archRead>>numHidden,
    archRead>>numOut,

    archRead close(),

    //reading Training Data Set
    //arg 2 = training file
    ifstream trainFile(argv[2]),

    float inpBuf[3100][100],
    float outBuf[3100][40],

    int numTSample=init_training_buffer(trainFile,numInp,numOut,inpBuf,outBuf) ,
    cout<<"Correct Recall"<<endl,
    cout<<"Test ID    "<<argv[1]<<endl,
    cout<<"*Traning buffer Initialized*    "<<numTSample<<" Patterns"<<endl,
    trainFile close(),

    //filenames
    char tempw[20]="Weights",
    char tempe[20]="ext",
    char tempt[20]="Threshold",
    char tempHA[20]="HidAct",

    char* wfile=strcat(tempw,argv[1]),
    char* efile=strcat(tempe,argv[1]),
    char* tfile=strcat(tempt,argv[1]),
    char* HAfile=strcat(tempHA,argv[1]),

    //build the network
    myNetwork NetA(wfile,efile,tfile),
    cout<<endl,

    cout<< endl,
    cout<<"Data File    "<<argv[2]<<endl,
    cout<<"Weight File    "<<wfile<<endl,
    cout<<"Existence File . "<<efile<<endl,
    cout<<"Threshold File    "<<tfile<<endl,

    cout<<"Network Recalling Correct Patterns    "<<endl;
    double acu=NetA.recallCorrect(inpBuf,outBuf,numTSample,argv[1]),
    cout<<"*Recalling Correct Patterns Complete * Accuracy    "<<acu<<endl;
}

```

seqGenerator.cpp

```

#include <stdlib h>
#include <string h>
#include <iostream>

using namespace std,

void incrChar(char* pos2,char* pos1) {
    //will only work till bz
    int last=122,

```

```

int test=pos1[0],
test++,

if(test>last) {
    strcpy(pos1,"a"),

    char sto[2],
    int temp=pos2[0],
    sto[0]=++temp,
    sto[1]='\0',
    strcpy(pos2,sto),

    //cout<<" pos2  "<<pos2<<" pos1  "<<pos1,
}
else {
    char sto[2],
    sto[0]=test,
    sto[1]='\0',
    //cout<<" test  "<<test<<" sto  "<<sto,
    strcpy(pos1,sto),
}
}

```

```

char* generateNextSequence(char* temp) {
    static char c1[2]="a",
    static char c0[2]="a",

    static int turn=0,

    if(turn==0) {
        //do nothing
    }
    else {
        incrChar(c1,c0),
    }

    strcpy(temp,c1),
    strcat(temp,c0),

    turn++,

    return temp,
}

```

generateRule.cpp

```

//tool to generate rules in a readable form using description for the data
#include <stdlib.h>
#include <iostream>
#include <fstream>
#include <string.h>
#include <vector>

using namespace std,

int main (int argc,char** argv) {

    //loading description
    ifstream descFile(argv[1]),
    if(!descFile) {
        cout<<"Error Desc File Not Opened"<<endl;
        exit(1),
    }

    int catSize, inpSize,
    vector<string> categ,
    vector<string> intvl,

    descFile>>catSize,
    descFile>>inpSize,

    for(int i=0,i<catSize,i++) {
        string temp,

```

```

        descFile>>temp,
        categ push_back(temp),
    }

    while(true) {
        string temp,
        descFile>>temp,

        if(descFile ios eof()) {
            break,
        }
        else {
            intvl push_back(temp),
        }
    }

    /*
    for(int i=0,i<categ.size(),i++) {
        cout<<categ[i]<<endl,
    }

    cout<<endl,

    for(int i=0,i<intvl.size(),i++) {
        cout<<intvl[i]<<endl,
    }
    */

    //loading data and mapping it to the interval name
    ifstream dataFile(argv[2]),
    if(!dataFile) {
        cout<<"Error . Data File Not Opened"<<endl,
        exit(1),
    }

    vector<vector<string>*> jar,

    while(true) {
        short temp,
        dataFile>>temp,

        if(dataFile ios eof()) {
            break,
        }

        vector<string>* pouch=new vector<string>(),
        jar push_back(pouch);

        if(temp==1) {
            (*pouch) push_back(intvl[0]),
        }

        for(int i=1,i<intvl.size(),i++) {
            dataFile>>temp,
            if(temp==1) {
                (*pouch) push_back(intvl[i]),
            }
        }
    }

    //generate the rules
    ofstream outF(argv[3]),

    for (int i=0, i<jar.size();i++) {
        outF<<"IF <",
        int catIDX=0,

        for(int x=0,x<inpSize,x++) {
            if(x!=0){
                outF<<" AND ",
            }
            outF<<"("<<categ[catIDX]<<" = "<<*(jar[i])[catIDX]<<")",
            catIDX++,
        }

        outF<<"> THEN <",

        for(int y=0,y<(catSize-inpSize),y++) {
            if(y!=0){
                outF<<" AND ";
            }
        }
    }

```

```
        }
        outF<<"("<<categ[catIDX]<<" = "<<(*jar[1])[catIDX]<<"",
        catIDX++,
    }
    outF<<">"<<endl,
}

}
```

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VITA

Sandesh Doddameti was born in Bangalore, India on December 20, 1975, the son of Dr. Ashok Doddameti and Dr. Sunanda Doddameti. He obtained his Bachelor s Degree in Architecture from R V College of Engineering, Bangalore Univerity, Bangalore, India, in 1998. During the following year he was employed by an Urban Planning and Township Planning Frim in Bangalore, India. He entered the Graduate College at the Texas State University at San Marcos, Texas to pursue graduate studies in Computer Science in 2001. During the course of his study he was employed as a research assistant to manage and analyze the data with Texas Statewide Tobacco Education and Prevention in San Marcos, Texas.

Permanent Address:

984, KB Sandra, RT Nagar Post

Bangalore, Karnataka — 560032

India

This thesis was typed by Sandesh Doddameti.